



Article

Theoretical Aspects of Topology and Successful Applications to Glasses and Proteins

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Abstract

The origin of glass formation has been one of the greatest mysteries of science. The first clues emerged in Ge_xSe_{1-x} glasses, where the bond-stretching and bond angle-bending constraints are countable, and it was found that the most favorable compositions for glass formation involved matching constraints with the degrees of freedom. Modulated-Differential Scanning Calorimetric (MDSC) studies on Ge_xSe_{1-x} chalcogenide glasses revealed two elastic phase transitions—a stiffness transition at x=0.20 and a stress transition at x=0.26—leading to the observation of three topological phases: a flexible phase at x<0.20, an intermediate phase in the 0.20 < x < 0.26 range, and a stressed–rigid phase for compositions x>0.26. The three topological phases (TPs) have now been generically observed in more than two dozen chalcogenides and modified oxide glasses. In proteins, the transition from the unfolded (flexible) to the folded (isostatically rigid intermediate) phase represents the stiffness transition. Self-organization causes proteins to display a dynamic reversibility of the folding process. The evolutions of protein dynamics may also exhibit stiffness phase transitions similar to those seen in glasses.

Keywords: topological constraint theory; FT=raman scattering; modulated differential scanning calorimetry; dynamical protein structure; topological phases; self-organization; intermediate phases; chalcogenide glasses; window glass



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1. Introduction

Topology has come to mean features of qualitative geometry different from the quantitative relations of ordinary geometry [1]. Historically, its first example may be found with Euler's Seven Bridges of Konigsberg (1736). Modern topology began early in the 20th century with algebraic topology, and today, this Journal recognizes 14 branches of mathematics as topological. Also listed are six other disciplines that utilize topology, including biology and physics. Here, we will outline two applications that were strongly influenced by the topological nature of their subjects: optimized glass networks, which are found as covers of mobile phones, and selected proteins, including those responsible for the COVID pandemic. Both examples involve *natural self-organized networks* and share several common topological features. The discovery of self-organization in intermediate phases of network glasses [2] was an important precursive step in this respect, and we comment on this central finding next.

2. Topology Explains Why Self-Organized Glasses Form in the Intermediate Phase

For some time, one of the great mysteries of science was the problem of why, upon cooling from the melt, only select materials form into a glass as opposed to crystallizing (also possibly accompanied by phase separation). It turned out that the first steps in answering this question were made possible by studying the simplest case first. That case was binary chalcogenide Ge_xSe_{100-x} glasses, where the bonding was almost entirely covalent, and the stretching and angle-bending constraints are countable, much like Euler's polyhedron formula (1750) [1]. Later, the most favorable compositions for glass formation would involve matching constraints with degrees of freedom (Maxwell, 1868) [3]. This 1979 result for covalent glasses [4] was finally extended to optimized partially ionic quaternary silicate glasses (Pyrex) in 2008 [5].

Glasses remained an art practiced by ceramicists for centuries. Industrial glass manufacturers relied on patent arrays to exclude competition, and their proprietary data was protected by proprietary methods. Academic glass samples were obtained by rapid quenching from the melt and were often inhomogeneous. Change was initiated in an unexpected way—by topological constraint theory, which encouraged experiments that involved methods that produced steadily more homogeneous samples. In effect, "random" became a bad word for theory and experiment in glass science.

In academic practice, Ge_xSe_{100-x} alloys have been studied in the greatest detail, with their compositional variations again best understood by combining topology with chemical bonding concepts [6,7]. Initially, it seemed [8] that the network connectivity underwent a single stiffness transition near the x = 20% composition, in agreement with topological predictions [4]. For an atom possessing a coordination number 'r' > 2, we have r/2bond-stretching ' α ' constraint and 2r-3 bond-bending ' β ' constraints. Thus, for a Ge atom possessing a coordination number of r = 4, we then have 7 constraints/atom, while for Se possessing a coordination number of r = 2, we have 2 constraints/atom. Therefore, in a binary Ge_xSe_{100-x} glass, we have the total count of ' α ' and ' β ' constraints/atom to be $n_c = 2 + 5x$. One expects an isostatically rigid network to form, i.e., when the count of constraints per atom is $n_c = 3$, which for $Ge_x Se_{100-x}$ glass occurs when x = 0.2 or 20 mole % or $\langle r \rangle = 2(1 + x) = 2.40$, leading to transition A, shown in Figure 1c. However, further Modulated-Differential Scanning Calorimetric (MDSC) [9–11] studies on chalcogenide glasses revealed two elastic phase transitions: the first exactly at x = 20%, or a mean coordination number $\langle r \rangle = 2.40$, labelled as A in Figure 1c as the rigidity or stiffness transition, and a second one at x = 26%, or $\langle r \rangle = 2.52$, labelled B in Figure 1c as the stress transition [12–14], once the self-organized intermediate phase was discovered [2,15–18]. The observation of the intermediate phase has stimulated both theoretical and experimental work [15,18–25]. Figure 1c. At x > 26%, corresponding to the labelled B location in (Figure 1c), the self-organized phase terminates as stressed-rigid building blocks of the Corner-Sharing (CS) GeSe_{4- δ} (0 < δ < 2) tetrahedra first emerge in Raman scattering [12] and steadily increase in concentration, leading to the formation of the stressed-rigid phase. In the stressed–rigid phase, the sharp peak in ΔH_{nr} observed at the location C of $\langle r \rangle = 2.63$ constitutes evidence of nano-scale phase separation of the bulk glass into large Se-rich and small Ge-rich regions, leading to the broken chemical order of the stoichiometric glass. The intermediate phase (IP) glasses were found not to age, unlike the aging observed for the flexible compositions (x < 20%) below the IP and for the stressed–rigid ones above the IP, defining the reversibility window. The vanishing of the enthalpy of relaxation ΔH_{nr} in the intermediate phase, measured at the glass transition temperature T_g , establishes the glass transition as thermally reversing. Here, ΔH_{nr} in MDSC measures the open degrees of freedom for the glass to relax and, thus, age. It was concluded that the thermal reversing

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nature of the glass transition T_g and the lack of aging are paradigms of self-organization that molecular glasses share with protein structures.

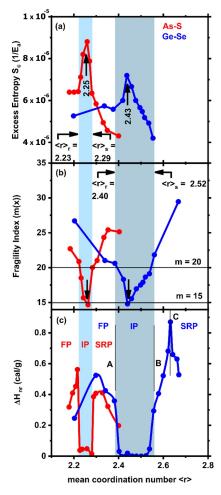


Figure 1. Compositional variation of the (a) Adam–Gibbs configurational entropy, S_c , (b) melt fragility index, m, and (c) enthalpy of relaxation ΔH_{nr} at T_g , in binary As_xS_{100-x} (\bullet) and Ge_xSe_{100-x} (\bullet), illustrating the three topological phases: the flexible phase (FP), the stressed–rigid phase (SRP), and the blue panels describing the intermediate phase (IP). For Ge_xSe_{1-x} glasses, the mean coordination number < r > is related to composition < r > = 2(1 + x), since Ge_xSe_{1-x} glasses possess Fe_xSe_{1-x} glasses posses Fe_xSe_{1-x} glass glass posses glass gla

Fragility of a glassy melt is defined as $m = d (\log \eta)/d(Tg/T)$ as the temperature T approaches the glass transition T_g . The Maxwell relation is represented as $\eta = G_\infty \tau$, where G_∞ represents the infinite frequency shear modulus, which is independent of temperature, and τ is the shear relaxation time. Thus, the melt fragility index, m, is directly accessible from MDSC experiments on Ge_xSe_{100-x} glasses by measuring τ , the shear relaxation time. MDSC thus permits measuring both the glass enthalpy of relaxation ΔH_{nr} and the melt fragility index m using the same glass sample. In addition, one finds the fragility index to reveal a global minimum of m = 15, near x = 23% of the center composition of the IP (Figure 1b). Such a dynamic anomaly in the molecular diffusivities of Ge and Ge in Ge_xSe_{100-x} glasses near Ge was independently confirmed by molecular dynamic simulations [26]. The fragility index minimum of Ge and Ge in Ge are secult that is found to be a general feature in covalent glasses [27], and, remarkably, it coincides with the minimum value of Ge expected for Ge glasses based on the Ge fragile-strong classification of melts deduced from the Mauro-Yue-Ellison-Gupta-Allen (MYEGA) relationship linking the melt fragility index with viscosity for glasses of different Ge in Ge in

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Molecular Origin of Fragility in Glasses. The structural origin of the fragility index, m, has been a subject of continued interest in glass science since the concept of fragility first emerged [29–32]. The strong-fragile classification of melts has been investigated in inorganic polymers [30,31] and metallic glasses [33]. The structural origin of fragility has remained less clear, as correlations were sought across multiple glass systems within which the structural evolution of glass structure was, at best, difficult to quantify in terms of non-ergodicity. In these previous reports, the melt fragility index m was found to vary in the 20 < m < 60 range, with the lowest fragility of m = 20 being for SiO_2 . This is in sharp contrast with the present work, which reveals that the minimum of the fragility index in chalcogenide glasses is found to vary in the 7.7 < m < 20 range, a characteristic of IP glassy melts, because glassy melts in the IP are composed of IRSs possessing no open degrees of freedom (n_{ODF}). Such structures suppress melt diffusion because they are built of rigid and stress-free building blocks. Thus, we suspect that in the previous reports [30,31,33], the results were exclusively related to glasses in either the flexible phase or the stressed-rigid phase. In these phases, the fragility index will be determined [34] by the open degrees of freedom, $n_{ODF} = n_d - n_c$, where $n_d = 3$ for 3D networks and n_c is the number of constraints/atoms, which would be less than 3 both in the flexible phase and in the stressed-rigid phase [34].

Synthesis of Homogeneous glasses by FT-Raman profiling. If glasses are homogeneous, a minimum in the fragility index of m = 15 is observed near the IP center composition. If glasses are, however, heterogeneous, one does not observe a clear reversibility window, and further, the minimum of the fragility index m is found closer to m = 30, as noted in three separate studies [35-37]. It was not until the method of ex situ Raman profiling was introduced [12] that the recognition first emerged regarding how to quantitatively distinguish homogeneous glasses from their heterogeneous counterparts. The distinction was made possible in a quantitative fashion by the FT-Raman profiling of glasses as they were synthesized in the following manner. For even rather small-sized batch compositions of 1.5 g of the most extensively investigated binary Ge_xSe_{100-x} glasses, it can take nearly 10 days of alloying at 950 °C for the Ge compositional variance, $\langle \Delta x \rangle_{Ge}$, along a 2.5 cm long glass column encapsulated in a 5 mm ID quartz tube, to reduce the heterogeneity to a stoichiometric variance of below 0.1%. At this point, the Raman lineshapes were taken at ten locations along the length of the glass column with a 1064 nm laser beam of 50 µm spot size, and, when homogenized, they became indistinguishable from each other. It was further found that the melt fragility index corresponding to the mid-IP glass composition Ge₂₃Se₇₇ revealed a global minimum of m = 15. The low fragility index of these melts at x = 23% leads the melt viscosity $\eta(x)$ to increase by nearly 2 orders of magnitude in the narrow composition range, going from x = 19% to x = 23%, which renders achieving homogeneity of these glasses challenging with larger-sized batch compositions. Indeed, when Ge_xSe_{100-x} melts were alloyed for only 2 days at 950 °C, the enthalpy of relaxation at T_g displayed a triangular reversibility window [12] rather than a square-well-like one, as shown in Figure 1c. Several research groups [38-40] who typically worked with larger batch sizes (>2 g) and who alloyed these for typically 2 days or less in the vicinity of 950 °C were unable to observe a square-well-like reversibility window (Figure 1c) in the 20% < x < 26% range. The viscosity of Ge_xSe_{100-x} glassy melts synthesized using 20 g sized batches typically showed [35] a melt fragility index of m = 30 near x = 23%, a result that strongly suggested that these glassy melts were heterogeneous. In homogeneous melts, one therefore expects the fragility index to display a Gaussian-like global minimum with m = 15 near the IP center composition (Figure 1b). Such glasses will, in general, display sharp rigidity transitions and stresselastic phase transitions at x = 20% and x = 26%, as noted in the homogeneous and dry glasses (Figure 1c). The constraint theory of glasses shows that the rigidity transition and

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the stress–elastic phase transition are both percolative in nature [41], and for that reason, in homogeneous glasses, one expects to observe *a square-well-like nature of the reversibility window* (Figure 1c).

Stress-free nature of Intermediate Phases probed in Pressure Raman scattering experiments. The isostatically rigid nature of glass networks formed in the IP implies that the count of chemical bonding constraints due to bond-stretching and bond-bending forces/atom, n_c , fully exhausts the three available degrees of freedom per atom in 3D networks. An important consequence of this condition is that IP glassy networks are stress-free. The stress-free character of the IP glass networks in Ge_xSe_{100-x} glasses was directly confirmed in pressure Raman experiments [42]. In such experiments, one examines the blue-shift of Raman modes as a glass specimen is subjected to hydrostatic pressure in a diamond anvil cell. And one found that for glass compositions outside the IP, the applied pressure P must exceed a residual pressure, P_r , in the glass for the symmetric stretch mode of GeSe₄ tetrahedra to blue-shift. Prior to this work, the finite value of P_r observed in glasses was recognized by K. Murase [43,44] to be a measure of *internal stress* in a glass network. However, for Ge_xSe_{100-x} glasses investigated by Fei Wang [45], one directly observed $P_r = 0$ across the IP, and a finite P_r was manifested and steadily increased in both the flexible phase (at x < 20%) and the stressed–rigid phase (x > 26%) as one moved away from the IP. Thus, the vanishing of P_r for glasses in the IP composition range directly confirmed that IP glass networks are indeed unique in that even though they form glass networks, their disordered networks are stress-free, a feature they share with crystalline solids.

Strong nature of Intermediate Phase melts of Ge_xS_{100-x} glasses. The challenges in homogenizing binary Ge_xS_{100-x} glasses [34] far exceed those encountered in the corresponding binary selenides, Ge_xSe_{100-x}. Here, one must remember that the S-S Pauling single-bond energy of 50.9 kcal/mole exceeds the Se-Se Pauling single-bond energy of 44 kcal/mole, leading to the formation of the S_8 rings in the sulfide glasses. The clearest evidence of the underlying challenges emerged from the work of Zhu et al. [46], who compared $T_g(x)$ variation in Ge_xS_{100-x} glasses reported by six research groups [46–52] spanning over 48 years of glass research. One found that in the S-rich glasses, x < 33.3%, the largest variation of T_g among the six research groups was 350 °C and occurred across the composition range 20% < x < 26%. Most remarkably for glass compositions in the Ge-rich compositions in the range of 33.3% < x < 50.0%, the variation in T_g among the different research groups was minuscule by comparison, i.e., less than 10 °C. Why is there such a large variation in T_g in the 20% < x < 26% range? The issue was recently addressed in detail by Boolchand et al. [34], who showed that the IP in Ge_xS_{100-x} glasses is manifested in that special composition range wherein the melt viscosity increases by nearly three orders of magnitude across the IP. It is for this reason that the large spread in T_g results because of glass heterogeneity. To synthesize homogeneous glasses, one must use the FT-Raman profiling of these glasses while synthesizing even small-sized (1.5 g) batches and achieve a Ge variance of $\langle \Delta x \rangle_{Ge}$ of 0.1% by alloying the starting materials for nearly 3 weeks at 950 °C [52]. When homogenized, these Ge_xS_{100-x} glasses not only displayed the highest T_g out of the results of the six research groups but also displayed no evidence of phase separation between Ge-rich and S-rich regions, as widely observed by four of the six research groups across the IP range especially. The homogeneity of these glasses also led [52] to a square-well-like reversibility window in $\Delta H_{nr}(x)$ to reside in the 20% < x < 26% range. Further, these glasses also revealed a saw-tooth-like variation in the melt fragility index m, showing a minimum of m = 15 near x = 26% at the stress transition. This feature of a saw-tooth-like variation in the fragility index m(x) is found to be closely correlated with the appearance of S_8 rings to be populated across the IP in the 20% < x < 26% range, with the S_8 ring concentration vanishing near the edge of the reversibility window near x = 26%. Int. J. Topol. 2025, 2, 14 6 of 19

The presence of these S_8 rings led to a decoupling of the glass backbone, and one found that the concentration of these rings linearly decreased across the IP, with the consequence that one observed a saw-tooth-like variation of the fragility index across the IP [52].

Optimization of Glass-Forming Tendency near IP melt composition center. The Adam–Gibbs configurational entropy [53], S_c , is inversely proportional to fragility index m, and thus shows a maximum (Figure 1a) when m shows a minimum (Figure 1b). The finding is in harmony with thermodynamic considerations that highlight the role of entropy in stabilizing the IP [18]. As one would expect, one finds the select glass composition corresponding to the maximum in S_c to be closely linked with the maximum of the bulk glass-forming tendency, as recently recognized [34]. A parallel set of results has emerged for binary As_xS_{100-x} glasses [54], wherein the IP occurs in the 23% < x < 29% range (Figure 1c) and the melt fragility index (Figure 1b) shows a global minimum of m = 15 near the IP center composition (Figure 1b), leading the Adam–Gibbs configuration entropy S_c to display a maximum near x = 25% or a mean coordination number of r = 2.25 (Figure 1a).

Topology also permits one to understand why the reversibility window for As_xS_{100-x} network glasses in Figure 1c is shifted to a lower network connectivity <*r>* in relation to the one in Ge_xSe_{100-x} network glasses. The molecular structures contributing to the formation of the intermediate phase in As_xS_{100-x} glasses consist of the two isostatic [54] ($n_c = 3$) structures, pyramidal $As(S_{1/2})_3$ local units (Figure 2d) and quasi-tetrahedral $S = As(S_{1/2})_3$ local units (Figure 2c) possessing a connectivity <r> of 2.28 and 2.40, respectively [55]. For the pyramidal $As(S_{1/2})_3$ and quasi-tetrahedral $S = As(S_{1/2})_3$ local units, constraint counting algorithms show them to be isostatically rigid, with a total count of constraints per atom $n_c = 3$. For the pyramidal unit, we have 7.5 constraints and 2.5 atoms per formula unit, leading to $n_c = 7.5/2.5 = 3$, as illustrated in Figure 2d. The quasi-tetrahedral local unit represents the As⁵⁺ state oxidation. For the 1-fold coordinated S, we would count ½ of a bond-stretching constraint but no bond-bending constraint. For the 4-fold As and 2-fold bridging S, we would have 7 and 2 constraints, leading the total count of constraints per formula unit to be 10.5 constraints with 3.5 atoms, leading to $n_c = 10.5/3.5 = 3$, as illustrated in Figure 2c. The observed intermediate phase in As_xS_{100-x} resides in the 2.23 < <r> < 2.28 range, as shown in Figure 1c. It differs from the intermediate phase in corresponding group V selenides. The underlying molecular structure consequences of the shift are also well understood [54,55] and stem from the high Pauling single bond strength of S-S bonds of 50.9 kcal/mole in relation to the Se-Se bond strength of 44.1 kcal/mole. On the other hand, the molecular structures contributing to the formation of the intermediate phase in Ge_xSe_{100-x} glasses are composed [12] of the two isostatic ($n_c = 3$) molecular structures of corner-sharing GeSe₄ (Figure 2a) and edge-sharing GeSe₂ (Figure 2b) tetrahedra possessing a mean coordination number <*r*> of 2.40 and 2.67, respectively. Thus, the shift of the reversibility window between Ge_xSe_{100-x} and As_xS_{100-x} glasses is of topological origin; it is related to the connectivity <*r*> of the isostatically rigid building blocks of their respective IP glass networks.

Further, wider intermediate phases have been found by examining the topological networks formed of equimolar alloys of Group IV (Ge) and Group V (P) selenide glasses, such as observed in the $Ge_xP_xSe_{100-2x}$ [56] or $Ge_xAs_xSe_{100-2x}$ [57,58] and $Ge_xAs_xS_{100-2x}$ [59] ternary glasses, where one finds that the intermediate phase is additive and due to the IP of group V selenide glass, which ranges from 2.28 < <r> < 2.40, adding to the intermediate phase of the Group IV selenide glass occurring in the 2.40 < <r> < 2.52 range, which leads to the widest known intermediate phase width reported to date. Separately, one also finds the fragility index variation across the IP to display a rather wide Gaussian-like global minimum of m = 15. The global fragility index of m = 15 near the IP center is closely tied to the isostatically rigid nature of the four-network building blocks formed in the

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IP of these chalcogenide glasses, as shown in Figure 2a–d. These molecular building blocks are topologically rigid but stress-free in nature, and this leads to the formation of structurally stable glasses that have correspondingly low melt diffusivities. These observations underscore, again, the topological nature of the three elastic phases formed in covalently bonded chalcogenide glasses.

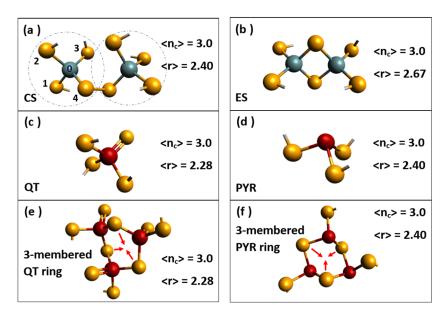


Figure 2. Isostatically rigid local structures forming the building blocks of the Ge_xSe_{1-x} glasses; (a) corner-sharing $Ge(Se_{1/2})_4$ and (b) edge-sharing $Ge(Se_{1/2})_2$ tetrahedra. The corresponding building blocks for the As_xS_{1-x} glasses include the (c) quasi-tetrahedral $S = As(S_{1/2})_3$ and (d) the pyramidal $As(S_{1/2})_3$. The mean coordination number < r > and the count of constraints/atom, n_c , are included for each building block. The building blocks of P_xSe_{1-x} glasses consist of (e) 3-membered QT rings and (f) 3-membered PYR rings.

We now conclude this section with a final comment. Recently, Burger et al. [60,61] have observed a fragility index in binary P_xSe_{100-x} glasses that displays a Gaussian-like variation of the fragility index, with a global minimum across the IP of m = 7.7. The fragility index of m = 7.7 is the lowest reported to date in any glass system. Close examination of the molecular structure of these specially homogenized glasses by Raman scattering reveals the occurrence of 3-membered rings of QT Se-P(Se $_{1/2}$)₃ units (Figure 2e) and 3-membered rings of pyramidal P(Se_{1/2})₃ units (Figure 2f) to be formed across the IP range. These extended range isostatically rigid structures hinder melt diffusion qualitatively, underscoring that the molecular origin of the fragility index in chalcogenide-based glasses is again topological. As a final remark, we add that intermediate phases have also been observed in alkali-borate [62] and alkali-phosphate [27] glasses with characteristic IRSs composed of "structural groupings" in the former and Q² bearing long chains in the latter. The Q² symbol represents a phosphorus atom having two bridging and two terminal oxygen near neighbors. Solid electrolyte glasses such as (AgI)_x(AgPO₃)_{100-x} [63] also display the three topological phases [64], including a rather wide square-well-like reversibility window, where the ionic conductivity is found to display a substantially higher ionic conductivity, particularly in the flexible phase.

3. Topology and Gorilla Glass

Connecting iPhone technology to suitable industrial glass covers was not an easy task. The original iPhone had a plastic display, which, Steve Jobs discovered the day after he announced it in January 2007, was already scratched. For years, Apple tried to

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invent a glass cover that was both strong and flexible, with little progress. In desperation, Apple called Corning's CEO, Wendell Weeks, who said that Corning might already have something, and after a few months, it provided a glass cover that was both flexible and scratch-resistant for the first generation of Gorilla glass [65]. As of this writing, Corning has produced 12 versions of Gorilla glass, with a variety of chemical modifications. In addition to silica, it contains Al, Mg, and Na ionic modifiers, and it may have its surface layer strengthened by Na-K exchange [66].

Corning viewed topological constraint theory as a key to understanding the temperature and composition dependence of the dynamics of covalent glass-forming liquids, but it was not the best way to fit silica-based glasses containing ionic modifiers. A phenomenological model based on the temperature dependence of the network constraints with only two empirical fitting parameters per oxide component fitted 7141 viscosity measurements for 1000 K < T < 1900 K, with an accuracy of 7 K [67].

4. Topology and Window Glass

The production of window glass has been perfected by human civilization since the 13th century, when the Venetians first developed cristallo [68], a colorless glass produced by refining silica (SiO₂) with soda (Na₂O) and lime (CaO). Window glass has qualitatively altered life on the planet, starting in the 16th century with the invention of the telescope, which permitted J. Kepler to quantitatively examine planetary motion, which allowed Sir Issac Newton to formulate the principles of classical mechanics in his *Principia*. The optical microscope soon followed, and today, progress in window glass has revolutionized flat panel displays for TVs, Jumbotrons, and the tempered glass of solar panels. The stability and quality of the glass used in these optical devices are intrinsically linked with the *isostatically rigid and self-organized nature of their glass networks, which reside in the intermediate topological phase*. The stability of IP glasses has been widely recognized in controlled laboratory experiments [12,13,27,69–72]. In Figure 3, we display the phase diagram of the SiO₂-Na₂O-CaO ternary system, displaying the glass-forming tendency as the light gray region taken from [73].

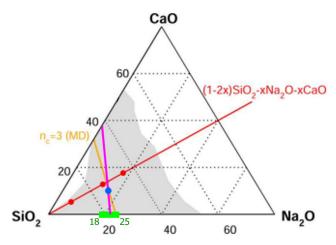


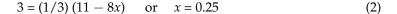
Figure 3. The glass-forming tendency in the SiO_2 -Na₂O-CaO ternary is depicted by the light grey region. The green region depicts the intermediate phase in the $(SiO_2)_x(Na_2O)_{100-x}$ binary to be in the 18% < x < 25% range of soda. The pink line, linking the green IP center composition, x = 21.5%, with the blue-filled circle, corresponds to the window glass composition of Kerner–Phillips [5] and represents the $n_c = 3$ tie line. The three filled red compositions at x = 6%, 12%, and 18% in the equimolar $(1-2x)SiO_2.xNa_2O.xCaO$ ternary, the red line, were investigated in MD simulations [73], and the amber line represents the $n_c = 3$ composition tie line deduced from the MD simulations. The vicinity of the x = 12% red-filled circle to the blue-filled circle convincingly demonstrates that window glass is an isostatically rigid glass network, accounting for its stability.

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Binary (SiO₂)_{1-x}(Na₂O)_x Glasses: Sodium silicate glasses have been examined in modulated-DSC experiments, and the green band shown in Figure 3 and the blue band in Figure 4 define the isostatically rigid intermediate phase to reside in the 18% < x < 25% range. In binary (SiO₂)_{1-x}(Na₂O)_x glasses, the Na atom is 1-fold coordinated and has a coordination number r = 1, leading there to be $r/2 = \frac{1}{2}$ of a bond-stretching constraint but no bond-bending constraints. For an oxygen atom that is 2-fold coordinated, the coordination number is r = 2, and we therefore have 1 bond-stretching constraint (r/2) and 1 bond-bending constraint per oxygen atom (2r - 3 = 1), whereas for an Si that is 4-fold coordinated, r = 4, and we have r/2 = 2 bond-stretching and 2r - 3 = 5 bond-bending constraints, leading to the total count of constraints n_c per atom to be

$$n_c = (1/3) \{ [2x (1/2)] + [2x + 4(1-x)] + [7(1-x)] \} = (11 - 8x)/3$$
 (1)

where the first term in square brackets on the RHS of Equation (1) represents the constraints due to the **Na** atoms, the second term due to the **O** atoms, and the third term due to the **Si** atoms. Upon requiring that the $(SiO_2)_{1-x}(Na_2O)_x$ glass network be isostatically rigid, i.e., $n_c = 3$, one obtains the following result:



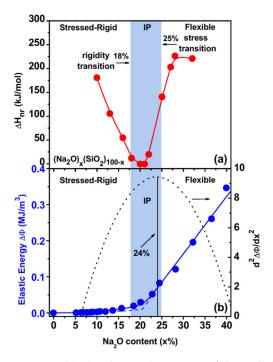


Figure 4. (a) The observed variation of the enthalpy of relaxation ΔH_{nr} at T_g in $(\text{Na}_2\text{O})_x(\text{SiO}_2)_{100\text{-}x}$ glasses reveals that the intermediate phase is in the 18% < x < 23% range. (b) Brillouin scattering measurements suggest the onset of rigidity to occur near x = 24%, where the elastic energy departs from linearity [70]. The onset of rigidity near x = 23% in calorimetric measurements and near x = 24% in Brillouin scattering measurements is quite close to the theoretically expected transition near x = 25%. See Text.

Therefore, topological constraint theory shows that the *rigidity transition* in sodium silicate glasses should occur at a composition of x = 25% of Na₂O. Modulated-DSC results [70] on these glasses (Figure 4a) performed in 2005 show that the enthalpy of relaxation at the glass transition temperature, ΔH_{nr} , does display a reversibility window in the 18% < x < 100%

23% range of soda, thus defining the intermediate phase. Brillouin light scattering [70] results showed that the elastic energy ($\Delta \phi$)

$$\Delta \varphi = (1/6)(\Delta \rho/\rho)^2 (3C_{11} - 4C_{44}) \tag{3}$$

of $(SiO_2)_{1-x}(Na_2O)_x$ glasses also displays a sharp change in slope near x = 24%, with the elastic energy $\Delta \varphi$ increasing linearly as x > 24%; this feature was identified with the rigidity transition. In Equation (3), C₁₁ and C₄₄ represent the longitudinal and shear elastic constants, and ρ represents the mass density. The three topological phases of stressed–rigid, intermediate, and flexible are illustrated in Figure 4a. There exists a small discrepancy in the rigidity transition predicted at x = 25% and observed near x = 24% in Brillouin scattering, and near x = 23% in the MDSC measurements. In 2005 [70], we were unaware that water impurities and inhomogeneity could lead to a compositional smearing of the rigidity and stress-elastic phase transitions. However, in 2013, a remarkable change occurred when FT-Raman profiling of glasses was introduced [12] to synthesize homogeneous glasses. Today, one recognizes with considerable confidence [34] that the sharpness of the rigidity transition and the stress transition that defines the intermediate phase requires the glasses to be compositionally homogeneous, in which the variance of the atom concentration of a species (E), $<\Delta x>_E$ across the synthesized batch should be 0.1% or less [12]. This requirement is fully consistent with the percolative nature [41] of these two elastic phase transitions. In dry and homogenous glasses, the reversibility window then displays a square-well-like variation characteristic of the sharply defined nature of the rigidity and the stress transitions, as illustrated experimentally in more than a dozen glass systems synthesized [12,69,71] once homogeneous glasses are synthesized. On the other hand, in heterogeneous glasses, one often encounters a more Gaussian-like global minimum in the enthalpy of relaxation $\Delta H_{nr}(x)$ (Figure 4a).

The first example of a *square-well-like reversibility window* was observed in the Ge_xSe_{100-x} binary [12] glass system in 2013. In this binary glass, the intrinsically flexible $Se\ (r=2)$ chains, when cross-linked by the $Ge\ (r=4)$ additive, lead the glass to steadily stiffen. If the $Ge\ crosslinks$ are *uniformly distributed* across the batch composition, one expects a rigidity transition to occur near x=20% of $Ge\ and$ to be sharply defined in terms of composition, as found in Lamb–Mössbauer measurements [12], and separately through enthalpy of relaxation ΔH_{nr} at the glass transition measurements using MDSC experiments [12,14]. The Mössbauer-effect experiments typically made use of a small 100 mg batch size of Ge_xSe_{100-x} glass doped with 1 at. % of ^{119}Sn tracer. Such small-sized batches easily homogenize [12] in less than 6 h when alloyed at 960 °C, as revealed by FT-Raman profiling experiments [12]. In Raman scattering and MDSC measurements, one requires larger-sized batches, however, typically 2 g in size. Such large batches require almost 9 days of alloying at 960 °C to adequately and fully homogenize. The delayed homogenization is directly traced to the *super-strong nature* of IP melts possessing a low fragility index $m=15\ [14,34,54,56,59]$.

Returning to the case of sodium silicates, the small differences between the predicted and observed rigidity transition from calorimetric and Brillouin light scattering measurements can be traced to the lack of glass homogeneity. In these experiments on sodium silicate glasses, 18 g batches were alloyed at $1500-1650\,^{\circ}\text{C}$ for 4 h [70]. Such large batch sizes pose challenges to homogenize because of the low fragility index of IP melts (m=15), as discussed above [34]. The ideal isostatically rigid composition ($n_c=3$), where the glassforming tendency is optimized, resides near the IP center composition in most cases, where the lowest fragility index is manifested in a square-well-like variation [12] of the enthalpy of relaxation at T_g . As shown earlier in Section 2, the lowest fragility index also possesses the highest glass-forming tendency because of the highest Adam–Gibbs configurational entropy. These results on $(SiO_2)_{1-x}(Na_2O)_x$ permit one to recognize that in the ternary

diagram of Figure 3, the pink line drawn separates the composition triangle into the flexible phase and the stressed–rigid phase.

(SiO₂)_{1-x-y}(Na₂O)_x(CaO)_y Glasses: Kerner and Phillips [5,74] examined the ring topology in SiO₂ glass and compared it to the ring topology of window glass (SiO₂)_{1-x-V}(Na₂O)_x(CaO)_V, allowing them to establish the chemical composition of the latter by requiring that each system possess the same ring topology. Thus, for example, in pure SiO₂, tetrahedrally coordinated Si bonds to four oxygen near neighbors, which leads to six rings existing around each Si atom. In window glass, (SiO₂)_{1-x-y}(Na₂O)_x(CaO)_y, since each Na⁺ cation is 1-fold coordinated to oxygen, its presence reduces the count of the rings of a base SiO₂ glass network to three rings, and since there are two Na atoms in the window glass formula, we expect six rings to form around Si in the glass formula unit. On the other hand, a single Ca²⁺ cation in SiO₂ would be 2-fold coordinated with oxygen and would thus zip two tetrahedra to create a sixfold unit, which would produce 15 rings around it. Thus, in a base SiO₂ glass, for each Na₂O molecule alloyed, topologically, one reduces six rings, while for each CaO molecule alloyed, one creates nine additional rings. Thus, to keep the count of rings fixed at six in the window glass of $(SiO_2)_{1-x-v}(Na_2O)_x(CaO)_v$ stoichiometry, the ratio of x/y = 9/6. The requirement of having 6 rings around each Si atom in window glass leads the chemical composition of window glass to be (SiO₂)₇₄(Na₂O)₁₆(CaO)₁₀, and this is illustrated in Figure 3 by the blue-filled circle (•). This glass composition is quite close [5] to the composition of window glass. All of the evidence accrued so far strongly suggests that window glass possesses a self-organized network. The presence of the filled blue circle on the pink line corresponding to $n_c = 3$ suggests that the glass composition possesses an IRS and is self-organized. In our opinion, what remains to be demonstrated is to establish the enthalpy of relaxation ΔH_{nr} at T_g in an MDSC experiment and directly confirm that the calorimetric term vanishes.

More recently, Micoulaut [73] and collaborators have performed detailed molecular dynamic simulations on three compositions, x = 6%, 12%, and 18%, of the equimolar soda-lime silicate glass compositions (SiO₂)_{1-2x}(Na₂O)_x(CaO)_x. These compositions are designated by the red-filled circles in Figure 3. MD simulations of the soda–lime silicate glasses were undertaken in the NPT ensemble at zero pressure. The systems were first homogenized at elevated temperature prior to a quench to room temperature (300 K). Details of the structural analysis and validation of the model from experimental scattering functions can be found in Ref. [73]. The interaction potential included a two-body potential of Buckingham type [75], and it contains an attractive dispersive term in $1/r^6$, a Coulombic term, and a repulsive term. From a detailed analysis, they concluded that the composition x = 6% is stressed–rigid, with a constraint number n_c in the 3.33 < n_c < 3.36 range; the composition x = 12% is nearly isostatically rigid, $3.03 < n_c < 3.10$; and the x = 16% composition is flexible, with n_c in the $2.70 < n_c < 2.80$ range. The proximity of the red-filled circle at x = 16% to the Kerner–Phillips estimate of the window glass composition shown by the blue-filled circle (•) suggests that both glasses are close to the window glass compositions and are nearly isostatically rigid. Perhaps the most impressive feature of the MD simulations is that the angular variance or second moment σ_{θ} of the bridging oxygen (BO) and Si atoms are rather narrowly defined, with σ_{θ} < 5 degrees (Figure 5), which shows that these species contribute to the bond-bending rigidity of the glass network. On the other hand, both the Ca- and Na-centered bond angle distributions display a large variance, σ_{ϑ} ~ 30 degrees, underscoring little or no directional bonding (Figure 5). The bond-bending constraints associated with the Ca- and Na-modifiers are thus intrinsically broken.

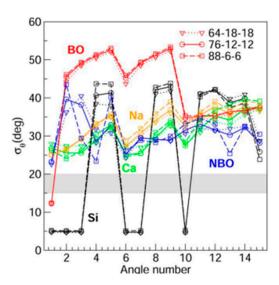


Figure 5. Second moments σ_{ϑ} of the species-dependent (Si, BO, NBO, Ca, Na) PBADs as a function of the arbitrary angle number (here, N = 15) for the three glass compositions of interest. See text.

It is well known that glasses are out-of-equilibrium systems and that they display aging. This also applies to numerical glasses investigated from MD simulations. In the present case, we have realized a similar procedure as in experiments, i.e., equilibrated hightemperature liquids were quenched to 300 K before statistical analysis. Typical thermal quantities (density, energy) were found to be constant on average. Nevertheless, such systems exhibited aging effects, i.e., two-time correlation functions will depend on the waiting time t_w before the calculation is performed [76]. However, noteworthy is the fact that aging does not modify the short-range structure (i.e., the base silica tetrahedra are preserved under ageing), so MD-derived constraint counting algorithms based on standard deviations can be safely used. In conclusion, for theorists, the first step in understanding the structure of network glasses was finding qualitative improvements on the random network model (Zachariasen, 1932) [77], suggested by diffraction experiments. Because stiffness and stress phase transitions were known, two non-random 5000-atom models were studied: a geometrical one with no small rings, and a physical one with minimized internal stresses [78]. The latter gave two phase transitions and an intermediate phase that is rigid but stress-free, in agreement with the experiment. Meanwhile, a topological model explained the compositions of stiffness transitions in window glass [74] and Pyrex [5]. Recent results with 3000-atom simulations using realistic interatomic forces provide details confirming previous topological models, with an excellent quantitative fit to neutron diffraction data [73].

5. Topology, Dynamical Protein Structure, and Self-Organization

The subject of static protein structures has been studied for decades using powerful tools, especially synchrotron X-ray diffraction [79]. Most protein functions involve dynamical interactions with different structures. This has led to various descriptions of the static or resting structures as folded, and it was suggested that "a protein solves its large global optimization problem as a series of smaller local optimization problems" [80]. Recently, the number-crunching of triangular bits of known protein structures, using an artificial intelligence (AI) system developed by DeepMind, has enabled the prediction of three-dimensional (3D) structures of proteins from amino acid sequences [81]. However, proteins function in an activated (topological saddle point) state different from their minimal energy resting state, and it is unclear how these states can be connected. Many aspects of this problem have been clarified by realizing that both network glasses and proteins are

self-organized networks. Moreover, proteins evolve towards phase transitions. As we shall now briefly review, both covalent glass stiffness transitions have recently been identified in the extremely well-studied case of mutations of the spike of the virus responsible for the COVID pandemic. Stiffness is a dynamical property, and for proteins, it has turned out that this property can be quantified through topological shaping effects driven by the water film surrounding the spike. An early way of studying strain effects counted constraints due to interunit connections. Already, this is a task for computers, first undertaken by Michael F. Thorpe and co-workers, initially for glasses and then for proteins [82]. Thorpe et al. studied this process for 26 proteins with a range of architectures and sizes (58–1332 residues). They found many quantitative similarities between proteins and network glasses, concluding that the protein folding transition can be viewed as a flexible to rigid phase transition, like that observed for network glass alloys, as illustrated in Figure 6.

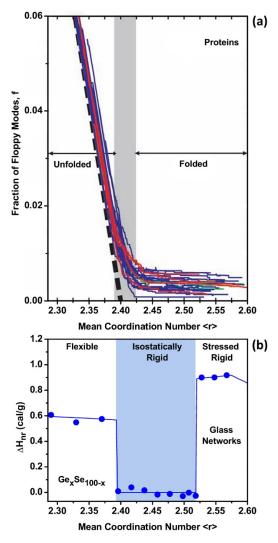


Figure 6. (a) In proteins, the unfolded (flexible) to folded (isostatically rigid) transition causes the floppy mode fraction in a set of 26 structurally diverse proteins to nearly vanish once the mean coordination number is < r > = 2.41, as the proteins self-organize [82]. (b) The behavior is replicated in Ge_xSe_{1-x} glasses, where one observes a 4a reversibility window [12] defining the rigidity transition near < r > = 2.40 and the stress transition near < r > = 2.52. The observed enthalpy of relaxation $\Delta H_{nr}(x)$ at the glass transition derives from floppy modes in the Se-rich flexible glasses and vanishes in the isostatically rigid glasses formed in the 2.40 < < r > < 2.52 range of connectivity < r >. At < r > > 2.52, in the stressed–rigid glasses, some of the GeSe₄ tetrahedral bond-angle constraints are partially broken, causing the $\Delta H_{nr}(x)$ term to reappear [34].

This transition is shared among diverse proteins ranging from all- to all- folds, and from monomers to tetramers, and it occurs once the protein denatures to a mean coordination < of 2.41, which is very similar to the value found in network glasses [2], as illustrated in Figure 6. In Figure 6b, we show that the observed count of floppy modes in a binary chalcogenide glass Ge_xSe_{100-x} displays a sharply defined drop when the glass undergoes a stiffness transition from the flexible phase to the isostatically rigid phase near < r> = 2.40. In Figure 6a [82], we show 26 structurally diverse proteins in which the unfolding to folding transition occurs as < r> = 2.40. The folded state of the proteins and the intermediate phase of glass are both self-organized states of these systems (Figure 6), as illustrated by the near vanishing count in the fraction of floppy modes.

Molecular biologists have found water effects in proteins to be problematic, even with the largest computers. The key variable here is the residues' Solvent Accessible Surface Areas (SASAs). Ideally, one could quantify the SASA with an amino acid hydrophobicity scale abbreviated as (aa). Over 100 of these have been proposed, but almost all are only qualitative. The sole exception is the MZ scale obtained by two Brazilian physicists, Moret and Zebende (MZ), where the residue was in turn centered in curved segments at a length of L = 2N + 1 long. Averaging > 5000 high-resolution protein segments, their data displayed a surprisingly simple linear behavior of log $\phi(aa,N)$ against N for all 20 aa for $4 \leq N \leq 17$. The slopes here are called fractals [83]. The fact that such a universal correlation is obtained for all 20 aa shows that living proteins have already evolved to be self-organized near second-order phase transitions (Figure 7). The dominant factor in most protein structures is this strong correlation of the SASA with a self-similar protein curvature.

Glass: Ge _x Se _{100-x}		
Flexible	Isostatically Rigid	Stressed-Rigid
0 < x < 20%	20% < x < 26%	26% < x < 33.3%
Under constrained	Reversible	Over constrained
COVID		
2019	2022	2024
Cov19	Omicron BA.5	KP.3, KP.3.1, XEC
Leveling hydrophobic extrema	RBD binding S486P - F456L	Glycation of N-terminal
1 st Tra	ansition 2 nd	Transition
Rig	idity	Stress

Figure 7. Parallels between the phase transitions observed in Ge_xSe_{100-x} glasses and COVID.

The rigidity transition observed at x = 0.20 in Ge_xSe_{100-x} glasses parallels the one observed in Cov 19. The common feature between glasses and proteins is, briefly, as follows. The stress transition observed in Ge_xSe_{100-x} glasses parallels the behavior observed in Omicron and BA.5. The unifying aspect of parallel phase transitions is emphasized. While these phase transitions began to be seen in covalent glasses four decades ago, their discovery in proteins, using the Brazilian exact hydrophobicity scale, became possible less than two decades ago. The common features between glasses and proteins are, briefly, as follows. Constraint counting is easiest in predominantly covalent glasses. Protein bonding is predominantly covalent, and it occurs primarily in hydrophobic regions.

Many self-similar geometrical examples of fractals are known (see the fractal Wiki). Because the phase transition concept is so precise, MZ fractals can be used to study protein evolution connected with domain motion. The software used is an EXCEL macro, available from JCP on request. The first covalent glass phase transition to the isostatically rigid phase occurred in 2021 (Figure 7), and it caused the pandemic to end abruptly [84]. By 2024, a few more mutations had been added (Figure 7), and these turned out to include

an external constraint, called glycation [85]. This is clearly a first-order phase transition corresponding to the stress transition. These trends show that the topological similarities between constraints in network glasses and proteins may be extremely close (Figure 7).

To summarize, the first model of protein stiffness transitions was a computer model [82]. The specific applications to COVID came later and are evident in [84], which includes references to arXiv papers that date to SARS. The spike (S) protein of SARS-CoV-2, which plays a key role in the receptor recognition and cell membrane fusion process, is long and slender, and it is immersed in water. It contains 1200 amino acids, and 300 of these were mutated between SARS and COVID. This made it easy to identify the shape synchronization effects, which increase contagiousness. Subsequent mutations were fewer, but they always improved the leveling of hydrophobic domain edges, up to Omicron (2022). Abruptly, the hydrophobic mutations switched to the small Receptor Binding Domain. This blocked the cooperative trigenic fusion mechanism, which had made COVID so dangerous.

6. Concluding Remarks

Why some materials form glasses, even when slowly cooled, has been one of the greatest mysteries of science. The first clue emerged in covalent Ge_xSe_{1-x} glasses, where the interatomic forces are short-range, and stretching and angle-bending constraints are countable. The most favorable compositions for glass formation involved matching constraints with degrees of freedom. Modulated-Differential Scanning Calorimetric (MDSC) studies on Ge_xSe_{1-x} chalcogenide glass revealed two elastic phase transitions—a stiffness transition at x = 0.20, and a stress transition at x = 0.26—leading to three topological phases: a flexible phase for compositions below x < 0.20, an intermediate phase in the 0.20 < x < 0.26 range, and a stressed–rigid phase for compositions above x > 0.26. These topological phases (TPs) have now been observed in more than two dozen chalcogenides and modified oxide glasses.

In proteins, the unfolded (flexible phase) to the folded (isostatically rigid intermediate phase) transition is also a stiffness or rigidity transition. In proteins, the counting of constraints is made possible by the shaping effects of water, which can be calculated using the recently discovered hydrophobicity scale, which exhibits self-similar scaling, which is characteristic of second-order phase transitions. The most detailed results of mutations on protein dynamics have been obtained for the pandemic virus because of its rapid and accurately reported evolution. Here, topology and thermodynamics are combined to explain why the pandemic disappeared abruptly [84]. The results described here are far from Euler's Seven Bridges, yet they are connected by the special way of thinking that topology involves.

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