

ICFP Master Program
Condensed Matter Theory (B. Douçot, B. Estienne, L. Messio)
Monday December 17th 2018, 14:00 to 18:00.

The goal of the problem is to couple a 1D Luttinger liquid to a 1D electric field. We recall that the Fourier modes of density fluctuations of right and left moving particles are given respectively by the operators $\rho_R(q)$ and $\rho_L(q)$. A traditional formulation of the Luttinger model, which we have not given in the lectures, involves a pair of canonically conjugate real scalar fields $\Phi(x)$, $\Pi(x)$, which are given explicitly by:

$$\begin{aligned}\Phi(x) &= \sum_{q \neq 0} -\frac{i\pi}{qL} (\rho_R(q) + \rho_L(q)) e^{-iqx} - \frac{\pi}{L} (\hat{N}_R + \hat{N}_L)x + \bar{\phi} \\ \Pi(x) &= \sum_{q \neq 0} \frac{1}{L} (\rho_R(q) - \rho_L(q)) e^{-iqx} + \frac{1}{L} (\hat{N}_R - \hat{N}_L)\end{aligned}$$

The $\bar{\phi}$ operator is characterized by the fact that it commutes with density modes $\rho_R(q)$, $\rho_L(q)$ and that it satisfies: $[\bar{\phi}, \hat{N}_R - \hat{N}_L] = i$. To simplify notations, we shall use the convention $\hbar = 1$ in this problem. L is the linear size of the periodic 1D domain.

1 Luttinger model in terms of scalar bosonic fields

- 1) Check that the field operators $\Phi(x)$ and $\Pi(x)$ are self adjoint. This is what is meant by the expression *scalar fields*.
- 2) Check that these fields obey canonical commutation rules, i.e. $[\Phi(x), \Phi(x')] = 0$, $[\Pi(x), \Pi(x')] = 0$, and $[\Phi(x), \Pi(x')] = i\delta(x - x')$.
- 3) Check that we can relate the Φ field to the long wave-length modulations of the electronic density by $\rho_R(x) + \rho_L(x) = -\frac{1}{\pi} \frac{\partial \Phi}{\partial x}$. What kind of physical interpretation of the phase field Φ does this suggest?

2 Coupling to an external electric field

We are now taking the 1D electronic system to be infinite, so $L \rightarrow \infty$. One can easily show that the kinetic energy Hamiltonian H_0 for the Luttinger model with linear dispersion relations on the right and left moving branches can be recast into the quadratic bosonic Hamiltonian:

$$H_0 = \frac{v_F}{2} \int_{-\infty}^{\infty} dx \left(\pi \Pi^2 + \frac{1}{\pi} \left(\frac{\partial \Phi}{\partial x} \right)^2 \right)$$

We couple this 1D system to an external electromagnetic field, described in terms of the scalar potential $V(x, t)$ and the component $A(x, t)$ of the vector potential taken along the wire. The component of the electric field which is tangent to the wire is then given by: $E(x, t) = -\frac{\partial V}{\partial x} - \frac{\partial A}{\partial t}$. We recall that this electric field is invariant under gauge transformations defined by: $A \rightarrow A + \frac{\partial f}{\partial x}$ and $V \rightarrow V - \frac{\partial f}{\partial t}$, where f is an arbitrary function of x and t .

The effect of this electric field on the electronic system is assumed to be described by the additional term:

$$H_{\text{el}}(t) = -e \int_{-\infty}^{\infty} dx \left(\frac{V(x, t)}{\pi} \frac{\partial \Phi}{\partial x}(x) + v_F A(x, t) \Pi(x) \right)$$

where $H_{\text{el}}(t)$ has the status of a time-dependent operator in Schrödinger's picture. The total Hamiltonian acting on the 1D system is then $H(t) = H_0 + H_{\text{el}}(t)$.

In the remaining questions, we shall adopt the following notations for Fourier transforms, adapted to the case of an infinite 1D system:

$$\begin{aligned} f(x, t) &= \int_{-\infty}^{\infty} \frac{dq}{2\pi} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{i(qx - \omega t)} \tilde{f}(q, \omega) \\ \tilde{f}(q, \omega) &= \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dt e^{-i(qx - \omega t)} f(x, t) \end{aligned}$$

4) Show that the time evolution of Heisenberg operators $\Phi(x, t)$ and $\Pi(x, t)$ is determined by:

$$\begin{aligned} \frac{\partial \Phi}{\partial t}(x, t) &= \pi v_F \Pi(x, t) - e v_F A(x, t) \\ \frac{\partial \Pi}{\partial t}(x, t) &= \frac{v_F}{\pi} \frac{\partial^2 \Phi}{\partial x^2}(x, t) - \frac{e}{\pi} \frac{\partial V}{\partial x}(x, t) \end{aligned}$$

- 5) In the above system of equations, eliminate Π to obtain an equation connecting Φ to (V, A) . Check that it is gauge invariant, provided $\Phi(x, t)$ behaves in a well-chosen way under gauge transformations. Can you justify this choice on a physical ground?
- 6) Explain to which extent we can consider that the local electric charge density $\rho(x, t)$ and the local electric current density $j(x, t)$ are given by:

$$\begin{aligned} \rho(x, t) &= -\frac{e}{\pi} \frac{\partial \Phi}{\partial x}(x, t) \\ j(x, t) &= \frac{e}{\pi} \frac{\partial \Phi}{\partial t}(x, t) \end{aligned}$$

Motivate then the choice of H_{el} .

- 7) Using previous questions, show that there exists a *linear* relation between the current $j(x, t)$ and the field $E(x, t)$. Setting $\tilde{j}(q, \omega) = \tilde{\sigma}_0(q, \omega) \tilde{E}(q, \omega)$, compute $\tilde{\sigma}_0(q, \omega)$. How can you interpret physically such result?
- 8) We consider the special case $E(x, t) = E\theta(t)$ where $\theta(t) = 1$ if $t > 0$ and $\theta(t) = 0$ if $t < 0$. The initial condition is $j(x, t) = 0$ for all $t < 0$. Evaluate then $j(x, t)$ for $t \geq 0$. Does this fit with your previous physical interpretation of $\tilde{\sigma}_0(q, \omega)$?
- 9) We consider now the case of a static external potential $V(x)$. Show that the induced charge $\rho(x)$ is *linear* in V . Setting $\tilde{\rho}(q) = \tilde{\chi}^0(q) \tilde{V}(q)$, compute $\tilde{\chi}^0(q)$. What do you think of this result? Under which conditions does this sound realistic? Which microscopic processes are not captured by this simple model?

3 A toy model of 1D electrodynamics

Besides the matter-field coupling associated to H_{el} , it is necessary to attribute its own dynamics to the field, in the presence of source terms (ρ, j) . We make the following choice: $\frac{\partial E}{\partial x} = \rho$ and $-\frac{\partial E}{\partial t} = j$.

- 10) Give a physical motivation for this choice. What is the potential $V(x)$ which is created by a point charge located at $x = 0$, so $\rho(x) = \delta(x)$? Are you surprised by the result?
- 11) Give the complete evolution equation for the E field, after eliminating the source terms (ρ, j) using results of section 2. What kind of dispersion relation do you get? How do you interpret physically this result?
- 12) We wish to evaluate the response of this system to an externally imposed field $E_{\text{ext}}(x, t)$. For this, we proceed in the following way. The electronic system responds to the total field $E = E_{\text{ext}} + E_{\text{ind}}$, where E_{ind} is the induced field, solution of $\frac{\partial E_{\text{ind}}}{\partial x} = \rho$ and $-\frac{\partial E_{\text{ind}}}{\partial t} = j$.
Consider first the case of a static external potential $\tilde{V}_{\text{ext}}(x)$. Show that the induced charge $\delta\rho(x)$ in the system is linear in $V_{\text{ext}}(x)$, so that we may write $\delta\tilde{\rho} = \tilde{\chi}(q)V_{\text{ext}}(q)$. Compute $\tilde{\chi}(q)$. In the particular case where $V_{\text{ext}}(x)$ is created by a point like external charge, (so $\frac{\partial^2 V_{\text{ext}}}{\partial x^2} + \delta(x) = 0$), compute $V(x)$ and compare it to $V_{\text{ext}}(x)$. What do you think of the result?
- 13) We now assume an arbitrary profile for $E_{\text{ext}}(x, t)$. Show that the induced current $j(x, t)$ is still linear in E_{ext} , so that we have: $\tilde{j}(q, \omega) = \tilde{\sigma}(q, \omega)\tilde{E}_{\text{ext}}(q, \omega)$. Express $\tilde{\sigma}(q, \omega)$ as a function of $\tilde{\sigma}_0(q, \omega)$. What is the limit of $\tilde{\sigma}(q, \omega)$ when $(q, \omega) \rightarrow (0, 0)$? How would you qualify such system?
- 14) Suppose that we replace the interaction between electrons in this 1D model by the usual 3D Coulomb potential e^2/r . You may use the fact that the Fourier transform of $1/r$ in 1D is approximately $2\log(1/|q|a)$ at small q , where a is a short distance cut-off. How would this affect the previous results?