

Chromatin: A tunable spring at work inside chromosomes

Eli Ben-Haim, Annick Lesne,* and Jean-Marc Victor†

Laboratoire de Physique Théorique des Liquides, Université Pierre et Marie Curie, Case Courrier 121, 4 Place Jussieu, 75252 Paris Cedex 05, France

(Received 25 April 2001; revised manuscript received 26 June 2001; published 30 October 2001)

This paper focuses on mechanical aspects of chromatin biological functioning. Within a basic geometric modeling of the chromatin assembly, we give a complete set of elastic constants (twist and bend persistence lengths, stretch modulus and twist-stretch coupling constant) of the so-called 30-nm chromatin fiber, in terms of DNA elastic properties and geometric properties of the fiber assembly. The computation naturally embeds the fiber within a current analytical model known as the “extensible wormlike rope,” allowing a straightforward prediction of the force-extension curves. We show that these elastic constants are strongly sensitive to the linker length, up to 1 bp, or equivalently to its twist, and might locally reach very low values, yielding a highly flexible and extensible domain in the fiber. In particular, the twist-stretch coupling constant, reflecting the chirality of the chromatin fiber, exhibits steep variations, and sign changes when the linker length is varied. We argue that this tunable elasticity might be a key feature for chromatin function, for instance, in the initiation and regulation of transcription.

DOI: 10.1103/PhysRevE.64.051921

PACS number(s): 82.37.Rs, 46.25.-y, 87.19.Rr, 83.80.Lz

I. INTRODUCTION

Chromatin is an ubiquitous protein-DNA complex observed in chromosomes of all eukaryotic organisms and strikingly conserved during evolution [1–3]. It ensures DNA compaction during the mitosis and plays a key, but still unclear role in all the biological functions involving genomic DNA: replication, transcription, and repair. For instance, the chromatin degree of compaction is acknowledged to regulate, somehow, transcriptionally active regions [4].

The general issue taken up in this paper is to understand the interplay between the mechanical properties of the fiber and its biological functions. We aim at understanding quantitatively the grounds for existence of the so universal and so conserved features of the assembly of linkers and nucleosomes forming the chromatin fiber. We claim that part of the answer lays in the peculiar mechanical properties of this assembly.

The typical length scale of chromatin fiber (diameter about 30 nm) is large enough to allow a mechanistic viewpoint: describing the elastic properties of the chromatin fiber is nothing but a problem of spring mechanics [5]. Nevertheless, the architecture of this “spring” is much more complex than a simple helical coiling and we expect that the detailed structural features of the chromatin assembly still strongly influence the behavior at the fiber scale. We thus investigate the specific elastic behavior associated with the chromatin structure and its sensitivity to the structural parameters of the fiber, singled out within a basic geometric modeling of its assembly.

We underline that fiber elasticity is involved in two, quite different, issues. The first one is to describe the linear elastic response of the fiber to global stresses, i.e. a force and a torque applied at its ends. This issue refers to micromanipulations

in which a single chromatin fiber is pulled (and possibly will be twisted) [6]. Our theoretical study provides a framework to interpret the experimental results, in particular, to predict force-extension curves in terms of geometric and mechanical parameters of the underlying model of fiber. Our approach largely extends preliminary results presented quite recently by Schiessel *et al.* [7], since it gives the exact analytical value of the complete set of elastic constants of the fiber. Comparison with the observed curves allows to extract small-scale information, about the microscopic structure, about the interactions involved, either about possible conformational, or structural changes.

However, except during anaphase, when sister chromatids are separated by the mitotic spindle [8], such “macroscopic” stresses are not encountered *in vivo* at the chromatin fiber level; micromanipulations of the fiber and associated force measurements may nevertheless unravel physical parameters involved in biological mechanisms, such as the elastic constants. An issue directly relevant to the *in vivo* functioning of chromatin is to describe the response of the fiber to local, internal stresses as those created by intercalators, groove-binding proteins, or any induced change in the fiber assembly or small-scale structure. Our theoretical approach also gives a framework for such studies. Indeed, to be solved, both issues require to relate the structure and mechanics at the DNA scale and those at fiber scale, which is the scope of the present work.

The chromatin scale is precisely the scale of nanomechanics: at this scale, we expect a strong and direct interplay between the biological functioning, monitored by various enzymes, and the mechanical properties of the substrate, here the chromatin fiber. We thus believe that a mechanistic approach is well suited to evidence possible mechanisms for the fiber decondensation prior to transcription, for the connection between enhancer and promoter DNA regions during transcription, for the nucleosomal DNA site exposure allowing protein binding at specific sites or for the ejection of nucleosomes presumably required during replication [9].

*Email address: lesne@lptl.jussieu.fr

†Email address: victor@lptl.jussieu.fr

The core of the study is to describe how the linear elastic behavior of chromatin fiber originates from the elastic properties of linker DNA (i.e., naked DNA connecting the nucleosomes). In Sec. II, we introduce a mechanical model of chromatin fiber, quite similar to the so-called two-angle model [10]; it incorporates microscopic data, such as DNA structure and elastic properties [11,12] as well as nucleosome crystallographic data [13] into an analytically tractable assembly. Its implementation gives the geometric properties of the chromatin fiber, presented in Sec. III with a special mention to symmetry properties and to the quantities relevant to the mechanics of the fiber. Section IV is devoted to the elastic properties of the fiber. The first step of their study is to relate the distribution of stresses along linker DNA to the ‘‘macroscopic’’ stresses (force and torque) applied to the fiber ends. This allows to compute analytically the elastic constants describing the linear response of the fiber from the knowledge of naked DNA elastic constants, and to investigate quantitatively how they vary with the relaxed fiber structure, itself controlled by the ‘‘microscopic’’ structural parameters, as linker length l , or equivalently its relaxed twist τ^0 , and entry/exit angle Φ of linker DNA on the nucleosome [10]. The results substantiate an effective continuous description of the 30-nm fiber as an extensible wormlike rope (EWLR) extending the classical wormlike chain model [14] to an extensible and twistable chain [15–17]. In Sec. V, numerical results are presented and their physical meaning and implications are discussed. We then enlight our analytical results by comparing them with experimental results obtained by Cui and Bustamante [6] by pulling a single chromatin fiber. Section VI presents the biological relevance of our study. In particular, we exploit our complete and quantitative analysis to discuss how the elastic properties of the chromatin fiber might, at the same time, favor DNA compaction into the chromosomes and allow local decondensation of chromatin involved in gene expression.

II. ASSEMBLY OF A MODEL CHROMATIN FIBER

Chromatin is composed of a double-stranded DNA molecule wrapped from place to place around histone cores [3]. We here focus on the fixed-nucleosome case, corresponding to deacetylated histone tails [9]. This allows to model separately the nucleosomes and the linkers, i.e., the naked-DNA segments connecting the successive nucleosomes. Our model amounts to build step by step, i.e., nucleosome after nucleosome, a chromatin fiber. It incorporates acknowledged data on DNA structure, its bend and twist persistence lengths, and on the nucleosome structure. Each step of the assembly is described analytically, but we implemented this model within a MAPLE program in order to handle an arbitrary number of nucleosomes and to perform a quantitative analysis of the super-helix (SH) geometric properties.

A. Linker modeling

Linker DNA is in the usual B-DNA form: it is a right-handed double helix, of radius $a \approx 1$ nm and pitch equal to $l^0 = 3.4$ nm, which corresponds to $n_{bp}^0 = 10.6$ base pairs (bp)

per turn. We shall suppose in the following that all the linkers have the same number n_{bp} of base pairs, i.e., the same length $l = n_{bp} l^0 / n_{bp}^0$. It corresponds *in vivo* to phased nucleosomes observed for instance in satellite chromatin [18]. It corresponds *in vitro* to reconstituted fibers, involving repeated sequences each having a strong positioning effect on nucleosomes [19,20]. In fact, we shall need this hypothesis to be satisfied only locally, over a few linkers, so that our model also accounts for irregular native chromatin.

Since we are looking for generic properties of the chromatin fiber, originating from its assembly, sequence effects are ignored (besides, they might be treated in a second stage, within the same modeling, as local strains superimposed to the relaxed homogeneous structure that we here consider). Without sequence effects, the linker is straight in absence of applied constraints; experimental evidence for straight linkers in relaxed fibers supports our generic modeling [21].

Linker DNA will be considered as an homogeneous cylindrical rope of radius a , that may twist and bend, but not stretch: due to the large value of the stretch modulus $\gamma_{DNA} \approx 1200$ pN [15,22], stretch energy and strain can be ignored in linker DNA, at least in the low-stress situations that will be considered here (forces below 20 pN). Linker DNA is thus seen as a nonextensible semiflexible polymer and described within the continuous wormlike rope model (wormlike chain model supplemented with twist energy [12]); the elastic energy densities (energies per unit length) thus write

$$\epsilon_{twist} = \frac{k_B T C}{2} (\omega - \omega^0)^2, \quad C = 75 \text{ nm (twist)}$$

$$\epsilon_{bend} = \frac{k_B T A}{2} \rho_{DNA}^2, \quad A = 53 \text{ nm (bend)}. \quad (1)$$

where T is the temperature, k_B the Boltzmann constant, ρ_{DNA} the local curvature of the constrained linker and ω the twist rate. The relaxed twist rate $\omega^0 = 2\pi/l^0$ is supposed to be homogeneous, which again amounts to ignoring sequence effects. The constants A and C are, respectively, the bend and twist persistence lengths of DNA, here given for 10mM NaCl (or any other monovalent salt) [23,11,12]. We expect C to be almost independent of the ionic strength, since twist constraints are not strongly affected by electrostatics. In contrast, the electrostatic contribution to A is important [24,25]. The nonelectrostatic contribution provides a lower bound $A \geq 23$ nm, which is in fact an overestimate; the experimental lower bound is rather $A \geq 40$ nm [26].

We choose a direction along the DNA path corresponding to increasing indices j . We denote $s \in [0, l]$ the arclength along the dsDNA axis. Geometrically, the linker j is described as represented in Fig. 1 by the local axis $\vec{u}_j(s)$ of the double helix and a vector $\vec{t}_j(s)$, locally tangent to the minor groove and rotating around $\vec{u}_j(s)$ with an angular rate ω . $\vec{t}_j(s)$ accounts for the torsional state of the linker: the angle of the rotation transforming $\vec{t}(0)$ into $\vec{t}(l)$ is precisely the twist $\tau = l\omega$ of the linker. The vectors $\vec{u}_j(s)$ and $\vec{t}_j(s)$ are normalized to 1 and make a constant angle $\vec{u}_j(s) \cdot \vec{t}_j(s)$

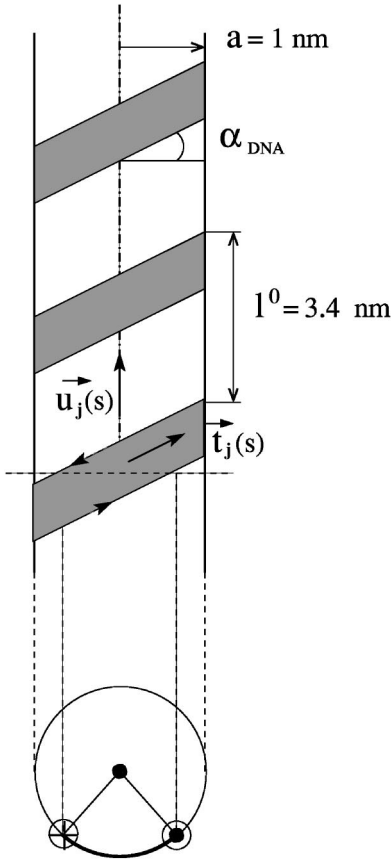


FIG. 1. Geometric description of linker DNA (Sec. II A). *Above:* the arrows indicate the orientation of the two strands, delimiting the minor groove (filled in gray) and the major groove. The vector $\vec{t}_j(s)$ is tangent to the minor groove and rotates around the local axis $\vec{u}_j(s)$ (straight in a relaxed linker) with an angular rate $\omega^0 = 2\pi/l^0$; the two vectors make a constant angle $90^\circ - \alpha_{DNA} \approx 62^\circ$. *Below:* transverse view; the bold contour locates the minor groove.

$=\sin(\alpha_{DNA})$ independent of j and s . The slope of the strands in the double helix writes $\tan(\alpha_{DNA}) = l^0/2\pi a$, hence $\alpha_{DNA} \approx 28^\circ$. The vector $\vec{u}_j(s)$ is independent of s in the relaxed fiber (a variation with s would describe the linker bending in constrained state), whereas $\vec{t}_j(s)$ rotates around \vec{u}_j with the relaxed twist rate ω^0 .

B. Nucleosome modeling and assembly of the chromatin fiber

Nucleosome structure is now well known, thanks to high resolution crystallography data [13]. Histone tails lock DNA on the histone core, so that nucleosomes are fixed on the DNA and the net effect of the nucleosome on the DNA trail can be described as a rigid kink connecting the linkers j and $j+1$. In consequence, twisting the linker j of a given angle reflects in a rotation of the same angle of the linker $j+1$ around the linker j . The rotational positioning of nucleosome $j+1$ with respect to nucleosome j is thus entirely prescribed by the twist angle $\tau = l\omega$. In the relaxed state, it is equivalently prescribed by the linker length l ($\omega = \omega^0$, $\tau^0 = l\omega^0$).

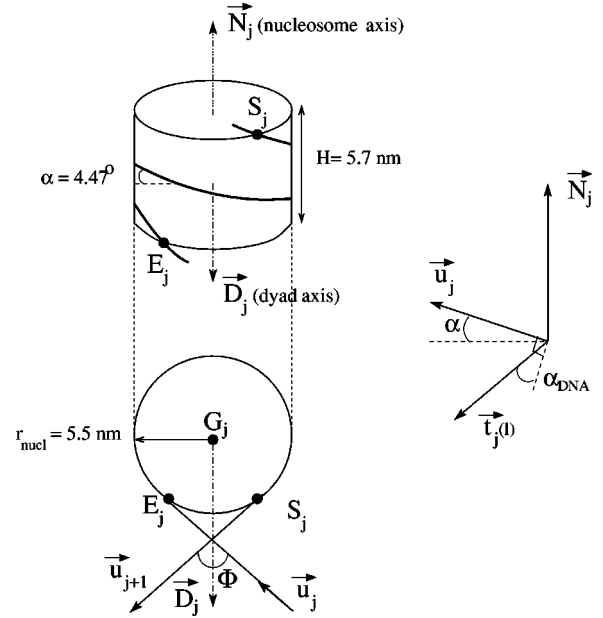


FIG. 2. Nucleosome modeling and assembly of the chromatin fiber (Sec. II B). *Left above:* view in perspective of the nucleosome j ; α is the slope of the DNA left-handed wrapping around the histone core ($\alpha \approx 4.47^\circ$). The dyad axis \vec{D}_j is orthogonal to the nucleosome axis \vec{N}_j . *Left below:* view from above (projection on a plane orthogonal to the nucleosome axis). *Right:* vectors \vec{N}_j , \vec{u}_j , and $\vec{t}_j(l)$ in the plane tangent to the nucleosome at E_j .

The technicalities about the assembly and its geometric description are presented in Fig. 2. The linker j enters the nucleosome j at an entry point E_j well localized on the histone core. The linker DNA is grafted to the nucleosome by its minor groove [13]; this implies that the plane spanned by \vec{u}_j and $\vec{t}_j(l)$ prescribes the position and the orientation of the nucleosome, given its radius $r_{nucl} = 5.5$ nm, its height $H = 5.7$ nm and the angle $\alpha = 4.47^\circ$ between the linker and the nucleosome axis \vec{N}_j ($\vec{u}_j \cdot \vec{N}_j = \sin \alpha$). As shown in Fig. 2 (right), \vec{u}_j , $\vec{t}_j(l)$, and \vec{N}_j belong to the same plane, which is nothing but the tangent plane to the nucleosome at E_j . Similarly, the plane tangent to the nucleosome j at the exit point S_j where linker $j+1$ leaves the nucleosome is spanned by \vec{u}_{j+1} and $\vec{t}_{j+1}(0)$, which thoroughly determines this outgoing linker. The relative positioning of the linkers is conveniently described by the angle Φ ($\Phi > 0$ by convention) between their projections on a plane perpendicular to the nucleosome axis \vec{N}_j . The structural effect of nucleosome j amounts to a translation in space from the entry point E_j to the exit point S_j and a rotation of an angle $4\pi - \Phi$ around the nucleosome axis \vec{N}_j , transforming $(\vec{u}_j, \vec{t}_j(l))$ into $(\vec{u}_{j+1}, \vec{t}_{j+1}(0))$. As long as we consider an homogeneous fiber, Φ is independent of j . The line passing through the nucleosome center G_j and directed along the bissector \vec{D}_j of this angle Φ is the so-called dyad axis of the nucleosome j . Experimental evidence that the entry-exit angle Φ varies with salt concentration (it contracts when salt concentration increases) [19,21,27,28] motivates us to study various values

of Φ . We here ignore interactions between nucleosomes. This is valid in low-salt conditions, in which the chromatin is expected to be extended, with internucleosomal distances larger than the interaction range. In high-salt conditions, our model aims at describing the “geometric” contribution to chromatin elastic behavior, i.e., the contribution originating from the DNA elastic properties and relayed by the chromatin fiber architecture. A comparison with observed elasticity would give access to the neglected interactions.

C. Linker histones and chromosome modeling

In vivo and *in vitro* experiments show that the condensed conformations of the chromatin fiber are obtained for a more complex assembly of DNA and histones: an additional histone H1 (or a close chemical variant H5, for instance in chicken erythrocytes) is bound to linker DNA near its entry-exit site on the nucleosome [21,29]. Presumably, the role of this “linker histone” is both to secure the wrapping of DNA around the nucleosome and to stabilize the DNA helical coiling forming the 30-nm fiber [30,31]. Digestion experiments evidenced that the “core particle” now involves 166–168 bp of DNA (among which the 146 bp wrapped around the histone octamer); this new, larger, entity is called a chromatosome. The position of linker histone with respect to linker DNA and nucleosome, as well as the wrapping of DNA around it, are still debated [29,31]. It is only recognized that 166–168 bp are protected and that the angle (still denoted Φ) between ingoing and outgoing linker DNA is lowered, varying with ionic strength. Our model easily accomodates the presence of linker histones along the fiber since only the resulting kink of DNA path is to be described. We may roughly account for the presence of linker histone by modifying $\Phi = 130^\circ$ (without linker histone) into $\Phi = 90^\circ$ at low salt down to $\Phi = 45^\circ$ in high salt [28,31]; the nucleosome is then replaced, within the same two-angle modeling, by an effective cylindrical chromatosome, with possibly different values for r_{nucl} , H , and α . For instance $r_{nucl} = 0$ and a lower value of H would reproduce the crossing of ingoing and outgoing linkers in the neighborhood of the linker histone. Also, the effective length of the linkers is to be reduced by 20–22 bp.

We might thus study within the same geometrical modeling all the different instances encountered for the nucleosome: basic nucleosome or nucleosome dressed with H1, with or without chemical modification of H1 tails. The specific biological details (H1 positioning, possible acetylation of histone tails [32]) would be taken into account first in Φ , whose value is experimentally accessible, then in a second step by a precise fit of the parameters r_{nucl} , H , and α of the effective cylindrical “core particle,” so as to reproduce accurately the kink induced in the linker DNA broken line. Nevertheless, a systematic study led us to think that Φ is a far more important control parameter than r_{nucl} , H , and α , which will be henceforth considered as fixed characteristics.

To summarize, the parameters of the model, to be varied in our study, are the two angles τ^0 (or equivalently $l = \tau^0/\omega^0$ or n_{bp}) and Φ . The angle τ^0 determines the relative positioning of two successive nucleosomes, whereas the

angle Φ determines the relative positioning of two successive linkers.

III. THE CHROMATIN SUPERHELIX

The regularity of the microscopic assembly enforces a helical organization: we shall speak of the SH. We now describe the main geometric properties of this helical coiling resulting from the regular assembly of linkers and nucleosomes. As shown in Fig. 3, our qualitative structural predictions recover standard structures proposed yet long ago [33]. In particular, we note that the various structures obtained when varying τ^0 (or equivalently n_{bp}) and Φ cover both ribbon structures (less than 3 nucleosomes per turn) and cross-linked structures (more than 3 nucleosomes per turn). We avoid to speak of “solenoid” structure as this term refers to a model with bent linkers [34], whereas our modeling considers that linkers are straight in the relaxed fiber.

A. Symmetry properties of the SH

We first underline the symmetry properties of the SH, of much importance since they will reflect in the elastic properties of the fiber in the linear regime. The SH exhibits a discrete helical invariance: all the linkers (respectively all the nucleosomes) are equivalent, up to a rotation of angle θ around the axis \vec{A} of the SH and a translation along this axis, bringing the linker j onto the linker $j+1$ (respectively the nucleosome j onto the nucleosome $j+1$). All nucleosome centers lay on a cylinder of axis \vec{A} and radius R , whereas all the entry and exit points lay on a cylinder of radius r (see Fig. 4).

As the two strands of dsDNA are oriented in opposite directions (see Fig. 1), there is no privileged orientation along linker DNA and only the nucleosome geometry can break the symmetry upon reversal of the fiber. Hence the fiber is not oriented provided the entry and exit points in a nucleosome have the same characteristics: it is the case in absence of linker histone, or when linker histone is positioned symmetrically with respect to E_j and S_j . The fiber properties are then unchanged under the transformation

$$\begin{aligned} j &\leftrightarrow N+1-j, & \vec{N}_j &\leftrightarrow -\vec{N}_{N+1-j}, \\ S_j &\leftrightarrow E_{N+1-j}, & \vec{A} &\leftrightarrow -\vec{A}, \\ \vec{u}_j &\leftrightarrow -\vec{u}_{N+1-j}, & P_j(s) &\leftrightarrow P_{N+1-j}(l-s). \end{aligned} \quad (2)$$

Here $j=0, \dots, N+1$ where N is the number of nucleosomes in the fiber beginning in S_0 with linker 1 and ending with linker $N+1$, at E_{N+1} . It follows that the nucleosome axes are all tangent to the cylinder containing the nucleosome centers, and that the line \vec{D}_j relating the nucleosome center G_j to its orthogonal projection onto the SH axis is nothing but the dyad axis of the nucleosome j (see Fig. 5, left). This dyad axis is invariant under the above reversal transformation. When τ^0 is varied, the linker direction \vec{u}_j sweeps a cone of axis \vec{D}_j (or \vec{D}_{j-1} , due to the symmetry upon reversal of the

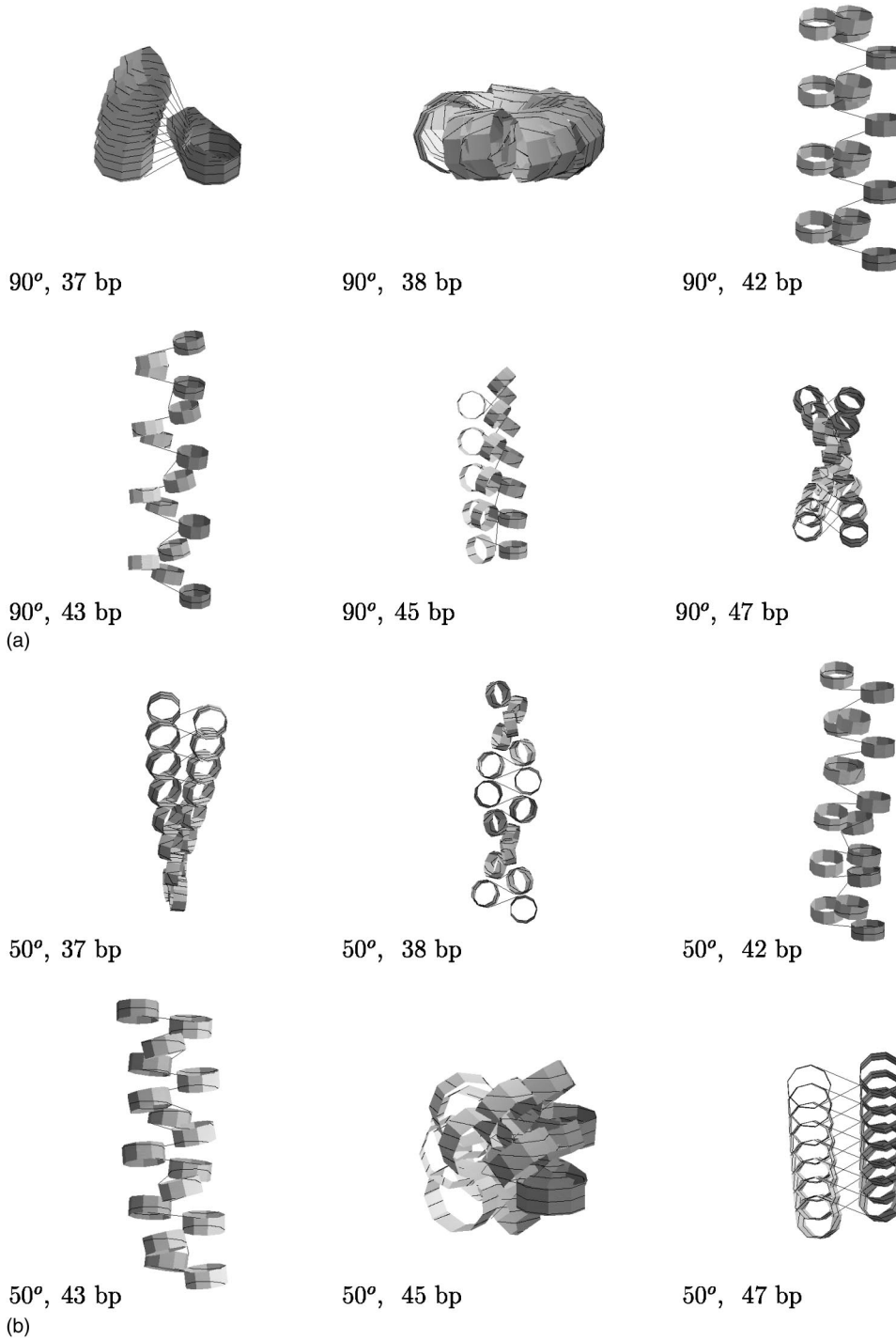


FIG. 3. (a) Various SH structures obtained when the parameter l (equivalently n_{bp}) is varied, for $\Phi = 90^\circ$. Note the columnar packing ($\beta \approx 0$) obtained for n_{bp} between 42 bp and 43 bp, and the ribbonlike structure (two nucleosomes per turn, $\theta = \pi$) obtained for $n_{bp} = 47$ bp, whatever the value of Φ . In between, the fiber exhibits cross-linked configurations. In each period of length 10.6 bp, an interval (depending on Φ) of n_{bp} values is forbidden as it corresponds to self-overlapping configurations (here around $n_{bp} = 38$ bp). (b) Various SH structures obtained when the parameter l (equivalently n_{bp}) is varied, for $\Phi = 50^\circ$. Configurations around $n_{bp} = 45$ bp are forbidden (steric hindrance).

SH) and angle ξ , depending on Φ (see Fig. 5, right). This property simply reflects the fact that the geometry of the fiber assembly is thoroughly determined once the nucleosome is positioned with respect to the SH axis, due to the symmetry properties and to the fact that the junction between the nucleosome and the ingoing and outgoing linkers is a rigid kink. Indeed, by symmetry, the nucleosome dyad axis \vec{D}_j is orthogonal to the SH axis \vec{A} and points towards it; the remaining “degree of freedom” is the orientation of the nucleosome axis \vec{N}_j in the plane orthogonal to \vec{D}_j ; when l varies, \vec{N}_j rotates together with \vec{u}_j and \vec{u}_{j+1} around \vec{D}_j .

This orientation is thoroughly described by the angle $\beta \in [0, 2\pi[$ between its axis \vec{N}_j and the SH axis \vec{A} , in the frame $(\vec{A}, \vec{D}, \vec{A} \wedge \vec{D})$. This angle satisfies $\cos \beta = \vec{N}_j \cdot \vec{A}$.

B. SH geometric characteristics

The relevant quantities to be computed (lengths D and r , angles θ , β , z , η , and ξ) are shown in Figs. 4, 5, and 6. All these quantities are independent of j , due to the (discrete) rotational invariance of the SH. When linker lengths are all increased by the DNA pitch l^0 , the twist angle of each linker

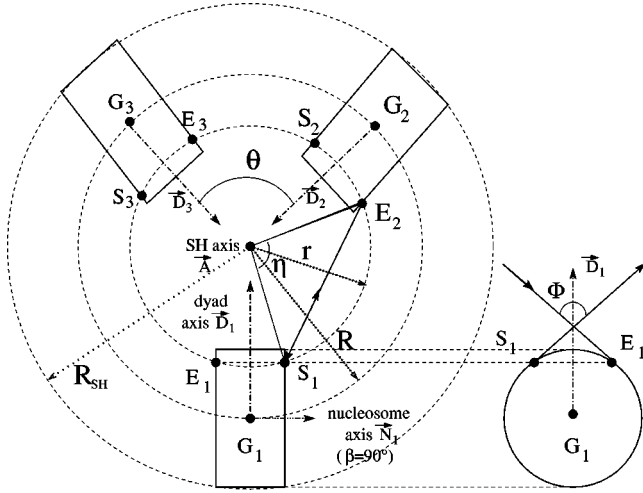


FIG. 4. *Left*: SH from above (projection in a plane orthogonal to the SH axis \vec{A} , here for $\beta=90^\circ$). We define R as the distance from any nucleosome center G_j to the SH axis (measured along the dyad axis \vec{D}_j), r as the distance of any entry (or exit) point to the SH axis \vec{A} ; the “excluded-volume” radius R_{SH} of the SH, i.e., the radius of the cylinder of axis \vec{A} containing the whole fiber (including the nucleosomes) satisfies $R_{SH}=R+r_{nucl}$ due to the peculiar orientation of the nucleosomes (the axes \vec{N}_j are tangent to the cylinder of axis \vec{A} and radius R whatever β). We also introduce the angle θ of the rotation around the SH axis \vec{A} , transforming the projection of a nucleosome into the following one, and the angle η between the projections of the vectors relating S_{j-1} and E_j to the SH axis. This angle η is chosen in $[0, 2\pi[$. It satisfies $l \sin z = 2r \sin(\eta/2)$. *Right*: projection of the first nucleosome in the plane orthogonal to its axis \vec{N}_1 . Note that the nucleosome dyad axis is orthogonal both to the nucleosome axis (nucleosome symmetry) and to the SH axis (symmetry of the assembly).

increases by 2π , hence the relative orientations of successive linkers, or successive nucleosomes, are unchanged. It follows that the SH shape is preserved: the angles (as z , η , or θ) are unchanged, whereas the length r is scaled by a factor $(1+l^0/l)$ (when comparing l and $l+l^0$); the lengths R_{SH} and D are also scaled, but the involved scaling factor is smaller,

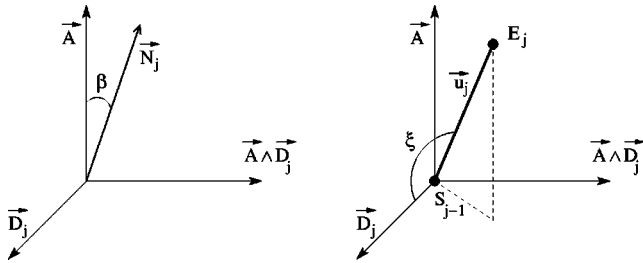


FIG. 5. *Left*: Location of the nucleosome axis \vec{N}_j in the frame spanned by the SH axis \vec{A} and the nucleosome j dyad axis \vec{D}_j ; it lays in the plane orthogonal to \vec{D}_j and makes an angle $\beta \in [0, 2\pi[$ with respect to \vec{A} . This angle, varying with Φ and l , satisfies $\cos \beta = \vec{N}_j \cdot \vec{A}$. *Right*: Location of the linker \vec{u}_j in the frame spanned by the SH axis \vec{A} and the nucleosome dyad axis \vec{D}_j ; the linker makes a constant angle ξ with the dyad axis.

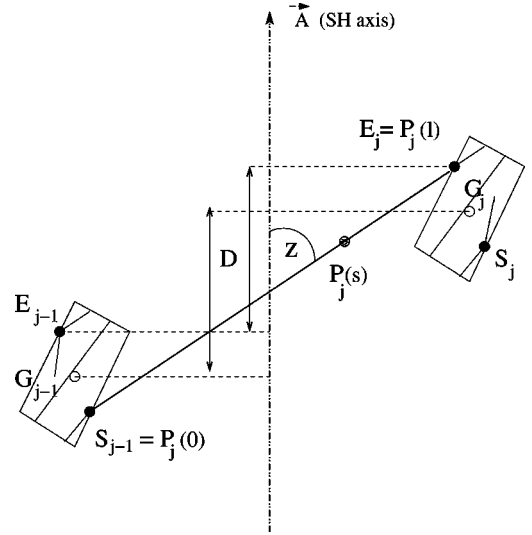


FIG. 6. Front view of the SH projected in a plane spanned by the SH axis \vec{A} and the linker axis \vec{u}_j . The SH axis is actually ahead of the linker, which lays in the figure plane; E_{j-1} , G_{j-1} , S_j , and G_j are projections on the figure plane. Only the track of the nucleosomes is indicated: neither their axes nor their dyad axes belong to the drawing plane. D is the distance between two successive nucleosomes along the SH axis \vec{A} , whose orientation is chosen so that $D > 0$. We denote z the angle between the linker and the SH axis; it satisfies $\vec{u}_j \cdot \vec{A} = \cos z$ and by convention $z \in [0, \pi[$ hence $\sin z > 0$.

since the contribution of the nucleosomes to the actual size of the SH is the same for l and $l+l^0$; the precise value of this factor, moreover, depends on the structure of the SH (nucleosome orientation, for instance).

We here underline two points. First, the orientation of the SH axis is chosen so that the distance D between two successive nucleosomes along the SH axis \vec{A} is positive: $D > 0$ (i.e., $D = \vec{A} \cdot [\vec{G}_j \vec{G}_{j+1}] > 0$ by definition of \vec{A}). Also, since the structure is discrete, the angle θ of the rotation \mathcal{R}_θ around the SH axis \vec{A} , transforming the projection of a nucleosome onto the plane orthogonal to \vec{A} into the following one is not uniquely defined: $\theta_0 > 0$ and $\theta_0 - 2\pi < 0$ are both possible (think to the array of grains in a corn ear or to the cells on a pineapple peel). By convention, we choose the value of smallest modulus, hence let θ vary in $]-\pi, \pi[$; it corresponds to the (projected) angle swept by the geodesic (the array of grains of largest slope) relating G_j to G_{j+1} onto the cylinder of axis \vec{A} and radius R .

The pitch P of the SH is given by $P = 2\pi D / |\theta|$. Note that the choice of the value of smallest modulus for θ is essential to get the actual value of the pitch through this formula. The degree of compaction can be measured as the number of nucleosomes per 10 nm of fiber, equal to $10/D$ when D is given in nanometers.

C. Excluded-volume effects

Excluded volume (of nucleosomes but also linkers) has to be taken into account to discard unrealistic structures. In

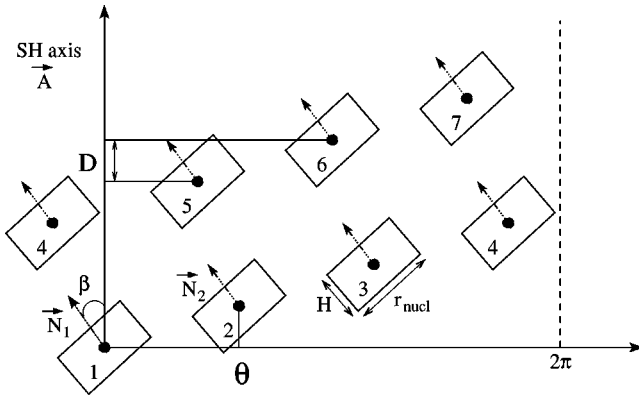


FIG. 7. Unwrapping of the cylinder of radius R (containing the nucleosome centers). The construction only requires one to know the values of β , θ , D , and the nucleosome dimensions r_{nucl} and H . This drawing can be conveniently used to check the excluded-volume constraint between any two nucleosomes. Linkers, crossing the plane of the drawing, are not represented, nor the entry and exit point (located outside the drawing plane).

consequence, the above results should be supplemented by a geometric condition assessing whether they actually correspond to a possible structure, i.e., whether they are compatible with excluded-volume constraints. We here rather state an upper bound, involving only the geometric parameters of the fiber. Recall that β is the angle between the nucleosome axes \vec{N}_j and the SH axis (independent of j by symmetry). A sufficient condition ensuring that no steric hindrance is encountered in the SH structure is that $P > P_c$ where

$$P_c = H \cos \beta + 2R |\sin \beta|, \quad (3)$$

where R is the distance of the nucleosome centers to the SH axis (computed in the MAPLE implementation) and H the height of a nucleosome. For $\beta = 0$, this upper bound gives the exact threshold $P_c = H$. All linker lengths such that $P(l, \Phi) \leq P_c$ are forbidden. Note that a local breaking of this criterion is allowed: it is still possible that a few linker lengths (less than $2\pi/\theta$) take this value. A more accurate check can be obtained by drawing for each configuration the developed representation of the SH, i.e. the mapping on a plane of the fiber track on the cylinder of radius R , as shown on Fig. 7. Knowing the geometric characteristics D , θ , and β , its construction is straightforward. Excluded-volume constraints are satisfied if the tracks of any two nucleosomes do not intersect. This method requires to check each configuration, hence comes after the criterion on $P - P_c$, when it fails.

D. Numerical results

The major interest of our numerical implementation is to go further than a qualitative description and to compute explicitly any geometric characteristic of the relaxed fiber, in order to study quantitatively its variation with l (or τ^0) and Φ . The main results are presented in Figs. 8, 9, and 10 for a linker length between 30 and 50 bp (usual case). The quantitative geometric characterization of the SH is essential to compare the different structures obtained for different values

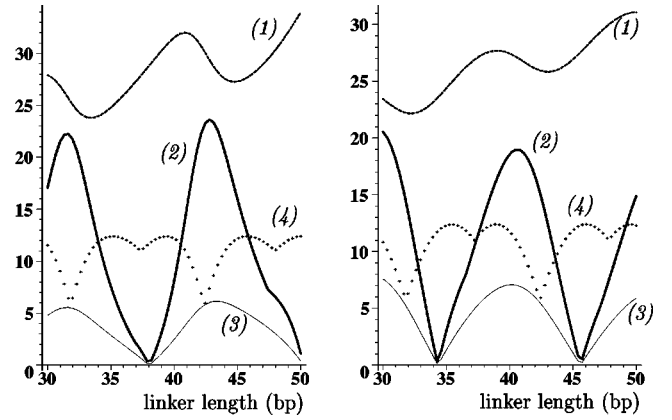


FIG. 8. Fiber actual diameter $2R_{SH}$ [dashed upper line, curve (1)] and pitch P [solid line, curve (2)] in nanometers, versus linker length (in bp), for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right). Since what matters is the twist angle $\tau = 2\pi n_{bp}/n_{bp}^0$, the number n_{bp} of bp is allowed to vary continuously. The thin line (3) represents the distance D between two nucleosomes along the SH axis. The line made of crosses (4) represents the lower bound P_c on the pitch [Eq. (3)]. The configurations with $P > P_c$ satisfy excluded-volume constraints.

of (l, Φ) . It is also interesting from an experimental viewpoint, since it would allow to extract informations on the chromatin assembly from the experimentally accessible values of D and θ .

We evidence that a regular helical packing of nucleosomes is compatible with straight linkers and does not require nucleosome-nucleosome interactions (but the special configurations exhibiting columnar arrays of nucleosomes are likely to be stabilized by such internucleosomal interactions). Mainly, our systematic analysis shows that it always led to a 30-nm fiber. Although the detailed structure of the fiber is strongly sensitive to linker length l and entry-exit angle Φ , the value of about 30 nm for the fiber diameter

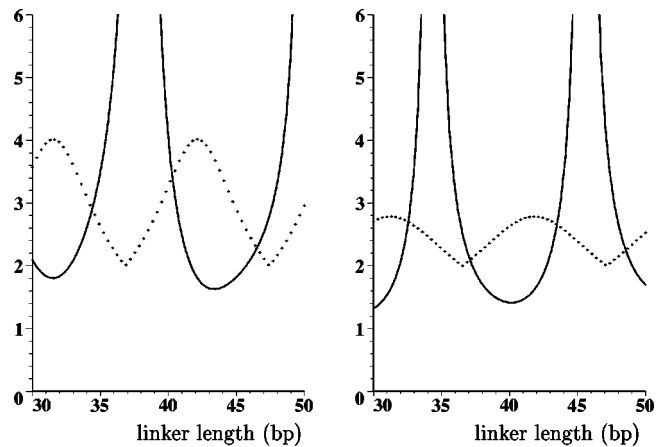


FIG. 9. (Solid line) compaction rate $10/D$ (nm) (number of nucleosomes per 10 nm of fiber) and (crosses) number $2\pi/\theta$ of nucleosomes per turn versus linker length (in bp), for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right). Two nucleosomes per turn correspond to ribbonlike configurations, whereas the maximal number is reached for columnar packing ($n_{bp} = 43$ bp).

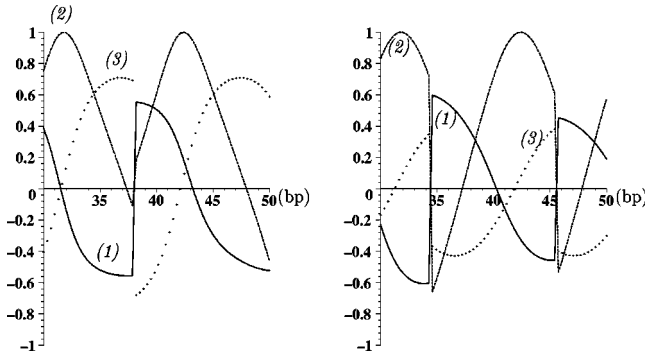


FIG. 10. $\cos(\eta/2)$ [solid line (1)], $\cos \beta$ [dashed line (2)] and $\cos z$ [crosses (3)] versus linker length (in bp), for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right). $\cos(\eta/2) = 0$ corresponds to a change in the SH chirality; $\cos \beta = 1$ corresponds to columnar packing in which the nucleosome axes are parallel to the SH axis: $\cos z$ is then small and the linkers are almost orthogonal to the axis (the difference is $\alpha \approx 4.5^\circ$ where $\tan \alpha$ is the slope of the linkers on the nucleosomes).

$2R_{SH}$ is a robust feature, hence of low structural significance; for instance, it does not discriminate close cross linked structures and more extended ribbons;

We enlist some remarkable geometries (see also Sec. VE).

(1) A ribbon–or zigzag–structure is obtained for $\theta = \pi$, when the number of nucleosomes per SH turn reaches the minimal value 2; then all the nucleosome dyad axes are aligned, β is close to $\pi/2$ but slightly different: $\beta = \pi/2 - \alpha$ (see Fig. 14).

(2) A particular packing is obtained when n_{bp}/n_{bp}^0 equals an integer; the nucleosome axes are then all aligned, hence all parallel to the SH axis: $\beta = 0$. A fine tuning of n_{bp} or Φ brings then into columns as seen in Fig. 3.

(3) The vanishing of the pitch P is accompanied by a reversal of the SH axis, from which follows that $\cos z$ exhibits a jump from a value c to the value $-c$ and θ jumps to the value $2\pi - \theta$. We shall see that the vanishing of the pitch P is an important (although virtual) event, having striking implications on the elastic properties of the fiber.

(4) A change of chirality is observed for $\eta = \pi$: the SH is right handed for $\eta \in [0, \pi]$ and left handed for $\eta \in [\pi, 2\pi]$. For $\eta = \pi$, linkers cross the SH axis.

One has to carefully distinguish between the following.

(a) The handedness of the track passing through the nucleosome centers. As we have seen above in the definition of θ , the handedness of this discrete structure is ill defined. The same architecture can be seen as right-handed (direct rotation \mathcal{R}_θ) or left-handed (indirect rotation $\mathcal{R}_{\theta-2\pi}$). The choice of the value of smallest modulus, adopted here, corresponds to the shortest path. This ‘‘pseudo chirality’’ is then given by the sign of θ and changes when θ crosses the value $\pm \pi$ or when D (or equivalently the pitch P) vanishes. We underline that this structural feature does not define a relevant chirality, due to the arbitrariness of its definition, and should not be confused with the chirality of the SH, defined here below.

(b) The chirality of the linker DNA trail (a broken line); it is determined by the position of η with respect to π , i.e. by

the sign of $\cos(\eta/2)$. As fiber elasticity originates from linker elasticity, this chirality is the only one relevant for our mechanical study and will be henceforth adopted as the definition of the fiber chirality. We expect this chirality to determine the coupling between the twist and stretch elastic degrees of freedom, i.e., the sign of g to coincide with the chirality.

In the same spirit, we warn about the difference between the fiber and a helical DNA coiling passing through the nucleosome centers, in particular, in what concerns their mechanical properties.

One of the conclusions of this thorough structural study is the fact that the connection between the microscopic parameters and the SH geometric characteristics is too complex and multivariate to get small-scale informations from structural observations of the fiber. We underline that the study of chromatin structure is not in itself sufficient to unravel its biological functioning, all the more as structural observation of the fiber is difficult and some results questionable [35]. Moreover, structural changes might not be the only way to pass local DNA modifications to higher scales; the functioning might rather be controlled by elastic properties.

For these two reasons, we turn to the analysis of the mechanical properties of the fiber, which are now at hand since we know explicitly all the geometric characteristics of the fiber assembly.

IV. ANALYTIC CALCULATION OF THE ELASTIC CONSTANTS OF THE CHROMATIN FIBER

A. Modeling the chromatin fiber as an EWL

Our aim is to study the elastic response of the fiber to external stresses at scales larger than its pitch. We thus consider that we apply to the fiber a force \vec{F} , along the fiber axis \vec{A} ; if \vec{F} were not directed along the SH axis, it would induce a transitory motion, compelling the axis to align itself with the force direction, at least far from the ends. We here only suppose that this constrained equilibrium is already reached. We may also apply a torsional torque M_t (directed along \vec{A}) and a flexural torque \vec{M}_b , i.e., a torque component orthogonal to \vec{A} . Computation of elastic coefficients describing the linear response of the fiber to the applied force and torque will be performed analytically within a continuous description of the fiber, i.e. an effective large-scale description in which the discrete nature of the assembly is smoothed out. We denote S the arclength along the axis of the relaxed SH, $u(S)$ its local relative extension, $\Omega(S)$ its local twist rate, and $\varrho(S)$ its local curvature, with respect to the straight and untwisted relaxed state of the fiber for which u , Ω , and ρ thus identically vanish. Due to the axial symmetry of the relaxed SH at scales larger than its pitch, the bending energy density does not depend on the direction of the bending so that it involves only the total curvature ρ and the modulus M_b of the flexural torque (the special instance of ribbon like configurations that break this axial symmetry is discussed below in Sec. VE). The fiber has thus only three degrees of freedom u , Ω , and ρ , local along the fiber. These strains u ,

Ω , and ρ are the canonical variables of the density of elastic free energy, which reflects in the following differential form:

$$d\epsilon_{SH} = Fdu + M_t d\Omega + M_b d\rho. \quad (4)$$

We restrict ourselves to the linear response regime, which is expressed in the following relation:

$$\begin{pmatrix} F \\ M_t \\ M_b \end{pmatrix} = \begin{pmatrix} \gamma & k_B T g & 0 \\ k_B T g & k_B T C & 0 \\ 0 & 0 & k_B T A \end{pmatrix} \begin{pmatrix} u \\ \Omega \\ \rho \end{pmatrix} \equiv \Gamma \begin{pmatrix} u \\ \Omega \\ \rho \end{pmatrix} \quad (5)$$

where it can be shown that the stress-strain tensor Γ is necessarily symmetric (a special instance of Onsager relations). This linear response ansatz relates the strains u , Ω , and ρ of the fiber and the stresses F , M_t , and M_b experienced by the fiber. A is the bend persistence length of the fiber, C its twist persistence length, γ its stretch modulus (dimension of a force) and g the twist-stretch coupling constant (no dimension).

Plugging the linear response ansatz (5) into Eq. (4) leads to the SH density of elastic free energy:

$$\begin{aligned} \epsilon_{SH}(S) = & \frac{k_B T A \mathcal{Q}^2(S)}{2} + \frac{k_B T C \Omega^2}{2} + \frac{\gamma u^2(S)}{2} \\ & + k_B T g \Omega(S) u(S). \end{aligned} \quad (6)$$

Such a continuous description of the fiber can be termed EWL model [16]. It extends the (wormlike chain) WLC model introduced in 1949 by Kratky and Porod and currently used to describe stiff polymers [14] by accounting for twist (as above for linker DNA [12]) but also stretch degrees of freedom. The fact that chromatin is chiral demands a linear coupling between twisting and stretching. Due to the axial symmetry of the SH, $(\vec{F}, \vec{M}_t, \vec{M}_b)$ and $(\vec{F}, \vec{M}_t, -\vec{M}_b)$ (and also $(\vec{F}, \vec{M}_t, \mathcal{R}\vec{M}_b)$ where \mathcal{R} is any rotation around the axis \vec{A} leaving the SH unchanged) should induce the same energy change in the SH. This symmetry argument shows that there is no other coupling term at the linear order considered here [15]. This model has been fully investigated by many groups in the context of DNA; in particular, force-extension curves have been obtained [16]; a nonlinear term $V(u)$ might be added in Eq. (6) to go beyond the linear response regime. These results can be straightforwardly transposed to chromatin fiber, so that the description of the harmonic elastic behavior of the chromatin fiber reduces to the computation of the four elastic coefficients A , C , γ , and g involved in the EWL model. Their determination from the computation of the elastic energy stored in the constrained linkers will prove that the fiber actually fits in an EWL model when considered at a large enough scale.

B. A general analytic method for computing elastic coefficients

The first aim of our study is to express the elastic coefficients A , C , γ , and g as a function of the elastic coefficients of linker DNA, given the relaxed geometry of the fiber. A

key point of our approach is to relate the *stresses* exerted, respectively, on the linker, considered as a WLR, and on the fiber, considered as an EWL instead of relating the *strains* ($\omega - \omega^0, \rho_{DNA}$) of the linkers and the *strains* (u, Ω, ρ) arising at the fiber level (this approach appears to be technically cumbersome and analytically intractable, see Sec. VII B). Although the stresses F , M_t , and M_b are not the canonical variables of the free energy density ϵ_{SH} , we shall express it as a function of these variables F , M_t , and M_b . Plugging Eq. (5) into Eq. (6) leads to the following expression of the free energy density:

$$\epsilon_{SH} = \frac{[k_B T C F^2 + \gamma M_t^2 - 2k_B T g F M_t]}{2(k_B T C \gamma - k_B^2 T^2 g^2)} + \frac{M_b^2}{2k_B T A}. \quad (7)$$

The principle of the computation is the following: knowing the relaxed geometry of the fiber, i.e., the quantities r , η , z , and D computed in Sec. III, it is possible to determine analytically the local stresses experienced by linker DNA when the fiber is constrained at its ends (and only at its ends) and to deduce the elastic energy of a linker as a quadratic function of (F, M_t, M_b) . The identity of the elastic energy of the fiber and the elastic energy stored in the linkers will allow a termwise identification with Eq. (7), which leads the values of A , C , γ , and g as analytical functions of the geometric parameters of the fiber, and through our numerical implementation, as a function of l (or τ^0) and Φ .

A more important intermediate result is to establish the relation between the local stresses (experienced by the linkers) and the global stresses (applied on the chromatin fiber). The current point on linker j , with arclength s , is denoted $P_j(s)$. Recall that linker j leaves the nucleosome $j-1$ at S_{j-1} and enters the nucleosome j at E_j (see Fig. 6). We denote $\vec{f}_j(s)$ the force and $\vec{m}_j(s)$ the torque exerted at the point $P_j(s)$ of linker j by the upstream part of the fiber. The main arguments involved in the derivation are the following.

(1) When only pulling the fiber, the rotational invariance of the relaxed fiber should be preserved when end effects are ignored. We may (and in fact should) focus on the universal, rotationally symmetric behavior of the fiber, observed far from its ends. Indeed, the quadratic, rotationally invariant EWL energy is to be fitted only to the quadratic, rotationally invariant energetic contribution coming from the linkers, otherwise the identification would not make sense.

(2) We restrict to the linear regime. We may consider individually the different stresses applied to the fiber and simply sum up their effects to recover the general solution. The contribution of the applied torque \vec{M} to the local torque $\vec{m}_j(s)$ is merely \vec{M} .

The conclusion follows using standard equilibrium equations of spring mechanics. When external forces and torques are applied at the ends only, linear response hypothesis implies that the solution finally reads

$$\begin{aligned} \vec{f}_j(s) &= \vec{F}, \\ \vec{m}_j(s) &= \vec{M} - [\vec{O}_j(s) P_j(s)] \wedge \vec{F}, \end{aligned} \quad (8)$$

where $O_j(s)$ denotes the orthogonal projection of $P_j(s)$ onto the SH axis \vec{A} . It gives the relation between the global stresses \vec{F} and \vec{M} exerted at the fiber ends and the local stresses experienced at the linker level, at each point of the DNA path. The rotational symmetry of the fiber ensures that \vec{F} is directed along the SH axis \vec{A} at equilibrium.

The term $[O_j(s)\vec{P}_j(s)]\wedge\vec{F}$ reflects the involvement of the fiber architecture in the expression of the local torque. As we restrict to the description of harmonic elasticity, the coefficients of \vec{F} and \vec{M} in the elastic energies of the linker will be computed within the relaxed SH. *We underline that we do not need to compute the constrained shape of the linkers to describe the linear response of the fiber to applied force and torque.* We carry on the computation by exploiting the fact that the fiber is not oriented. It follows that $\|O(\vec{E}_j)E_j\| = \|O(\vec{S}_j)S_j\| = r$ and

$$\begin{aligned} \|[O_j(s)\hat{P}_j(s)]\wedge\vec{A}\|^2 &= \|O_j(s)\vec{P}_j(s)\|^2 \\ &= r^2[\cos^2(\eta/2)\cos^2z + \sin^2(\eta/2) \\ &\quad \times (1 - 2s/l)^2] \end{aligned} \quad (9)$$

where η , r , and z are the values associated with the relaxed SH.

C. Small-scale grounds for the EWLR modeling of the chromatin fiber

Linear response ansatz applies both at the linker level (WLR model, with parameters A and C) and at the SH level (EWLR model with parameters \mathcal{A} , \mathcal{C} , γ , and g). Knowing the stresses thus gives the strains, respectively $(\rho_{DNA}, \omega - \omega^0)$ and (u, Ω, ρ) , if required, and the elastic energies. The computation of the SH elastic constants rests on the obvious but essential fact that the elastic energy stored in the SH, expressed by Eq. (7) at the SH level, is nothing but the sum of the elastic energies stored in its linkers, since no interactions are involved in our modeling.

A key point is the different decompositions of the torques into torsional and flexural components at the fiber level and at the DNA level

$$\vec{M}(S) = M_t\vec{A} + \vec{M}_b(S)(\text{SH}); \quad \vec{m}(s) = m_t\vec{u} + \vec{m}_b(s)(\text{linker}). \quad (10)$$

The relation between the two decompositions involves the fiber geometry. We underline once more that in the linear response regime, only the relaxed geometry is involved. The first decomposition gives the components involved in the EWLR energy density, whereas the second is required to compute the elastic energy of a linker. Indeed, the elastic energy densities of a linker can be expressed as a function of local stresses, according to

$$\begin{aligned} m_t &= k_B TC(\omega - \omega^0), \\ m_b &= k_B TA\rho_{DNA}, \end{aligned} \quad (11)$$

$$\epsilon_{twist} = \frac{m_t^2}{2k_B TC} \quad \text{and} \quad \epsilon_{bend} = \frac{m_b^2}{2k_B TA}. \quad (11')$$

According to a general result [5], besides easy to check directly here, m_t is constant along the linker (i.e. independent of s), whereas \vec{m}_b may vary with s both in direction and modulus.

The EWLR model is a continuous model. It makes sense to describe the elastic behavior of the discrete chromatin structure by means of an EWLR model only at a large enough scale, so that the discrete effects are smoothed out. At lower scale, the specific orientation of each linker influences its energy, more precisely the contribution coming from the global stress \vec{M}_b , which breaks the rotational invariance; the persistence length of bending \mathcal{A} of the SH is only defined after averaging over, say, one turn of SH. At the linker scale, twist-bend and stretch-bend coupling terms are present; they vanish on the average. The averaging keeps only the large scale, rotationally invariant, ‘‘EWLR-like’’ contribution. The remaining terms describe local contributions to the bending energy of the SH, canceling each other, hence with no resulting effect at the fiber scale. The average over a number of linkers sufficient to recover the rotational invariance of the fiber (using $(1/N)\sum_{j=1}^N \vec{u}_j \sim (\cos z)\vec{A}$) is conveniently replaced (except for the ribbonlike structure for which the rotational invariance breaks down, see Sec. V E) in the computation by an average over the directions of \vec{M}_b (denoted $\langle \rangle$): it has the same effect of extracting only the resulting contribution at large scale. After integration of the densities ϵ_{bend} and ϵ_{twist} along the linker, the elastic energies E_{bend} and E_{twist} stored in a linker write

$$E_{bend} = \frac{1}{2Ak_B T} \int_0^l \langle m_b^2(s) \rangle ds \quad (12)$$

$$\begin{aligned} &= \frac{lF^2 r^2}{6Ak_B T} \left[\sin^2\left(\frac{\eta}{2}\right) + 3\cos^2z \cos^2\left(\frac{\eta}{2}\right) \right] \\ &\quad + \frac{lM_t^2}{2Ak_B T} \sin^2z - \frac{lFM_t r}{Ak_B T} \cos z \sin z \cos\left(\frac{\eta}{2}\right) \\ &\quad + \frac{lM_b^2}{4Ak_B T} (1 + \cos^2z), \end{aligned} \quad (13)$$

$$\begin{aligned} E_{twist} &= \frac{1}{2Ck_B T} \int_0^l \langle m_t^2 \rangle ds \\ &= \frac{l\langle m_t^2 \rangle}{2Ck_B T} \end{aligned} \quad (14)$$

$$= \frac{l}{2Ck_B T} \left[Fr \cos\left(\frac{\eta}{2}\right) \sin z + M_t \cos z \right]^2 + \frac{lM_b^2 \sin^2z}{4Ck_B T}. \quad (15)$$

D. Analytic expression of the elastic constants as a function of geometric parameters of the fiber (SH)

The quadratic expression of $E_{bend} + E_{twist}$ as a function of F , M_t , and M_b is straightforwardly identified with Eq. (7)

integrated over the length D . This justifies *a posteriori* to map the fiber and its elastic behavior onto the continuous EWL model. In other words, it provides a microscopic validation of the EWL modeling of the chromatin fiber. In particular, we check the expected vanishing of the twist-bend and stretch-bend coupling. Some algebra finally yields the elastic constants of the SH fiber:

$$\begin{aligned} \mathcal{A} &= \frac{AD/l}{1 - (C-A)/2C \sin^2 z}, \\ \mathcal{C} &= \frac{CD}{l} \left[\frac{\tan^2(\eta/2)}{3} + \cos^2 z + \frac{A}{C} \sin^2 z \right] K(\eta, 3), \\ \gamma &= k_B T \frac{D}{l} \left[\frac{A \cos^2 z + C \sin^2 z}{r^2 \cos^2(\eta/2)} \right] K(\eta, 3), \\ g &= \frac{D}{l} \left[\frac{(C-A) \sin z \cos z}{r \cos(\eta/2)} \right] K(\eta, 3), \end{aligned}$$

where

$$K(\eta, 3) = \frac{1}{1 + \frac{\tan^2(\eta/2)}{3} \left(\frac{\cos^2 z + \frac{C}{A} \sin^2 z}{3A} \right)}. \quad (16)$$

We underline that our approach yields the elastic constants of any relaxed geometry, hence allows an analysis of sensitivity with respect to l (i.e., τ^0) and Φ .

V. RESULTS AND PHYSICAL DISCUSSION

A. Numerical results for the elastic constants

Numerical implementation of the above analytical formulas can be performed for any values of the microscopic parameters l and Φ , in continuation of Sec. III, thanks to a MAPLE program. We here present the results obtained in the two situations $\Phi = 90^\circ$ and $\Phi = 50^\circ$, taking as a variable the linker length. Starting from the microscopic structural parameters τ^0 and Φ , passing through the determination of the SH geometric characteristics D , r , z , and η , we obtain the explicit values of the elastic constants, as functions of τ^0 (or equivalently n_{bp}) at fixed (arbitrary) Φ . Indeed, expressions (16) are valid for any relaxed structure, hence allow to analyze the complete range of variations of the elastic constants as τ^0 and Φ vary, as shown in Fig. 11. Here we present two typical cases, currently proposed in chromatin structural studies: $\Phi = 90^\circ$ then $\Phi = 50^\circ$. A decrease of Φ is presumably induced by an increase of salt concentration, lowering the mutual repulsion of ingoing and outgoing linkers and strengthening the structural effect of linker histones. Experimental observations of a fiber dressed with linker histones showed that a value of Φ around 90° corresponds to a salt concentration of less than 5mM NaCl, whereas Φ decreases below 50° above 15mM NaCl [19,31].

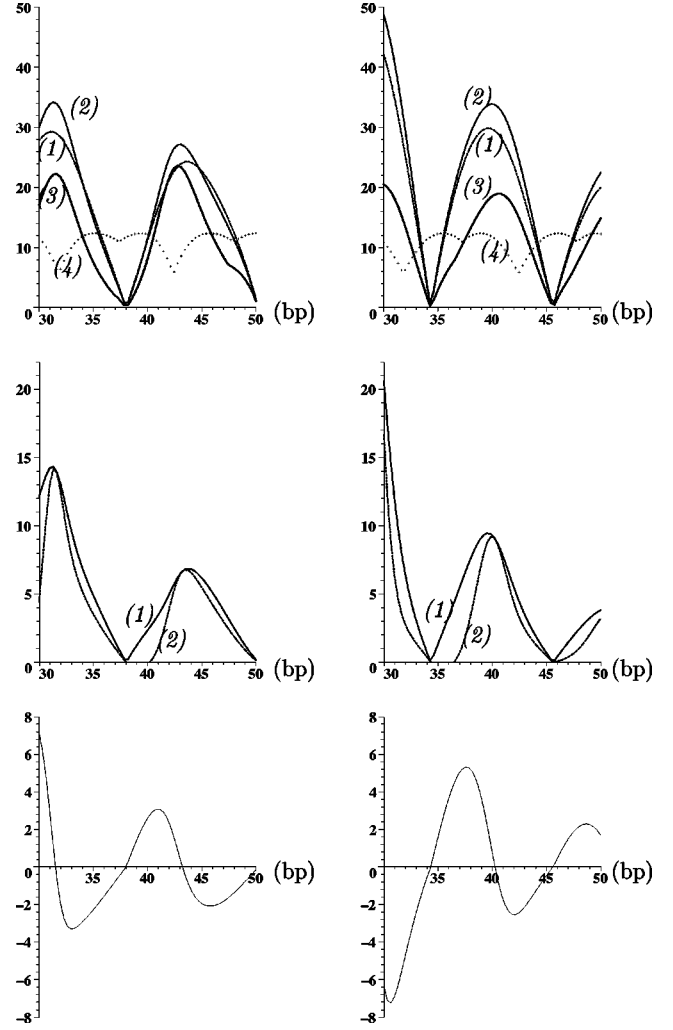


FIG. 11. *Above:* Twist persistence length \mathcal{C} [dashed line, curve (1)], bend persistence length \mathcal{A} [solid line, curve (2)] and SH pitch P [lower thick line, curve (3)] in nanometers versus linker length (in bp, according to $l = l^0 n_{bp} / n_{bp}^0$) for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right). Note that they all vanish at a critical value $n_{bp,c}$, strongly depending on Φ and on the detailed modeling of the nucleosome (or chromosome). The dotted line [curve (4)] represents the lower bound P_c [Eq. (3)] indicating the values of n_{bp} forbidden by steric hindrance. *Middle:* stretch modulus γ [solid line, curve (1)] and effective stretch modulus $\gamma_{eff} = \gamma - k_B T g^2 / C$ [dashed line, curve (2)] in pN for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right). *Below:* twist-stretch coupling g (no dimension) versus linker length (in bp) for $\Phi = 90^\circ$ (left) and $\Phi = 50^\circ$ (right).

The curves of Fig. 11 evidence a strong sensitivity of elastic coefficients with respect to the fiber structure, as controlled by n_{bp} . A striking result of our study is the sharp decrease of all elastic coefficients together with the pitch P , around a critical value $n_{bp,c}(\Phi)$ for which P vanishes. The critical value $n_{bp,c}(\Phi)$ depends on the value of Φ and more generally on the precise modeling of the chromosome. As P vanishes, steric hindrance between nucleosomes precludes to build a regular structure with $n_{bp} = n_{bp,c}(\Phi)$, so that this feature might be seen as irrelevant. Nevertheless, it is possible to have $n_{bp} = n_{bp,c}(\Phi)$ locally, i.e., over less than one

turn of SH; this flexibility, although local, might yet have dramatic consequences: it is possible to create a noticeable kink in the chromatin fiber at this point by applying only very weak stresses. Such “critical” turns appear as defects where the regular compact fiber is easy to “open.” Moreover, the curves near $n_{bp,c}$ but above the excluded-volume threshold P_c are still influenced by their vanishing in $n_{bp,c}$. The steep variation of the elastic constants in the neighborhood of $n_{bp,c}$ is an actual property, corresponding to a highly sensitive fiber: the geometric parameter D and the elastic constants increase by a noticeable factor within one or two base pairs. Another important result, whose implications are discussed below (Sec. VD), is the observed change of chirality of the SH, defined in Sec. III D as the sign of $\cos(\eta/2)$ and exactly correlated with the changes in the sign of the twist-stretch coupling g .

Finally, the relative energetic contribution of linker bending and linker twisting are shown in Fig. 12 in pure cases where only one of the stresses F , M_t , or M_b is applied (stretch, twist or bend at the fiber level). We checked that the stretching energy and the twist-stretch coupling energy of the linker are negligible (at most a few percent of the total elastic energy stored in a linker) due to the high value of γ_{DNA} (around 1200 pN) and g_{DNA} (between 20 and 30) [15,22]. The figure evidences an interesting feature coming from the spatial organization of DNA into a chromatin fiber. According to the fiber structure (i.e. linker length l and angle Φ) and the nature of external stresses (pulling force, torsional or flexural torque) the linkers react either by bending, or by twisting, which might play a biological role by regulating the local DNA structure.

Our computation of \mathcal{A} is based on its “energetic” definition through the expression (6) of the free energy of the EWL description of the fiber: the energetic cost required for bending the SH axis and creating a uniform curvature ρ is $k_B T \mathcal{A} \rho^2 / 2$ per unit length. It originates from the cost of twist and bend distortions induced at lower scale on the linkers. This definition does not (and does not have to) consider possible steric hindrance (hard-core interactions). Indeed excluded-volume constraints do not contribute to elastic energies hence do not modify \mathcal{A} ; they only forbid some deformations. To determine whether a given bending of the SH is possible, one has to check the following.

(1) First to check whether such a bending is “geometrically” allowed, i.e., satisfied excluded-volume constraints (a necessary condition is that $\rho R_{ex} < 1$, i.e., the radius of curvature $1/\rho$ should be greater than the excluded-volume radius R_{SH} [36].

(2) Second to check whether enough energy is available, using the value \mathcal{A} determined above, notwithstanding the excluded-volume effects.

Note that these criteria are independent (geometric and energetic respectively) and they can be checked in any order.

B. Comparison with a helical coiling (ordinary spring)

It is interesting to compare the SH elastic behavior to that of a simple helical “spring” (a toroidal coiling of DNA), i.e., the continuous structure characterized by the same angle

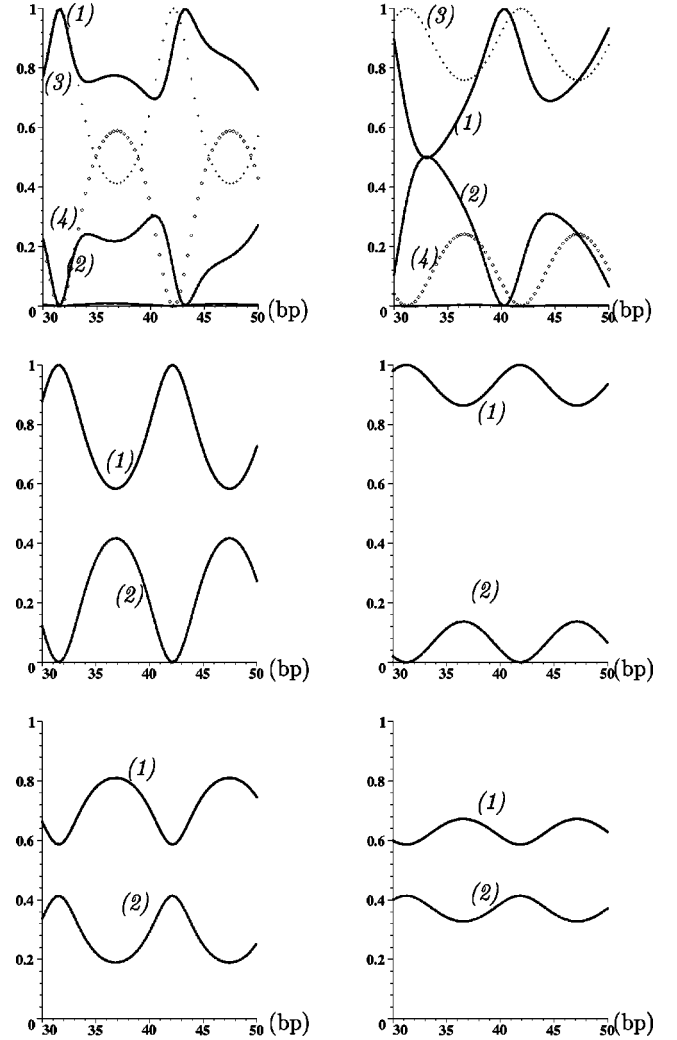


FIG. 12. These figures represent the relative contribution (in %) of bending energy [curves (1)] and twist energy [curves (2)] to the total elastic energy stored in a linker (within the chromatin superhelix), versus linker length (in bp), in various instances: (above) when a force $\vec{F} = F\vec{A}$ is applied along the SH axis \vec{A} but without any torque, (middle) when a torsional torque $\vec{M}_t = M_t\vec{A}$ is applied but no force, (below) when a flexural torque ($\vec{M}_b \cdot \vec{A} = 0$) is applied but no force. Two values of the angle Φ have been investigated: $\Phi = 90^\circ$ (left) or $\Phi = 50^\circ$ (right). The almost flat line, below 2%, in the two upper figures, corresponds to the fraction of energy corresponding to stretch deformations; it is obviously negligible. We compare these curves with those obtained for a helical coiling of DNA (Sec. V B) having the same values of z and r [crosses, curve (3) for the twist energy and diamonds, curve (4) for the bending energy]. When applying only a pulling force, the partitions differ strongly; by contrast, the partitions observed when only a torque (either M_t , either \vec{M}_b) is applied are exactly identical (the curves superimpose).

z (here between its axis and the tangent to the elementary fiber) and the same radius r . The helical spring is thus implicitly controlled by the same parameters l and Φ as the SH. The comparison enlightens (1) that the chromatin fiber is actually a spring from a mechanical point of view, although a special, tunable, one; and (2) that the difference between

ordinary springs and chromatin lies in the angle η ($\eta=0$ in ordinary springs, whereas it is not small in chromatin), from which originates the tunable character of the chromatin spring.

The reasoning to compute the elastic constants of an helical spring, is at each step, analog to the above one (Sec. IV). We obtain the following expression for the average energies per unit length:

$$\epsilon_t = \frac{(Fr \sin z + M_t \cos z)^2}{2Ck_B T} + \frac{M_b^2 \sin^2 z}{4Ck_B T}, \quad (17)$$

$$\begin{aligned} \epsilon_b = & \frac{\cos^2 z F^2 r^2}{2Ak_B T} + \frac{M_t^2 \sin^2 z}{2Ak_B T} - \frac{FM_t r \cos z \sin z}{Ak_B T} \\ & + \frac{M_b^2 (1 + \cos^2 z)}{4Ak_B T}, \end{aligned} \quad (18)$$

where the average is performed either on the orientations of \vec{M}_b , either on a turn, i.e., over an arclength $2\pi r/\sin z$ corresponding to the pitch $2\pi r \cot z$. Such an average is essential to fit in the definition of elastic constants (continuous, rotationally invariant EWL model). The expression of the elastic constants for an ordinary spring follows:

$$\begin{aligned} \mathcal{A} &= \frac{A \cos z}{1 - \frac{(C-A)}{2C} \sin^2 z}, \\ \mathcal{C} &= \cos z (C \cos^2 z + A \sin^2 z), \\ \gamma &= \frac{k_B T \cos z}{r^2} (A \cos^2 z + C \sin^2 z), \\ g &= \frac{(C-A) \sin z \cos^2 z}{r}. \end{aligned} \quad (19)$$

In fact, these classical formulas of spring mechanics can be recovered directly from those obtained for the chromatin SH by letting $l \rightarrow 0$ at fixed z in the SH formulas; accordingly, $\eta \rightarrow 0$ and $D/l \rightarrow \cos z$. This link proves that chromatin is actually a special kind of spring. In the chromatin fiber, $\sin(\eta/2)$ is not small and can even reach 1. Hence all the features depending on η will exhibit a striking difference when comparing the SH and the helical coiling of DNA. This is the angle $\eta \neq 0$, reflecting the discrete character of the chromatin assembly, which is mainly responsible of the tunable elasticity and tunable chirality of the chromatin fiber, as can be seen by comparing the elastic constants of the helical spring and of the chromatin fiber.

Let us also compare the distribution of DNA elastic energy between twist and bend degrees of freedom. The comparison is presented in Fig. 12. When only a torque (either torsional or flexural) is applied, the partitions in the SH case and in the case of an helical coiling are exactly identical. When only a pulling force is applied, the energy is mainly stored in the torsional energy in the case of a simple helical spring, whereas it is the converse in the chromatin SH. In the

chromatin fiber, the energy stored in the linker bending is in any case larger (and sometimes far larger) than the energy stored in the twist. This remark reflects the following property of the SH: given m_t and m_b (modulus averaged along a linker), it is possible to determine a force F and a torsional torque M_t creating m_t and m_b on each linker only if $m_b \geq \text{Cte} \cdot m_t$, where the constant depends on the SH geometric characteristics. In particular, it is impossible to have $m_b = 0$ unless $m_t = 0$, i.e., $F = M_t = 0$.

This difference between the elastic behavior of the SH and the corresponding simple helical coiling originates from the discrete structure of the SH, where the linkers are spaced by rigid kinks (the nucleosomes). This strongly modifies the relation between the pulling force applied at the fiber ends and the local flexural (m_b) and torsional (m_t) components determining the DNA elastic energy. The discrepancy is maximal for $\sin(\eta/2) = 1$, which corresponds to the SH conformation in which the linkers cross the SH axis and SH chirality changes, which obviously never occurs in an helical coiling of bounded radius (either left handed or right handed, but never in between if r neither vanishes nor diverges). Although the SH ‘‘looks like’’ an helical coiling (see Fig. 3), its response to a pulling force differs from that of an helical spring. Indeed, we again underline that its elastic properties are determined by the characteristics of the broken line formed by the linkers, which might be quite different from an helical coiling (especially when η is close to π , which corresponds to cross-linked structures).

Elasticity theory of a homogeneous cylindrical rod [5,37] predicts the relation $4Ak_B T = \gamma R_{SH}^2$. The predicted value of \mathcal{A} using this formula is roughly correct for the helical coiling, but it overestimates the actual value of the SH persistence length by a factor of 2 or more. This discrepancy enlightens the importance of the complex substructure of the SH, leading to a fiber quite different from an homogeneously filled cylinder of radius R_{SH} and even from a helical coiling of DNA.

C. The origin of the sensitivity of the elastic constants with respect to the fiber structure

Although the chromatin SH and an helical coiling of DNA exhibit quantitative differences, their elastic behaviors share the same key feature (see Figs. 11 and 13): a sharp decrease towards zero of the elastic constants, together with the pitch. Considering a simple helical spring yields the—quite intuitive—explanation of this feature: when the slope of the elementary (DNA) fiber decreases to zero (DNA path almost orthogonal to the fiber axis), the number of turns per unit length along the fiber axis increases (see, in particular, the divergence of the compaction ratio $10/D(\text{nm})$ in Fig. 9, outside the figure frame). This accumulation of turns, each acting as a hinge, easily allows a huge deformation of the fiber, simply by the addition of small changes occurring in each turn. This explanation, involving only an accordionlike behavior, ensures the robustness of the feature. The flexibility dramatically increases when the number of coils per unit length of fiber increases, whatever the precise geometry with which the elementary fiber is coiled into a large-scale one.

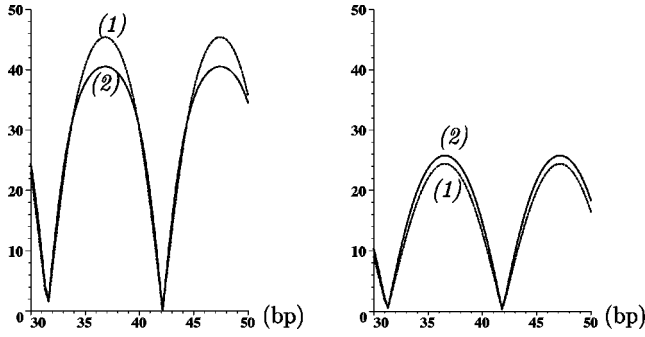


FIG. 13. Twist persistence length C [dashed line, curve (1)], bend persistence length A [solid line, curve (2)] in nanometers of an helical spring versus linker length (in bp) with the values of z and r equal to those of the SH, respectively for $\Phi=90^\circ$ (left) and $\Phi=50^\circ$ (right). This shows that the decrease of the persistence lengths and their vanishing in special values $n_{bp,c}$ is a geometric effect: it is due to an accumulation of turns on a small distance along the axis, allowing a dramatic unfolding.

The strong correlation between the pitch and the four elastic constants behaviors when n_{bp} varies simply reflects in the very expression of the elastic constants: they all write as $(D/l)f(z,r)$, hence are slaved to the vanishing of D (or P). The factor D/l shows that the extensibility and flexibility of the SH are controlled by its degree of compaction. The more compact the conformation (low value of P), the more flexible is the fiber, easily bent, twisted or stretched. We claim that the result is typical, i.e. does not depend on the details of the modeling, but only on the general feature of the chromatin fiber assembly, i.e., nucleosomes linked by segments of DNA. This form $(D/l)f(z,r)$ also indicates that *the elastic properties of the fiber are mainly controlled by the angle z between the linkers and the SH axis*. Indeed, z roughly determines the decomposition of local stresses among twist and bend degrees of freedom of linker DNA (stretch is always negligible).

D. A multistrand spring with tunable chirality

We first underline that the chromatin fiber is a spring of tunable chirality: the sign of the twist-stretch coupling g , associated to the chiral nature of the SH, changes twice within a “period” (an l interval of width 10.6 bp, i.e., the DNA pitch), see Fig. 11; correspondingly, a change from a right-handed SH to a left-handed SH occurs, as seen on Fig. 1. Reverse behaviors are observed in right-handed and left-handed SH. Indeed, change of chirality exactly corresponds to change in the sign of the susceptibility $\partial D/\partial\tau$, i.e., the slope of the curve giving D as a function of n_{bp} (see Fig. 8), up to a factor $\partial n_{bp}/\partial\tau = n_{bp}^0/2\pi$. The noticeable consequence is that a change $\Delta\tau$ will either decondense or compact the fiber according to the sign of $\partial D/\partial\tau$, i.e., to the chirality of the SH.

Far more, the two-level structure of the chromatin fiber makes it quite similar to a multistrand spring: an interesting behavior arises from the interplay between the right handedness of the linker DNA and the tunable chirality of its coiling

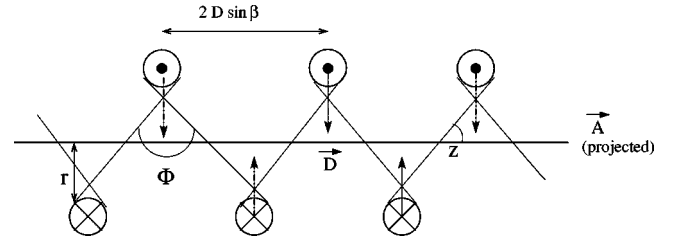


FIG. 14. Ribbonlike structure of the SH ($\theta=\pi$), here projected in the plane $(\vec{D}, \vec{N}\wedge\vec{D})$; indeed, when $\theta=\pi$, all the planes $(\vec{D}_j, \vec{N}_j\wedge\vec{D}_j)$ coincide. The SH axis makes an angle $(\pi/2-\beta)$ quite small with this plane ($\pi/2-\beta=\alpha\approx 4.47^\circ$) the nucleosomes are almost orthogonal to \vec{A} . Obviously, $D \sin \beta = l \sin(\Phi/2)$.

within the SH. Applying (only) a pulling force $F\vec{A}$ creates a torsional torque

$$m_t = Fr \sin z \cos(\eta/2). \quad (20)$$

The sign of $\cos(\eta/2)$ thus determines the sign of m_t (recall that by definition $\sin z > 0$). According to this sign, i.e. to the SH chirality, pulling the chromatin fiber will either twist or unwind the linker DNA double helix. This behavior is well known in the context of multi-strand springs [38]. Its biological interest might be to regulate DNA denaturation required for transcription and replication. We get a similar result when applying a torsional torque $M_t\vec{A}$; the torsional torque at the linker level is $m_t = M_t \cos z$. A change of sign in $\cos z$ inverts the action of the given torque $M_t\vec{A}$ at the DNA level, and we see that such a sign alternation actually occurs in each interval of length 10.6 bp.

Conversely, a modification of the linker twist, as can be achieved by intercalator enzymes, will modify in a tunable way the geometry of the SH fiber; according to the SH chirality, the same change of the linker twist will either condense ($\Delta D < 0$), or decondense ($\Delta D > 0$) the chromatin fiber. This possible mechanism of condensation/decondensation and its biological relevance will be investigated in a forthcoming paper (see also Sec. V F).

E. Special geometries

Ribbon (or zigzag). The first peculiar geometry of the fiber is the zigzag or ribbonlike structure, for which the cross-linked and roughly toroidal coiling degenerates into a flat structure. The number of nucleosomes per turn then reaches its minimal value of 2, i.e., $\theta = \pi$. In this case, represented in Fig. 14,

$$\beta = \frac{\pi}{2} - \alpha, \quad \cos z = \sin \beta \sin(\Phi/2), \quad D = \frac{l \sin(\Phi/2)}{\sin \beta}. \quad (21)$$

We locate this kind of configurations by determining in Fig. 9 the linker lengths for which $2\pi/\theta$ equals 2. It appears (see Fig. 10) that $\cos z$ then reaches its maximal value. Note that a ribbon is chiral, and is not the maximally extended configuration; on the contrary, a small length change ($\Delta n_{bp} = 1$) drives it into sterically forbidden configurations.

Nonchiral configurations. The SH chirality changes when $\cos(\eta/2)$ vanishes, i.e. $|\eta| = \pi$; in this case, the linkers cross the SH axis. This configuration is remarkable mainly for its mechanical properties. Indeed, the absence of chirality amounts, from its very definition, to the vanishing of the twist-stretch coupling: $g = 0$. Moreover, as explained in Sec. VD, the sign of $\cos(\eta/2)$ determines whether the linker DNA is unwound (i.e., denatured) or on the contrary twisted when the SH is pulled.

Since $\sin(\eta/2) = 1$, the configuration is the limiting case opposite to the helical spring, for which $\sin(\eta/2) = 0$. In particular, when the fiber is only pulled ($\vec{M} = 0$) the twist energy stored in the linker vanishes: all the elastic energy of the linker is stored in the bending degree of freedom,

$$E_t = 0, \quad E_b = \frac{lF^2 r^2}{6Ak_B T} = \frac{l^3 F^2 \cos^2(\Phi/2)}{24Ak_B T} > 0, \quad (22)$$

which never occurs in a helical spring. We underline that nonchiral structures (special linker positioning) ribbonlike structures (special nucleosome positioning) should not be confused.

In fact, this configuration is not rotationally symmetric, hence has two bend elastic constants. They are computed within the same lines as above, but without performing any average over the direction of the bending torque \vec{M}_b ; the identification is done with an asymmetric EWLR (4×4 matrix with two bend persistence lengths). This yields

$$\mathcal{A}_1 = \frac{DA}{l} \quad \text{and} \quad \mathcal{A}_2 = \frac{DA}{l} \frac{1}{1 - \frac{(C-A)}{C} \sin^2 z}. \quad (23)$$

Nevertheless, the orientation of the bending torque is not controlled at this level, hence the relevant elastic behavior, to be observed experimentally, is rather predicted after having performed an average over the torque directions. This recovers a rotationally symmetric behavior, characterized by the above given persistence length \mathcal{A} , as it can be seen directly in the formula

$$\frac{1}{\mathcal{A}} = \frac{1}{2} \left(\frac{1}{\mathcal{A}_1} + \frac{1}{\mathcal{A}_2} \right). \quad (24)$$

Columnar packing. Special configurations are obtained when n_{bp} is close to an integral multiple of n_{bp}^0 (for instance $n_{bp} = 42$ bp or 43 bp). In this case, nucleosome axes are all parallel hence parallel to the SH axis due to the rotational symmetry. We actually check on the curves of Fig. 10 that $\beta = 0$ for $n_{bp} = 42$ bp, whatever Φ . It is noticeable that geometric characteristics as D , z , and η are much sensitive to variations of n_{bp} around this value of 42 bp, which reflects in a similar sensitivity of the elastic constants, as seen in Fig. 11. Fine tuning of n_{bp} and Φ leads to a configuration in which the nucleosomes are organized in columnar arrays. It has been evidenced [39,40] that free nucleosomes exhibit a liquid-crystal-like nature and tend to form columnar phases, in which the nucleosomes are stacked one upon other with

their axes parallel to the column. A SH configuration exhibiting a columnar packing of nucleosomes in low-salt conditions is likely to be stabilized by internucleosomal interactions when the ionic force increases, better than any other configuration. In presence of linker histones, the specificity of ‘‘columnar’’ SH is strengthened since linker histones too exhibit a propensity to stacking.

F. Comparison with chromatin fiber pulling experiments

We carry on the discussion by presenting the experimental validation and prospects of our results.

In the past few years, a novel experimental method of investigating structural and mechanical properties of biological macromolecules or complexes, as DNA or chromosomes, has been developed [37,11,41,42]. It is based on micromanipulations and force measurements on an isolated fiber. It belongs to the rapidly expanding field of investigations known as ‘‘single molecule biophysics’’ [44,43]. The experiment devised in the context of chromatin study transposes to a chromatin fiber a methodology first implemented with DNA. It consists in pulling the fiber under various constraints and in various conditions (salt concentration, presence of specific enzymes or chemical factors), and to determine the ensuing deformations of the fiber. Varying the pulling force yields force-extension curves characterizing the elastic response of the fiber.

Nevertheless, at the chromatin scale, the applied stresses are artificial and cannot sensibly refer to an event occurring *in vivo*: contrary to DNA case, an enzyme is not large enough to directly handle the 30-nm fiber or to experience the chromatin fiber state of strain, for example its torsional strain or its curvature (the only *in vivo* mechanism of that kind, i.e., the action of the mitotic spindle on chromosomes [8], occurs at a much larger scale). The biochemical processes, for instance binding of a biological factor or chemical modification, occur at the elementary level (scale of DNA and nucleosomes), whereas the experiment probes the 30-nm fiber behavior. A mechanical modeling relating the DNA scale and the fiber scale is thus necessary to exploit all the informations provided by single-fiber pulling experiments in terms of biological functions. Conversely, these experiments are essential to validate the model and the underlying hypotheses on structure and interactions, to fit dubious parameters and possibly to ask for refinements. The EWLR model allows to predict force-extension curves, to be compared with those obtained in single-fiber experiments. Our study, describing the microscopic root of the EWLR model of chromatin, thus provides a bridge between microscopic structure and observable properties of the overall fiber.

In the experimental setup used by Cui and Bustamante [6], the fiber, of relaxed length L , is pulled by means of optical tweezers. There is no direct contact with the fiber ends, which are free to rotate. No torque is applied ($\vec{M} = \vec{0}$) and free twist fluctuations take place. It corresponds to the situation recalled just above. Some of force-extension curves that they present are relaxation curves in low salt, for which it is legitimate to ignore interactions between nucleosomes. Cui and Bustamante fitted these experimental relax-

ation curves within the EWLR model presented in Sec. IV A. Recalling that their experimental setup allows free twist fluctuations, the fit involved only two elastic constants: the bend persistence length \mathcal{A} and an effective stretch modulus $\gamma_{eff} = \gamma - k_B T g^2 / C$. They found $\mathcal{A} \approx 30$ nm and $\gamma_{eff} \approx 5$ pN, values to which we may compare our theoretical predictions.

The comparison leads to a striking result: we show in Fig. 11 that the set of values ($\mathcal{A} \approx 30$ nm, $\gamma_{eff} \approx 5$ pN) is obtained for n_{bp} belonging to a narrow window between 42 and 43 bp whatever the value of Φ is (we checked values of Φ ranging from 130° to 40°). Since n_{bp} is then an integral multiple of n_{bp}^0 , this case corresponds to special structures of the SH in which the nucleosomes pack into columnar arrays (Sec. IV E); only the number of columns varies with Φ and with the precise modeling of the nucleosome, see Fig. 3. Pulling the fiber will induce a change in Φ but not in τ , due to the special orientation of the nucleosomes; this orientation is thus preserved, hence γ and \mathcal{A} will not change: no non-linear effect arises when starting in this special columnar geometry, hence a fit by an EWLR actually accounts for the whole force-extension curve, even in the region where u is not small with respect to 1.

In an experiment performed at higher salt concentration (in 40–150mM NaCl) Cui and Bustamante observed a plateau in the force-extension curve at a value F_c between 5 pN and 6 pN. They interpret this plateau as a structural transition corresponding to the breaking of some short-range attractive interactions between chromatosomes, from which follows a dramatic decondensation of the fiber, at constant force. Our modeling cannot, of course, directly account for this conformational transition since internucleosomal interactions are ignored: only relaxation curves can be predicted, or curves in low-salt conditions where interactions are always negligible, even at low force, and the chromatin always extended (there is besides no plateau in this low-salt case).

But we claim that our study is specially well suited to investigate the relevant biological question, which is rather to determine local mechanisms that could induce such a decondensation, i.e., that could create local stresses of large enough strength to break the interactions. Indeed, a simple criterion of efficiency of biological factors (e.g. enzymes) is that the global stress resulting from their binding should be larger than the critical force F_c measured by Cui and Bustamante. Our approach provides a direct method to check this criterion since it relates the local strains induced by the binding of the enzyme on linker DNA (experimentally measured or deduced from a molecular mechanics simulation) first to the associated local stresses [Eq. (11)]; then, by extending the computations presented in Sec. IV, it is possible to relate these local stresses to the global stress experienced by the chromatin fiber, to be compared to the measured value F_c , and to the global deformation of the fiber conformation. The implementation of this methodology in the case of intercalators, which gives insights on the *in vivo* condensation/decondensation mechanisms of the chromatin fiber, will be presented in a subsequent paper.

We mention that our work is not the first theoretical, model-based approach aiming at accounting for these experimental results. The first one has been developed by Katritch

et al. [44], using a Monte Carlo simulation of the fiber. One of the interests of such a simulation is to include explicitly thermal fluctuations but, as they show in their paper, the behavior experimentally observed is mainly deterministic (except at very low force). Moreover, the possibility offered by a simulation to treat accurately the interactions, for instance between nucleosomes, is at the moment hampered by the lack of data, preventing to go beyond an effective isotropic model of interaction. We thus believe that Monte Carlo simulations are not necessary, at least as regards the problem of reproducing the experimentally observed force-extension curves. Indeed, an analytic answer is at hand, even with nucleosome interactions, and with more refined excluded-volume constraints (see Fig. 7 and the analog that could be constructed for the linkers, by unwrapping the cylinder of radius r). Simulations would become really useful to handle a more detailed description of the nucleosome, for instance with an explicit linker histone.

Another approach has been used recently by Schiessel *et al.* [7]. As discussed below (Sec. VII B), its basic step is to relate the strains at the DNA and fiber scales (instead of the stresses, as performed here in Sec. IV). They only managed to determine some elastic constants (namely γ and \mathcal{A}) of special geometries, at the expense of some approximations, but they give analytical expressions directly in terms of the microscopic parameters (here l and Φ). Moreover, the forces that they predict in their theoretical force-extension curves are smaller than the results of Cui and Bustamante by a noticeable factor of 4. This could be explained by their derivation, summing up the bend and twist contributions to γ^{-1} , which is questionable. Indeed, looking at the basic example of an helical spring shows that instead of writing $\gamma^{-1}(A, C) = \gamma^{-1}(A, C=0) + \gamma^{-1}(A=0, C)$, one should sum up the stretch moduli, according to $\gamma(A, C) = \gamma(A, C=0) + \gamma(A=0, C)$ as in the case when springs act in parallel. This leads to a discrepancy of a factor of 4 when the “elementary” stretch modulus $\gamma(A, C=0)$ and $\gamma(A=0, C)$ are of the same order. Moreover, in the case of the SH, the actual expression [see Eq. (16)] shows that such an additive decomposition does not exactly hold in the SH case. These two objections could explain the discrepancy between our value of 5 pN for γ , in agreement with the experimental results of Cui and Bustamante, and their value $\gamma = 1.2$ pN, observed for quite similar fiber conformations with crossed linkers [Schiessel *et al.* considered a structure with (in our notations) $\Phi = 85^\circ$ and $\tau = 36^\circ$, which corresponds to 42–43 bp in our model].

VI. BIOLOGICAL DISCUSSION

A. A tunable, highly sensitive, elastic structure

We thus show a wide range of different elastic behaviors, separated by a minor change in the linker length. We suggest that this tunable elasticity might be used as a regulatory mechanism during the cell cycle. For instance, a slow modulation of the linker lengths might create different domains in the chromatin fiber, of much different rigidities, and might provide a preliminary underlining of transcriptionally active chromatin regions. The response of these different regions to

a same local stress (protein binding, for instance) will be dramatically different. For example, intercalation might condense or decondense the fiber, according to the sign of $\partial D/\partial \tau$, i.e., the chirality: within $\Delta n_{bp}=2$ bp, opposite consequences will be observed. This underlining, inscribed in the very structure of the fiber, allows a rapid and selected response to a nonspecific stress, which might be biologically more relevant than a mechanism based on enzyme recognition of a specific sequence, moreover, possibly buried inside the fiber. Mechanical sensitivity is likely to provide efficient switches for processes occurring at the fiber level.

The chromatin fiber thus exhibits tunable structure, tunable chirality, and tunable elastic properties. We suggest three possible mechanisms to implement the required adaptation of the linker length.

(1) The first mechanism involves nucleosome displacement; acetylation of histone tails untightens the DNA wrapping around the histone core and presumably allows nucleosome mobility. Nevertheless, topological (linking number conservation) and mechanical (helical gearing) constraints make the motion of the nucleosome quite different from a mere translation along the DNA, and the kinematic feasibility deserves to be investigated further.

(2) The second mechanism involves intercalating enzymes, modifying the twist of the linker. A detailed study of the interplay between linker intercalation and the chromatin fiber mechanics will be presented in a subsequent paper.

(3) The best candidate might be a mechanism involving the linker histone. Indeed, the value n_{bp} involved here is the effective length of the linker, beyond linker histone (i.e., outside the chromatosome). This length might be tuned by a slight displacement of the linker histone away ($\Delta n_{bp}<0$) or towards ($\Delta n_{bp}>0$) the nucleosome. An alternative tuning mechanism lays on the variation of Φ , controlled in particular by the presence of linker histone, salt concentration and histone tails binding affinities.

B. A chromatin structure

We evidenced that a value of linker length n_{bp} between 42 and 43 bp leads to the values $\mathcal{A}\approx 30$ nm and $\gamma\approx 5$ pN whatever the value of Φ . Since n_{bp} is then about $4n_{bp}^0$, the nucleosome axes are all parallel hence parallel to the SH axis due to the rotational symmetry. That such organized structures lead to $\mathcal{A}=30$ nm and $\gamma_{eff}=5$ pN, whatever Φ is (and even whatever r_{nucl} and H are, as we checked) is explained by the fact that the SH elastic properties originate from the linker DNA contribution. As shown by the computation of SH elastic constants, this contribution is mainly fixed by the linker orientation with respect to the SH axis (i.e., z). This matching between our predictions and experimental results strongly suggests that the structure underlying the observed elastic properties is a columnar packing of nucleosomes. We thus supplement the argument of Yao *et al.* [45] in favor of rotationally phased nucleosomes: the actual relaxed SH structures are selected according to their ability to be stabilized by internucleosomal interactions when the ionic force increases. Our claim is supported by the results of Livolant and co-workers [39,40], in which it is observed that

nucleosomes exhibit a liquid-crystal-like nature, leading to a spontaneous columnar ordering. We thus expect native SH structures to favor columnar packing of nucleosomes, as they correspond to the more robust three-dimensional organization of the chromatin fiber. Note that whereas a model with straight linkers is compatible with the observed structure and elasticity of the chromatin fiber at low ionic strength (5mM NaCl), interactions between stacked nucleosomes should induce a bending of the linkers at higher ionic strength. More probably, the conflicting effects consistency between nucleosomes stacking and linker stiffness might be reconciled by linker DNA kinks, occurring near the entry-exit points and induced by the binding of linker histones H1 .

For $\Phi=90^\circ$ (low-salt situation), the degree of compaction $10/D(\text{nm})$ reaches its minimal value for the same value n_{bp} located between 42 and 43 bp. This underlines a key feature of the corresponding configuration: at low salt, it is the most extended and rigid configuration; at the same time, it is the most responsive to salt induced compaction. Indeed, as seen in Fig. 9, the degree of compaction $10/D(\text{nm})$ is minimal at $n_{bp}=42$ or 43 bp for $\Phi=90^\circ$, and it strongly increases when Φ decreases to 50° , which is an acknowledged effect of increasing the salt concentration. Moreover, this configuration strongly favors a second compaction step, ensured by the attractive interactions between nucleosomes (or rather chromatosomes) that arise when the nucleosome faces are close enough. We, in particular, recover in this scheme the two-stage compaction of the 30-nm chromatin fiber observed experimentally [46–49]. An insight into this interaction-induced compaction can be obtained by setting the effective parameters r_{nucl} and H to 0, thus mimicking the enhanced influence of linker histone at high-salt concentration; in this case, P decreases to about 6 nm, indicating that nucleosomes actually stack very closely onto each other and lead to a superstable (and presumably rigid) fiber. It has been suggested [31] that H1 is required not so much to get a folded fiber (compact fibers have been observed in absence of H1) but to get a properly folded fiber. We suggest that H1 might be involved in the tuning of the effective linker length and twist, actually involved in the assembly. In any cases, H1 stacking interactions favor configurations exhibiting columnar arrays of nucleosomes.

VII. CONCLUSION AND PERSPECTIVES

A. What did we learn from this study?

The chromatin sensitivity of the fiber response to global or local stresses evidenced in this study sheds light on the biological interest of the so peculiar and so universal assembly of chromatin fiber. It enlightens possible relations between small-scale structure and gene regulation through the fiber mechanical properties. For instance, we evidenced that a minor change of l or τ around some ‘‘critical’’ value inverts its chirality. Hence, its response to a torsional torque induces either an extension, or a contraction of the fiber, and its response to a pulling force will either wind or unwind the SH fiber. Moreover, as DNA itself is chiral (right handed), the

response at the DNA level is also controlled by the SH chirality: pulling the fiber unwinds the DNA if the SH is left handed.

The tunable energy partition between twist and bend degrees of freedom at the linker DNA level may be of biological interest: according to the chromatin configuration, either a twist-sensitive protein will bind onto linker DNA, or a protein whose binding is favored by the local curvature of linker DNA will be the adapted factor. Hence the mechanical sensitivity can participate in biological recognition or specificity. Conversely, we read on the associated curves (see Fig. 11) whether a twist-modifying protein (intercalator, gyrase), for instance, may induce a required strain of the SH.

Comparison with experimental results give clues about the much debated chromatin structure [35]. It brings about a quite different structure: a columnar packing of nucleosomes. We suggest that a possible role of linker histone might be to select the proper structure, at low-salt concentration, by tuning the linker length so as to have n_{bp}/n_{bp}^0 equal to some integer. Also, a tuning of $\Delta n_{bp} = 1$ or 2 bp might be achieved by enzyme intercalation. When ionic strength increases, compaction takes place, first due to a decrease in the value of Φ (which keeps the nucleosome orientations unchanged, along the SH axis); then, when nucleosomes happen to be stacked in columns, the compaction is ensured by the interactions between stacked nucleosomes, between stacked linker histones (counterbalancing the linker DNA repulsion) or between histone tails and nearby linkers or nucleosomes.

B. A general method for studying elasticity of linear complex fibers

The problem of relating the elastic coefficients of the chromatin fiber to the geometric and elastic properties of the underlying “microscopic” structure (assembly of nucleosomes and linkers) is reminiscent of similar works performed for DNA by Marko and Siggia [48] and O’Hern and co-workers [17,22]. They described the dsDNA at two levels: as an helical coiling at small scale and as an EWLR at a slightly larger scale. They derived similar formulas relating the elastic coefficients (C , A , γ_{DNA} , and g_{DNA}) to the geometric and elastic parameters of the underlying helical model.

We point that their computation rests on the relation between the “microscopic” strains and the dsDNA strains, plugged into the equality of the EWLR free energy and the free energy computed within the microscopic model. Generally, numerous sets of microscopic strains achieve the macroscopic strains, but only the set of lowest free energy yields the actual free energy of the EWLR. Relating properly microscopic and macroscopic strains thus requires minimizing the small-scale free energy, given the macroscopic strains. Performing this minimization is nothing but writing the conditions for the local equilibrium of the assembly.

When the microscopic model is homogeneous, the conditions for local equilibrium simply expresses in the extensivity of the strains. The uniformity of the local strain densities thus allows to relate them to global strains without an explicit minimization (think of identical springs in series). This

works for DNA [22] but not in the case of chromatin. The discrete and complex nature of the chromatin assembly leads to difficult and cumbersome computations in order to determine the linker shape, as it can be seen in the work of Schiessel *et al.* [7]. Moreover, this approach fails to give an analytical solution except when the relaxed fiber exhibits a special geometry, for example a ribbonlike flat structure.

Determining the conditions for local equilibrium is precisely what is done more straightforwardly, in our approach. We indeed write equilibrium equations given the global stresses and solve them to get the local stresses arising at each point of the assembly (at equilibrium under the given global stresses). Relating the stresses at the microscopic and at the fiber levels follows from the basic principles of classical mechanics; this method appears to be, at the same time, more simple and more easily generalized. It is in fact the only way to bridge the linker elasticity to the SH elasticity in any geometry. Moreover, it extends to more complex situations as intercalated linker DNA or more generally, situations where forces and torques are applied at the DNA level.

We thus underline that the proper method to express the elastic properties of an assembly as a function of the elastic properties of the basic elements is to relate the global stresses, applied to the assembly, and the local stresses experienced by the lower scale elements. This relation can be used in both ways, to investigate: (1) Either small-scale repercussions of a global stress, hence how a global stress (as those applied in micromanipulations) can be used to probe the fiber at the elementary level; (2) either large-scale response to local stresses, hence how biological factors binding on the linker DNA could induce major structural and conformational changes in the overall fiber.

C. Biological perspectives

Our study underlines that the mechanical properties of special structures, selected according to the phasing of the nucleosomes therein, might be involved as a regulatory factor in the chromatin biological function.

Having modeled the 30-nm fiber as an EWLR, with explicit values of the elastic coefficients, a natural extension of our study is to consider higher levels of organization: a plectonemic coiling, leading to a 60-nm fiber, or an helical coiling, whose elastic properties follow from classical spring mechanics. The question is then to unravel the implications of the chromatin structure and its elastic properties on the higher levels of organization. A mechanical approach similar to that implemented in this paper is essential to bridge electron-microscopy structural observations evidencing fiberlike objects at higher levels (60-nm fiber, “chromonema” fiber of diameter 100–130 nm [49] and chromosome) and experimental results on chromosome elasticity obtained by pulling a single chromosome [41,42]. The challenge is to understand the mechanics of the chromosome and its involvement in the biological functioning of the chromosome throughout the cell cycle.

Another direction in which to exploit the results of the present paper is to determine the stresses that can be exerted

at the fiber scale by groove-binding proteins or intercalators when they bind onto linker DNA. For instance, to investigate whether a local decondensation of the fiber might be induced by intercalating enzymes and controlled by linker lengths. More generally, our approach is a privileged tool to investi-

gate the action at the fiber level of small-scale biochemical stresses (protein binding, histone tail acetylation), then to describe how they can act as mechanical switches and exploit the tunable elasticity of the fiber into regulatory schemes.

-
- [1] K. van Holde, *Chromatin* (Springer, Berlin, 1988).
- [2] A. Wolffe, *Chromatin* (Academic Press, San Diego, 1995).
- [3] W. Saenger, *Principles of Nucleic Acid Structure* (Springer, Berlin, 1988), Chap. 19.
- [4] J. Widom, *Physica A* **244**, 497 (1997).
- [5] A. E. H. Love, *A Treatise on the Mathematical Theory of Elasticity*, 4th ed. (Cambridge, London, 1986), Chap. XVIII and XIX.
- [6] Y. Cui and C. Bustamante, *Proc. Natl. Acad. Sci. U.S.A.* **97**, 127 (2000).
- [7] H. Schiessel, W. M. Gelbart, and R. Bruinsma, *Biophys. J.* **80**, 1940 (2001).
- [8] G. Janninck, B. Duplantier, and J. L. Sikorav, *Biophys. J.* **71**, 451 (1996).
- [9] J. Widom, *Annu. Rev. Biophys. Biomol. Struct.* **27**, 285 (1998).
- [10] C. L. Woodcock, S. A. Grigoryev, R. A. Horowitz, and N. Whitaker, *Proc. Natl. Acad. Sci. U.S.A.* **90**, 9021 (1993).
- [11] T. R. Strick, J. F. Allemand, D. Bensimon, A. Bensimon, and V. Croquette, *Science* **271**, 1835 (1996).
- [12] C. Bouchiat and M. Mézard, *Phys. Rev. Lett.* **80**, 1556 (1998).
- [13] K. Luger, A. W. Mäder, R. K. Richmond, D. F. Sargent, and T. J. Richmond, *Nature (London)* **389**, 251 (1997).
- [14] O. Kratky and G. Porod, *Recl. Trav. Chim. Pays-Bas.* **68**, 1106 (1949).
- [15] J. Marko, *Europhys. Lett.* **38**, 183 (1997).
- [16] J. Marko, *Phys. Rev. E* **57**, 2134 (1998).
- [17] R. D. Kamien, T. C. Lubensky, P. Nelson, and C. S. O'Hern, *Europhys. Lett.* **38**, 237 (1997).
- [18] P. T. Lowary and J. Widom, *Proc. Natl. Acad. Sci. U.S.A.* **94**, 1183 (1997).
- [19] J. Bednar, R. A. Horowitz, S. A. Grigoryev, L. M. Carruthers, J. C. Hansen, A. J. Koster, and C. L. Woodcock, *Proc. Natl. Acad. Sci. U.S.A.* **95**, 14 173 (1998).
- [20] L. M. Carruthers, C. Tse, K. P. Walker III, and J. C. Hansen, *Methods Enzymol.* **304**, 19 (1999).
- [21] K. van Holde and J. Zlatanova, *Proc. Natl. Acad. Sci. U.S.A.*, **93**, 10 548 (1996).
- [22] C. S. O'Hern, R. D. Kamien, T. C. Lubensky, and P. Nelson, *Eur. Phys. J. B* **1**, 95 (1998).
- [23] Z. Kam, N. Borochoy, and H. Eisenberg, *Biopolymers* **20**, 2671 (1981).
- [24] T. Odijk, *J. Polym. Sci. Polym. Phys. Ed.* **15**, 477 (1977).
- [25] R. R. Netz and H. Orland, *Eur. Phys. J. B* **8**, 81 (1999).
- [26] C. Baumann, S. Smith, V. Bloomfield, and C. Bustamante, *Proc. Natl. Acad. Sci. U.S.A.* **94**, 6195 (1997).
- [27] S. H. Leuba, G. Yang, C. Robert, B. Samori, K. Van Holde, J. Zlatanova, and C. Bustamante, *Proc. Natl. Acad. Sci. U.S.A.* **91**, 11 621 (1994).
- [28] S. H. Leuba, C. Bustamante, J. Zlatanova, and K. van Holde, *Biophys. J.* **74**, 2823 (1998).
- [29] J. O. Thomas, *Curr. Opin. Cell Biol.* **11**, 312 (1999).
- [30] L. M. Carruthers, J. Bednar, C. L. Woodcock, and J. C. Hansen, *Biochemistry* **37**, 14 776 (1998).
- [31] J. Zlatanova, S. H. Leuba, and K. van Holde, *Crit. Rev. Eukaryot. Gene Expr.* **9**, 245 (1999).
- [32] S. H. Leuba, C. Bustamante, K. van Holde, and J. Zlatanova, *Biophys. J.* **74**, 2830 (1998).
- [33] A. Worcel, S. Strogatz, and D. Riley, *Proc. Natl. Acad. Sci. U.S.A.* **78**, 1461 (1981).
- [34] J. T. Finch and A. Klug, *Proc. Natl. Acad. Sci. U.S.A.* **73**, 1897 (1976).
- [35] K. van Holde and J. Zlatanova, *J. Biol. Chem.* **270**, 8373 (1995).
- [36] S. Przybyl and P. Pieranski, *Eur. Phys. J. E* **4**, 445 (2001).
- [37] S. B. Smith, Y. Cui, and C. Bustamante, *Science* **271**, 795 (1996).
- [38] J. W. Phillips and G. A. Costello, *Int. J. Non-Linear Mech.* **14**, 247 (1979).
- [39] A. Leforestier and F. Livolant, *Biophys. J.* **73**, 1771 (1997).
- [40] F. Livolant and A. Leforestier, *Biophys. J.* **78**, 2716 (2000).
- [41] B. Houchmandzadeh, J. F. Marko, D. Chatenay, and A. Libchaber, *J. Cell Biol.* **139**, 1 (1997).
- [42] M. Poirier, S. Eroglu, D. Chatenay, and J. F. Marko, *Mol. Biol. Cell* **11**, 269 (2000).
- [43] *Science* **283** (1999), special issue on single molecules.
- [44] V. Katritch, C. Bustamante, and W. K. Olson, *J. Mol. Biol.* **295**, 29 (2000).
- [45] J. Yao, P. T. Lowary, and J. Widom, *Proc. Natl. Acad. Sci. U.S.A.* **90**, 9364 (1993).
- [46] I. Russo, P. Barboro, I. Alberti, S. Parodi, and C. Balbi, *Biochemistry* **34**, 301 (1995).
- [47] C. Balbi, P. Sanna, P. Barboro, I. Alberti, M. Barbesino, and E. Patrone, *Biophys. J.* **77**, 2725 (1999).
- [48] J. Marko and E. D. Siggia, *Macromolecules* **27**, 981 (1994).
- [49] A. S. Belmont, S. Dietzel, A. C. Nye, Y. G. Strukov, and T. Tumber, *Curr. Opin. Cell Biol.* **11**, 307 (1999).