

# Counting lattice walks by winding angle using Jacobi theta functions

Andrew Elvey Price

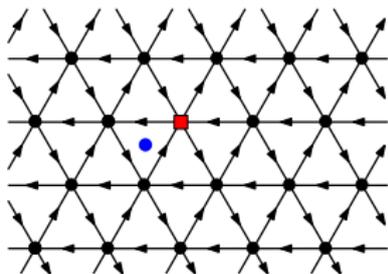
Université de Bordeaux et Université de Tours

February 2020

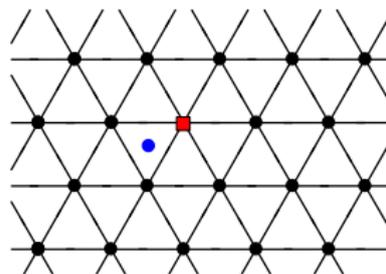
# LATTICE WALKS BY WINDING ANGLE

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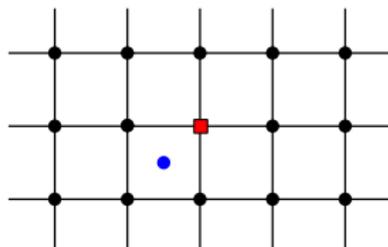
**Cell-centred lattices:**



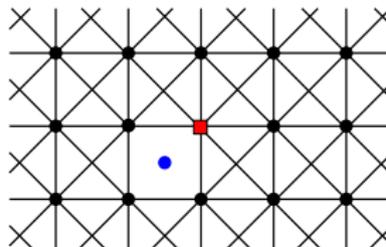
Kreweras lattice



Triangular Lattice



Square Lattice

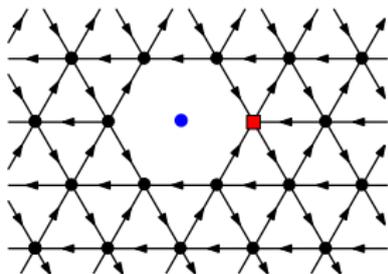


King Lattice

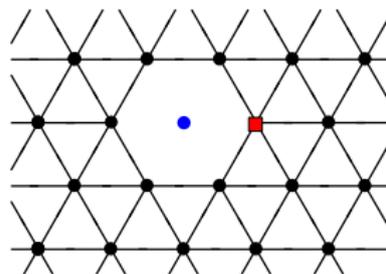
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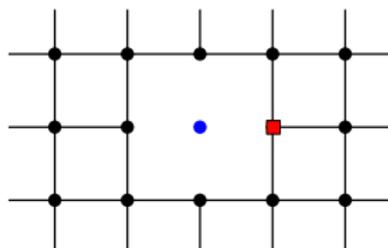
**Vertex-centred lattices:**



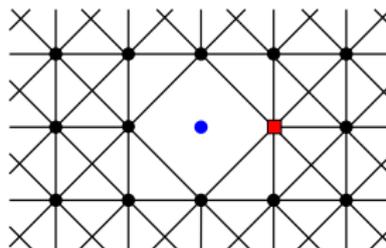
Kreweras lattice



Triangular Lattice



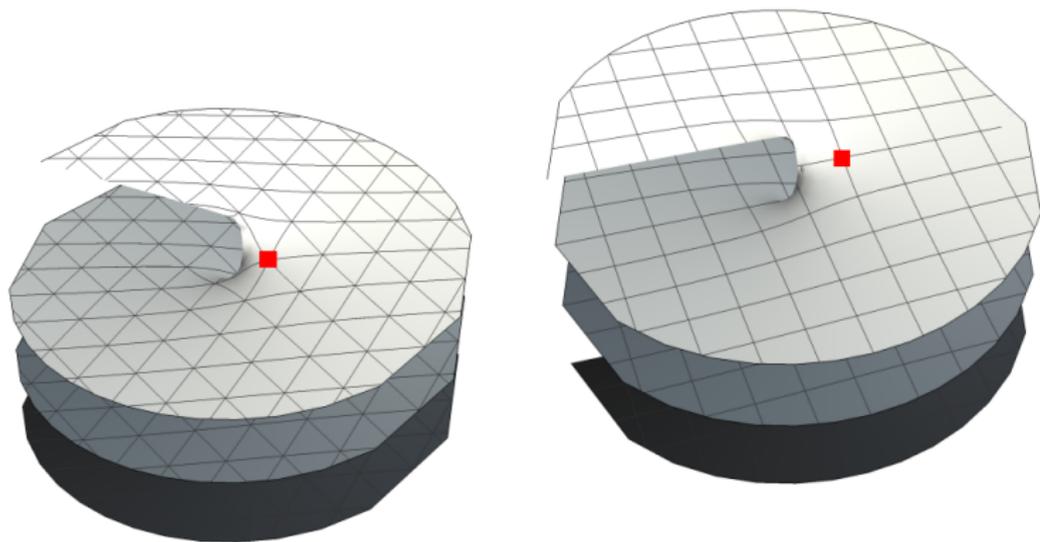
Square Lattice



King Lattice

# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at the **red square** (by end point).



**Left:** Cell-centered triangular lattice

**Right:** Vertex-centered square lattice

# SQUARE LATTICE WALKS BY WINDING ANGLE

[Timothy Budd, 2017]: enumeration of square lattice walks (starting and ending on an axis or diagonal) by winding angle

- **Method:** Matrices counting paths, eigenvalue decomposition etc.
- **Solution:** Jacobi theta function expressions
- **Corollaries:**
  - Square lattice walks in cones (eg. Gessel walks)
  - Loops around the origin (without a fixed starting point)
  - Algebraicity results, asymptotic results, etc.

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**This talk:** Kreweras lattice (mostly)

# JACOBI THETA FUNCTION

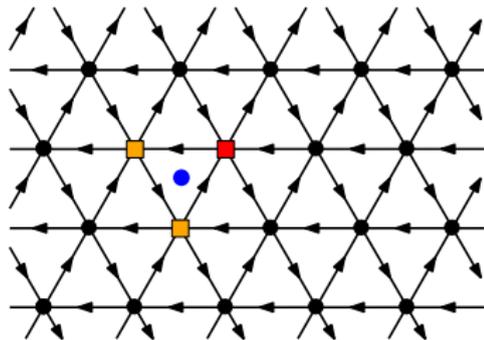
All results are in terms of the series:

$$\begin{aligned} T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6). \end{aligned}$$

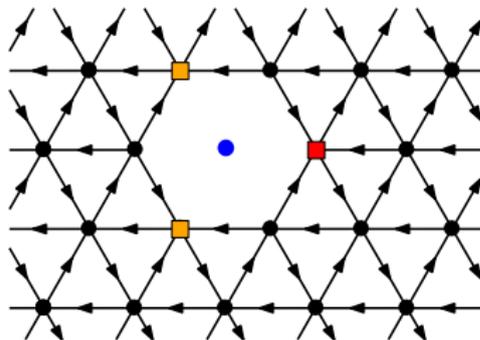
Related to Jacobi Theta function  $\vartheta(z, \tau) \equiv \vartheta_{11}(z, \tau)$  by

$$\vartheta^{(k)}(z, \tau) \equiv \left( \frac{\partial}{\partial z} \right)^k \vartheta(z, \tau) = e^{\frac{(\pi\tau - 2z)i}{2}} i^k T_k(e^{2iz}, e^{2i\pi\tau}).$$

# PREVIEW: KREWERAS ALMOST-EXCURSIONS



Cell-centred Kreweras lattice



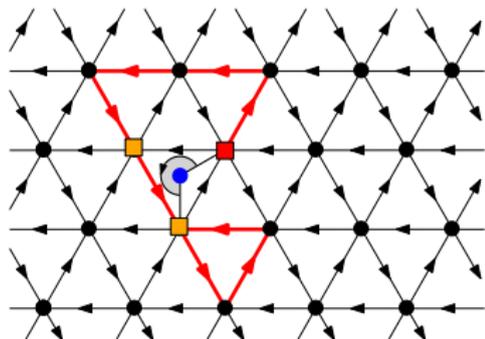
Vertex-centred Kreweras lattice

On each lattice: count walks from **red square** to a **square** (**red** or **orange**). Walks with length  $n$  and winding angle  $\frac{2\pi k}{3}$  contribute  $t^n s^k$ .

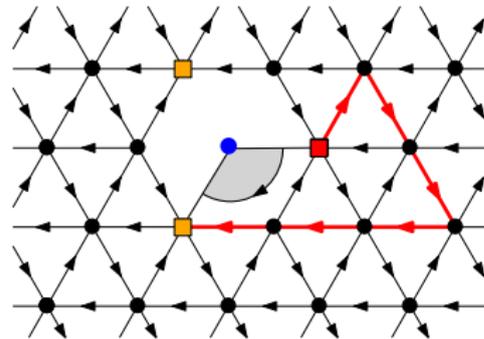
**Cell-centred:**  $E(t, s) = 1 + st + (s^2 + s^{-1})t^2 + \dots$

**Vertex-centred:**  $\tilde{E}(t, s) = 1 + (s^{-1} + 4 + s)t^3 + \dots$

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# PREVIEW: KREWERAS ALMOST-EXCURSIONS

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Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right).$$

The gf for **vertex-centred** Kreweras-lattice almost-excursions is:

$$\tilde{E}(t, s) = \frac{s(1-s)q^{-2/3}}{t(1-s^3)} \frac{T_0(q, q^3)^2}{T_1(1, q^3)^2} \left( \frac{T_1(q, q^3)^2}{T_0(q, q^3)^2} - \frac{T_2(q, q^3)}{T_0(q, q^3)} - \frac{T_2(s, q)}{2T_0(s, q)} + \frac{T_3(1, q)}{6T_1(1, q)} + \frac{T_3(1, q^3)}{3T_1(1, q^3)} \right).$$

# TALK OUTLINE

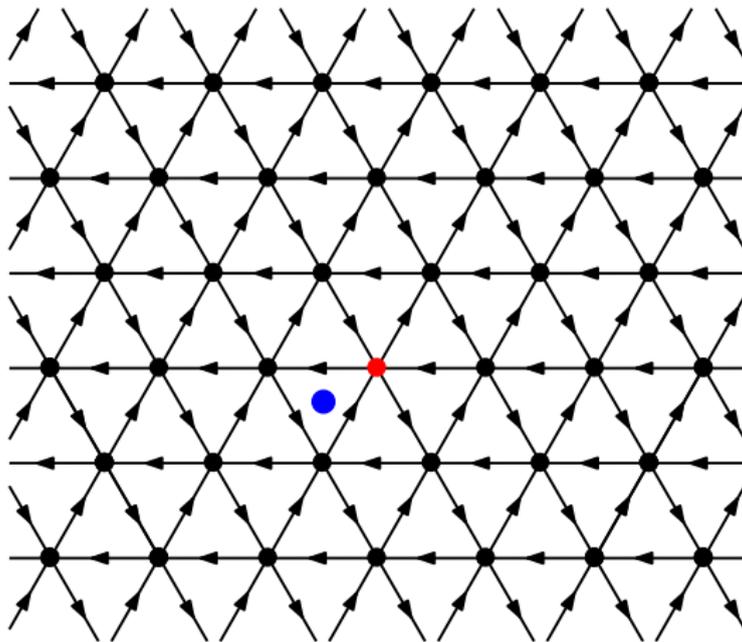
**Focus:** Kreweras lattice (for parts 1 to 4).

- **Part 1:** Decomposition of lattice  $\rightarrow$  functional equations
- **Part 2:** Solving the functional equations (with theta functions!)
- **Part 3:** Corollaries: walks restricted to cones
  - **New result:** Excursions with step set  avoiding a quadrant
- **Part 4:** Analysing the solution
  - Algebraicity results using modular forms
  - Asymptotic results
- **Part 5:** Square, triangular and king lattices
- **Part 6:** Final comments and open problems



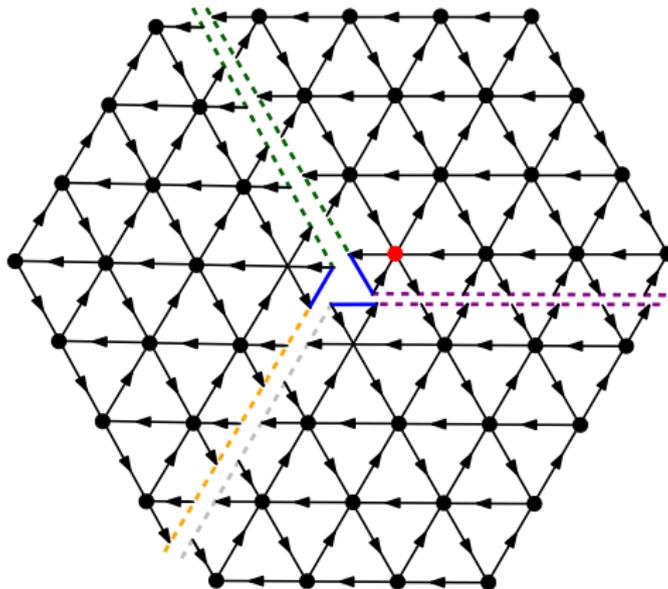
# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point and number of times winding around the blue point.



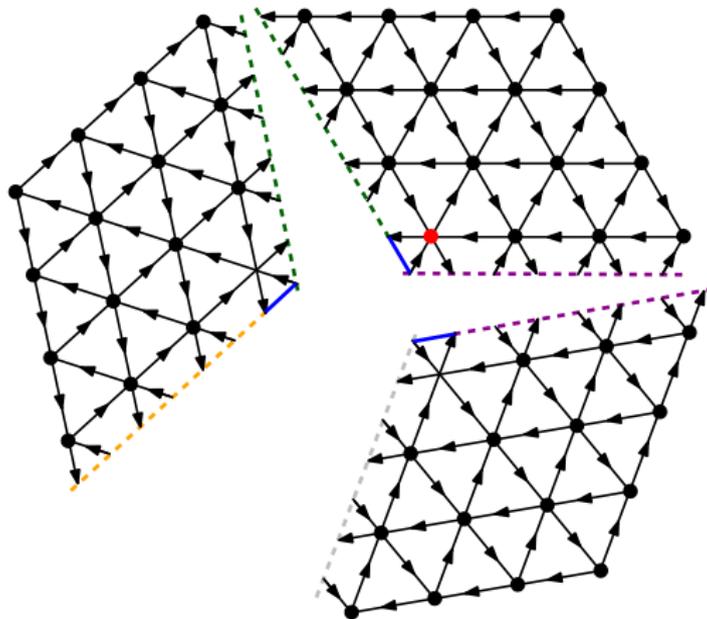
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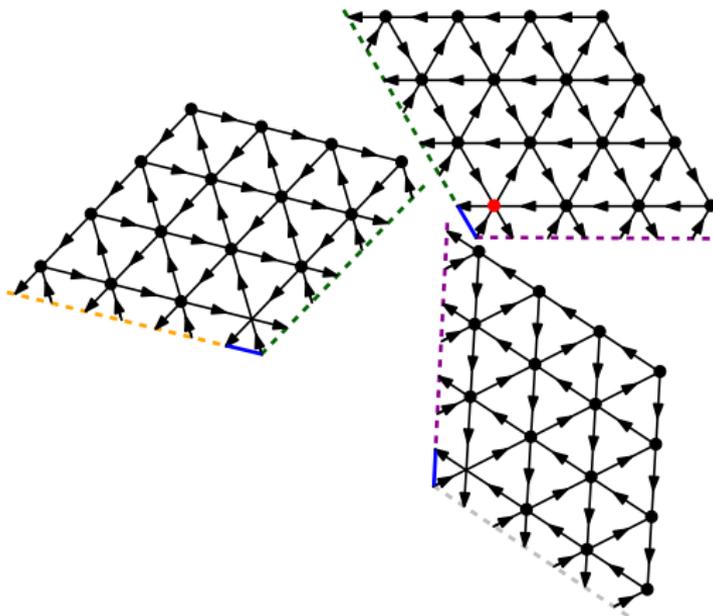
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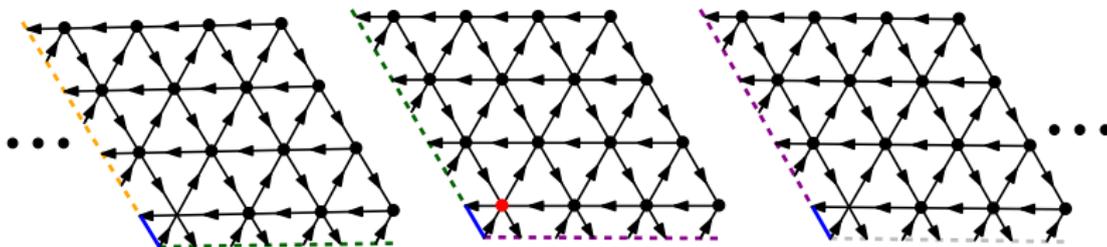
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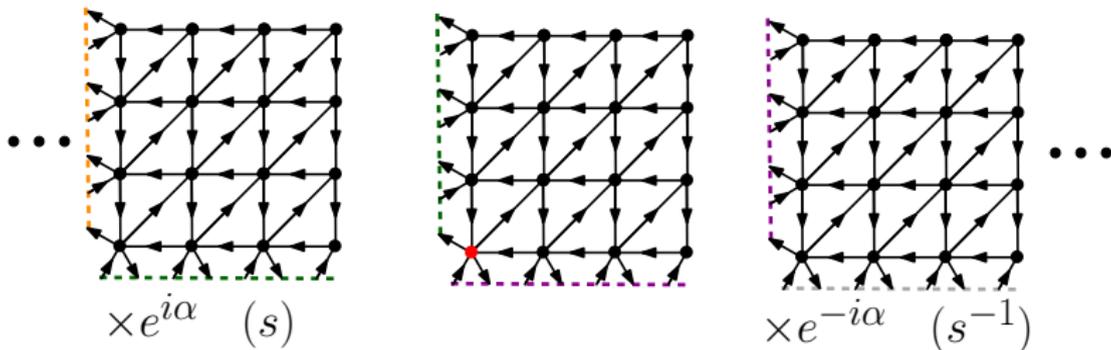
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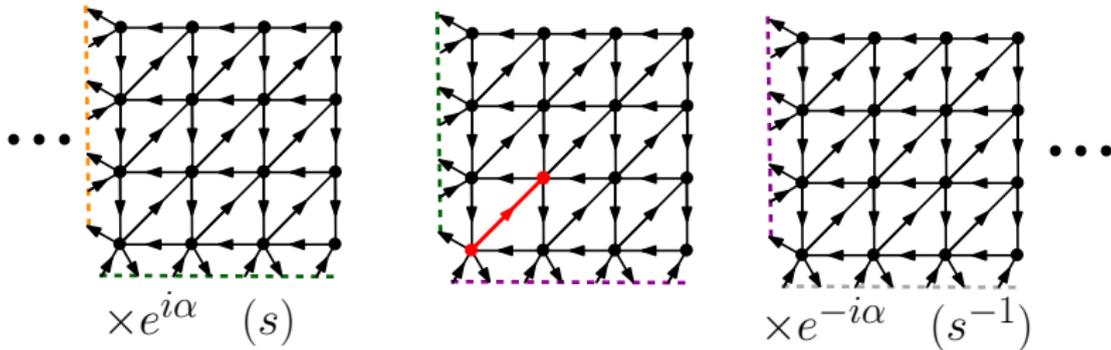


**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

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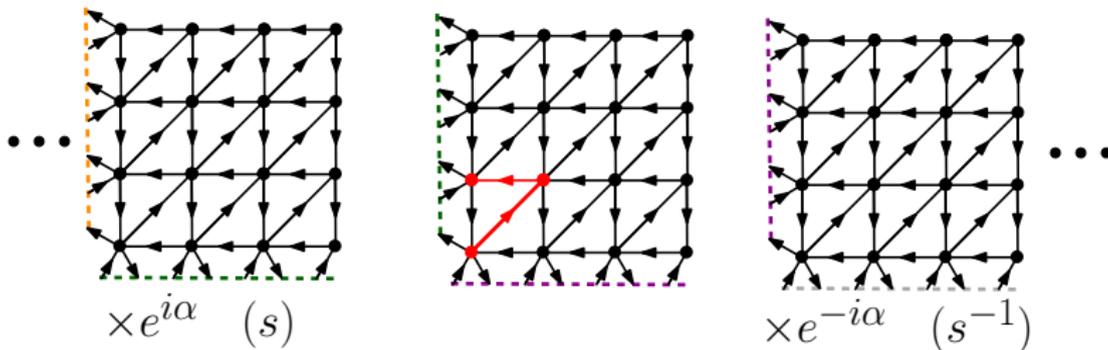
This example contributes  $txy$ .

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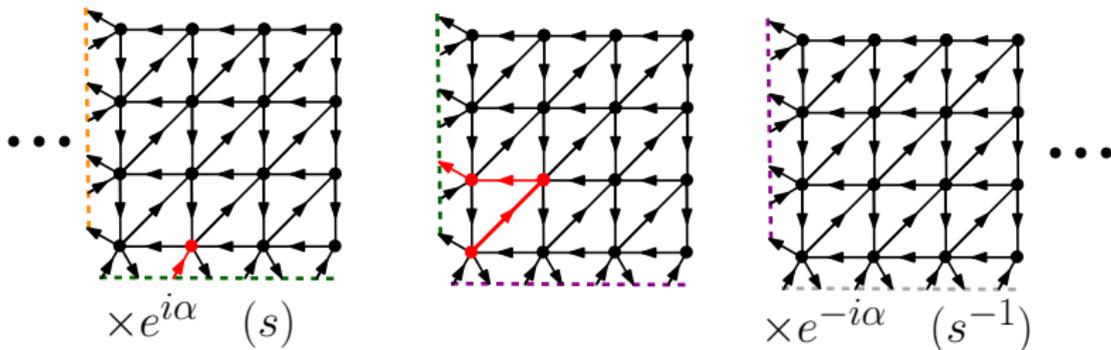
This example contributes  $t^2 y$ .

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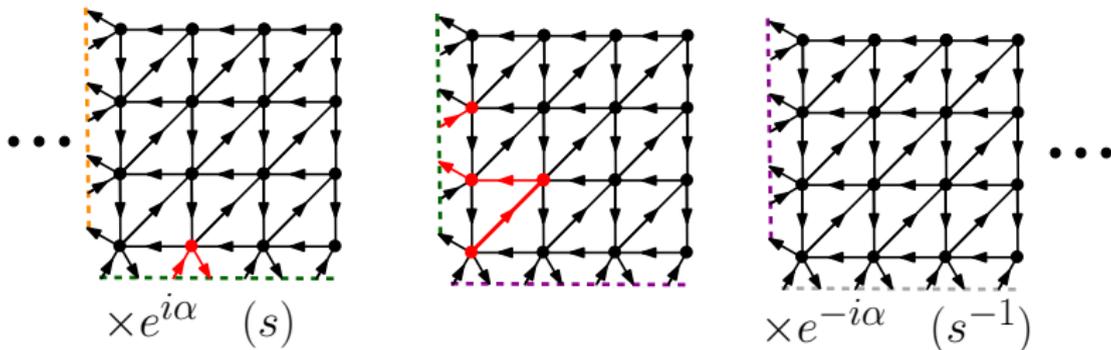
This example contributes  $t^3 x e^{i\alpha}$ .

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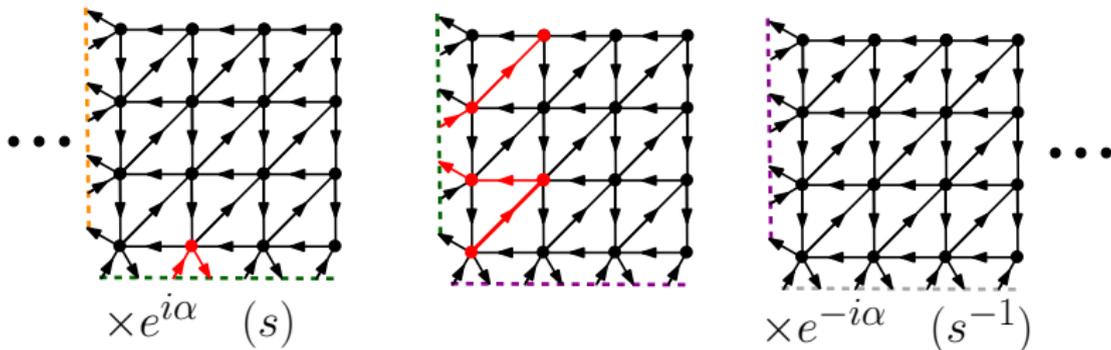
This example contributes  $t^4 y^2$ .

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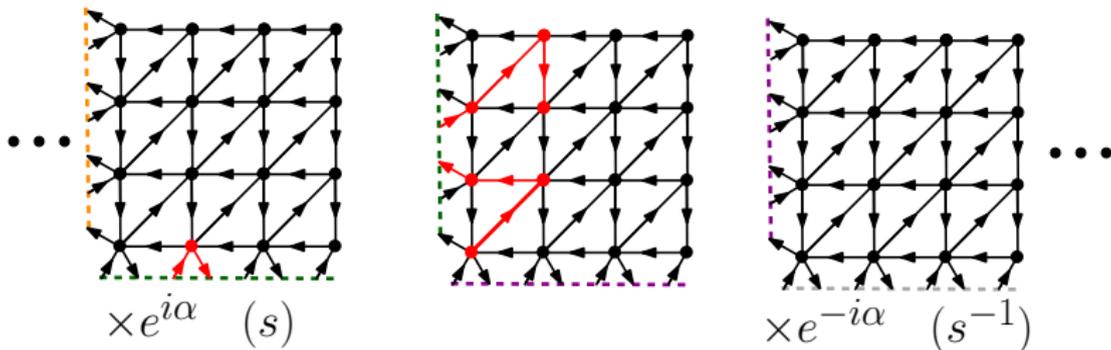
This example contributes  $t^5 xy^3$ .

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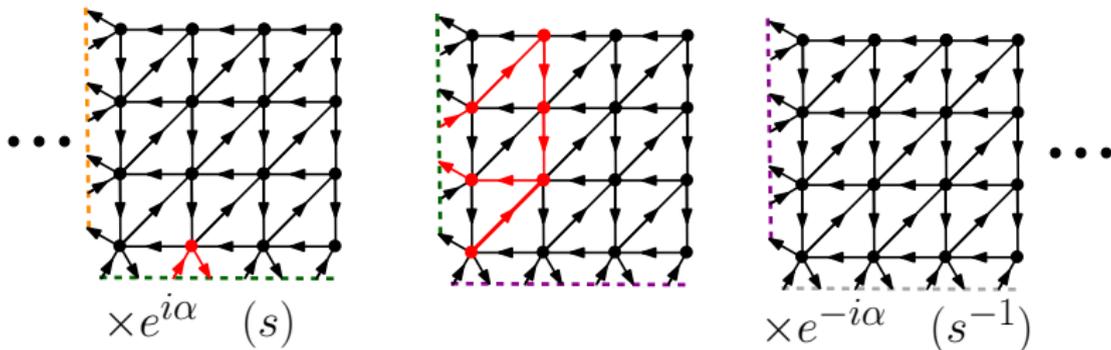
This example contributes  $t^6 xy^2$ .

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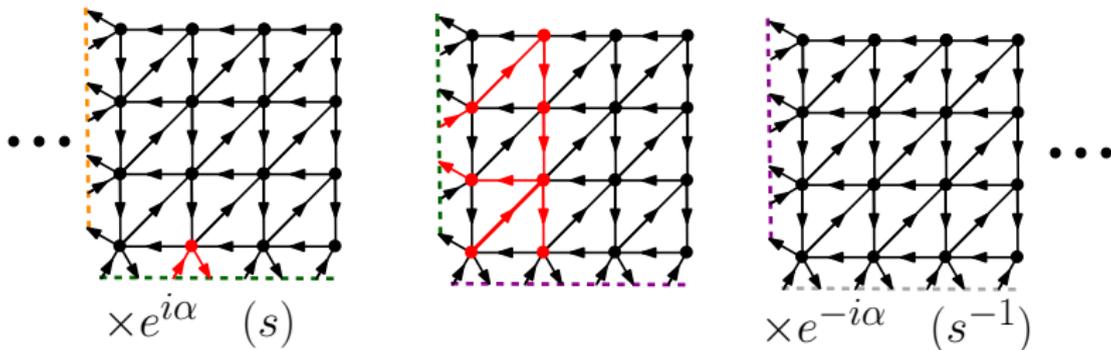
This example contributes  $t^7 xy$ .

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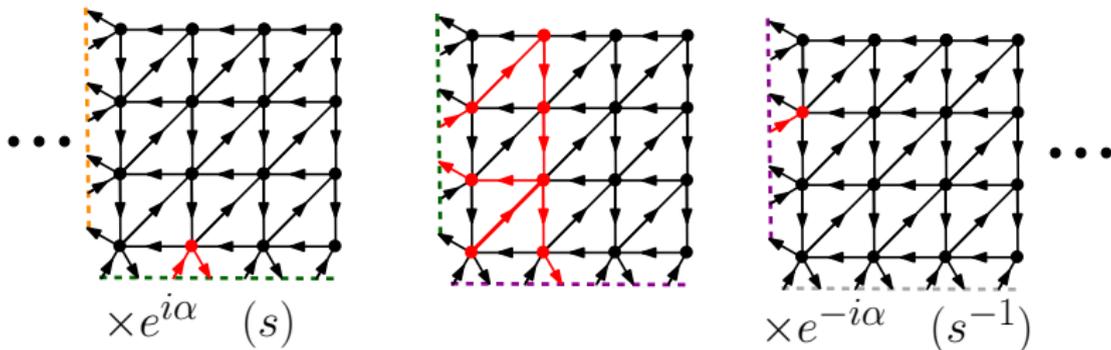
This example contributes  $t^8 x$ .

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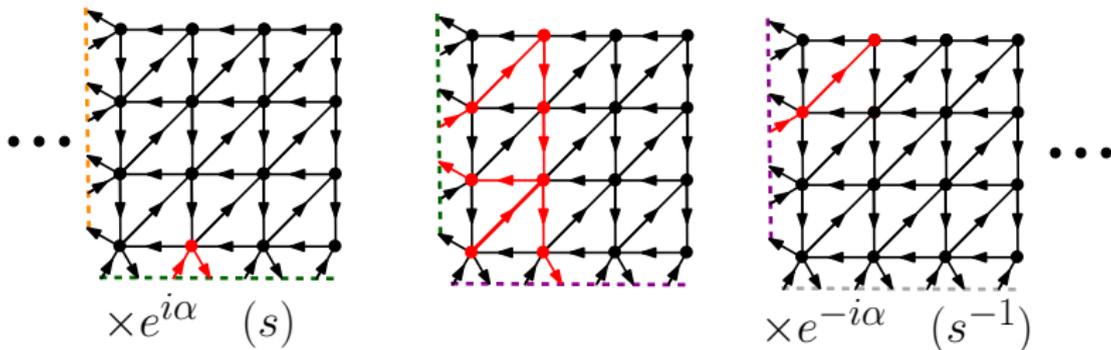
This example contributes  $t^9 y^2 e^{-i\alpha}$ .

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This example contributes  $t^{10}xy^3e^{-i\alpha}$ .

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# FUNCTIONAL EQUATION

**Recursion** → **functional equation**: separate by *type* of final step.

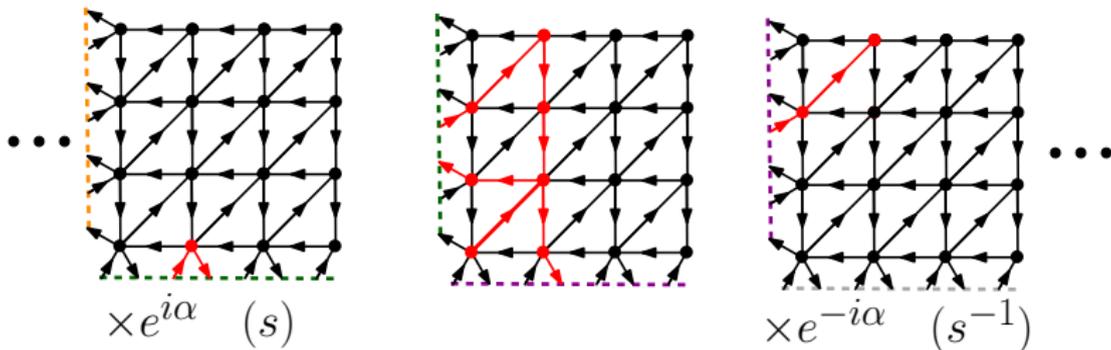
$$\begin{aligned}
 Q(x, y) = & 1 \\
 & + \int_0^x y t Q(x, y) \, dt \\
 & + \int_0^y t (Q(x, y) - Q(0, y)) \, dt \\
 & + \int_0^x t (Q(x, y) - Q(x, 0)) \, dt \\
 & + e^{i\alpha t} Q(0, x) \\
 & + e^{-i\alpha t y} Q(y, 0)
 \end{aligned}$$

(Final step goes through left wall)

(Final step goes through bottom wall)

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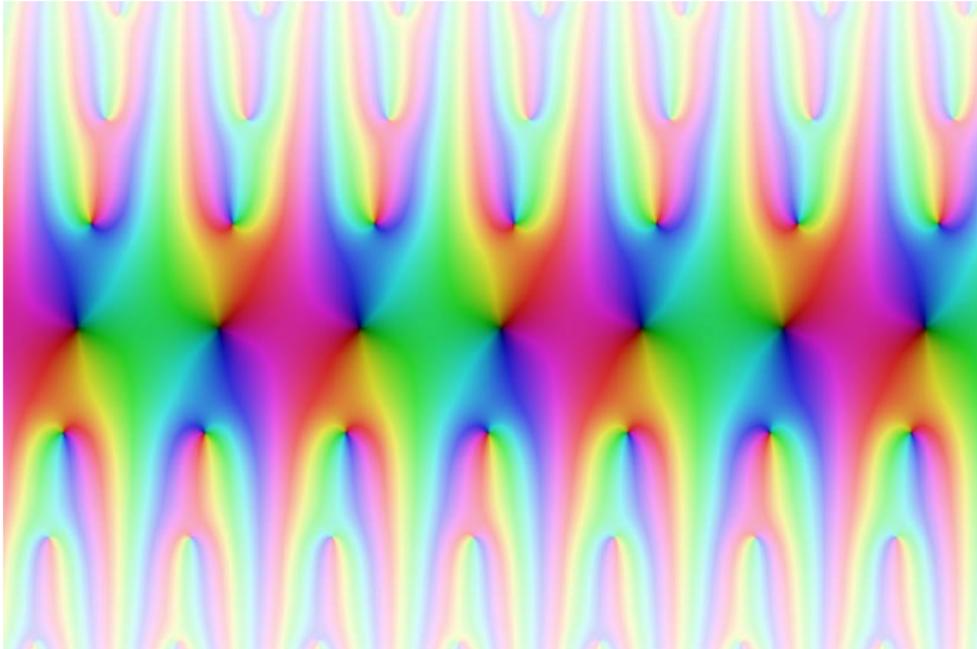


**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ .

**Characterised by:**

$$Q(x, y) = 1 + txyQ(x, y) + t \frac{Q(x, y) - Q(0, y)}{x} + t \frac{Q(x, y) - Q(x, 0)}{y} + e^{i\alpha} tQ(0, x) + e^{-i\alpha} tyQ(y, 0).$$

## Part 2: Solution (using theta functions)



# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:**

$$Q(x, y) = 1 + txyQ(x, y) + t \frac{Q(x, y) - Q(0, y)}{x} + t \frac{Q(x, y) - Q(x, 0)}{y} \\ + e^{i\alpha} tQ(0, x) + e^{-i\alpha} tyQ(y, 0).$$

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**Solution:**

**Step 1:** Fix  $t \in [0, 1/3)$ ,  $\alpha \in \mathbb{R}$ . All series converge for  $|x|, |y| < 1$ .

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**Step 2:** Write equation as  $K(x, y)Q(x, y) = R(x, y)$ , where

$$K(x, y) = 1 - txy - t/y - t/x$$

$$R(x, y) = 1 - \frac{t}{x}Q(0, y) - \frac{t}{y}Q(x, 0) + e^{i\alpha} t Q(0, x) + e^{-i\alpha} tyQ(y, 0).$$

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Parameterisation involves the Jacobi theta function  $\vartheta(z, \tau)$ .

**So far:** Similar to [Kurkova, Raschel 12] and [Bernardi, Bousquet-Mélou, Raschel 17] for quadrant models (using  $\wp$ ).

# JACOBI THETA FUNCTION $\vartheta(z, \tau)$

**Definition:** For  $\tau, z \in \mathbb{C}$ ,  $\text{im}(\tau) > 0$ ,

$$\vartheta(z, \tau) = \sum_{n=-\infty}^{\infty} (-1)^n e^{\left(\frac{2n+1}{2}\right)^2 i\pi\tau + (2n+1)iz}$$

**Useful facts (for fixed  $\tau$ ):**

- $\vartheta(z + \pi, \tau) = -\vartheta(z, \tau)$
- $\vartheta(z + \pi\tau, \tau) = -e^{-2iz - i\pi\tau} \vartheta(z, \tau)$

# PARAMETERISATION OF $K(x, y) = 0$ USING $\vartheta(z, \tau)$

**Definition:** For  $\tau, z \in \mathbb{C}$ ,  $\text{im}(\tau) > 0$ ,

$$\vartheta(z, \tau) = \sum_{n=-\infty}^{\infty} (-1)^n e^{\left(\frac{2n+1}{2}\right)^2 i\pi\tau + (2n+1)iz}$$

**Useful facts (for fixed  $\tau$ ):**

- $\vartheta(z + \pi, \tau) = -\vartheta(z, \tau)$
- $\vartheta(z + \pi\tau, \tau) = -e^{-2iz - i\pi\tau} \vartheta(z, \tau)$

**Parameterisation:** The curve

$$K(x, y) := 1 - txy - t/y - t/x = 0$$

is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)} \quad \text{and} \quad Y(z) = X(z + \pi\tau),$$

where  $\tau$  is determined by  $t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0, 3\tau)}{4i\vartheta(\pi\tau, 3\tau) + 6\vartheta'(\pi\tau, 3\tau)}$ .

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:**

$$K(x, y)Q(x, y) = R(x, y),$$

where

$$K(x, y) = 1 - txy - t/y - t/x,$$

$$R(x, y) = 1 - \frac{t}{x}Q(0, y) - \frac{t}{y}Q(x, 0) + e^{i\alpha}tQ(0, x) + e^{-i\alpha}tyQ(y, 0).$$

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Define

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z, 3\tau)\vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau)\vartheta(z - 2\pi\tau, 3\tau)}.$$

Then  $K(X(z), X(z + \pi\tau)) = 0$ .

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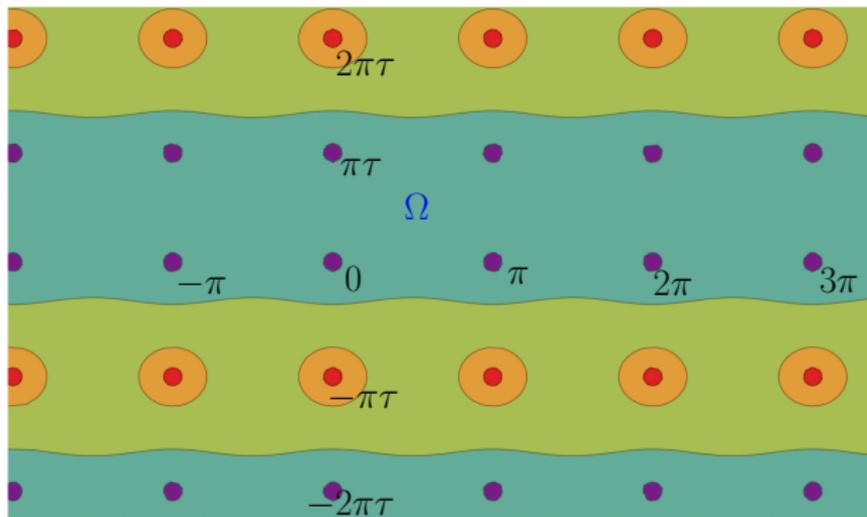
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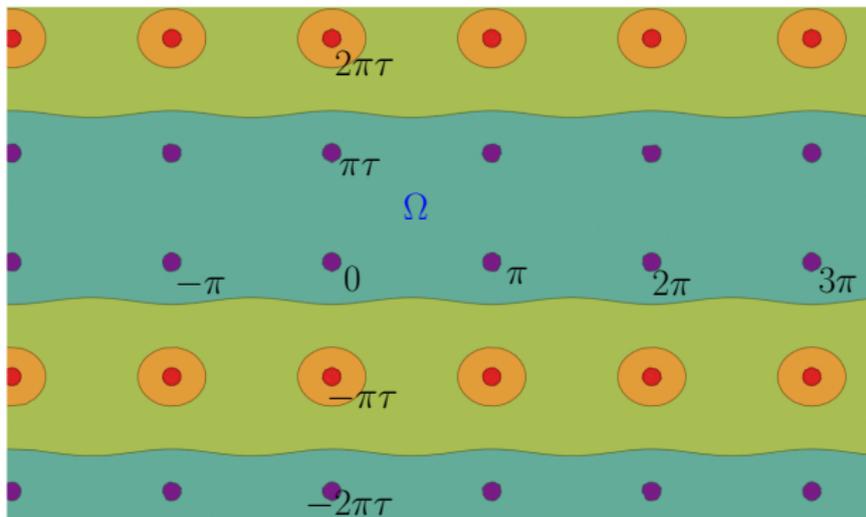
**Plot of**  $\left\{ z : |X(z)| \in \left[ 0, \frac{1}{3} \right), \left( \frac{1}{3}, 1 \right), (1, 3), (3, 9), (9, \infty] \right\}$ .



For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and  $Q(0, X(z))$  are well defined.

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

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For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and  $Q(0, X(z))$  are well defined.  
Near  $\text{Re}(z) = 0$ , we have  $z \in \Omega$  and  $z + \pi\tau \in \Omega$ .

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:** (near  $\operatorname{Re}(z) = 0$ )

$$R(X(z), X(z + \pi\tau)) = 0$$

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)}.$$

$$R(x, y) = 1 - \frac{t}{x} Q(0, y) - \frac{t}{y} Q(x, 0) + e^{i\alpha} t Q(0, x) + e^{-i\alpha} t y Q(y, 0).$$

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**Equation to solve:** (near  $\operatorname{Re}(z) = 0$ )

$$1 = \frac{t}{X(z)} Q(0, X(z + \pi\tau)) + \frac{t}{X(z + \pi\tau)} Q(X(z), 0) \\ - e^{i\alpha} t Q(0, X(z)) - e^{-i\alpha} t X(z + \pi\tau) Q(X(z + \pi\tau), 0),$$

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Both  $L(z)$  and  $L(z + \pi\tau)$  converge.

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We can solve this exactly:

$$L(z) = \frac{e^{3i\alpha}}{1 - e^{3i\alpha}} \left( 1 - \frac{e^{i\alpha}}{X(z)} - e^{2i\alpha}X(z - \pi\tau) \right) \\ - \frac{e^{i\alpha + \frac{2i\pi\tau}{3}}\vartheta(\pi\tau, 3\tau)\vartheta'(0, \tau)}{(1 - e^{3i\alpha})\vartheta(\frac{\alpha}{2} + \frac{\pi\tau}{3}, \tau)\vartheta'(0, 3\tau)} \frac{\vartheta(z + \pi\tau, 3\tau)\vartheta(z - \frac{\alpha}{2} - \frac{\pi\tau}{3}, \tau)}{\vartheta(z, \tau)\vartheta(z, 3\tau)}$$

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We can extract  $E(t, e^{i\alpha}) = Q(0, 0)...$

# KREWERAS WALKS BY WINDING NUMBER: SOLUTION

**Recall:**  $\tau$  is determined by

$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0, 3\tau)}{4i\vartheta(\pi\tau, 3\tau) + 6\vartheta'(\pi\tau, 3\tau)}.$$

The gf  $E(t, e^{i\alpha}) = Q(0, 0) \equiv Q(t, \alpha, 0, 0)$  is given by:

$$E(t, e^{i\alpha}) = \frac{e^{i\alpha}}{t(1 - e^{3i\alpha})} \left( e^{i\alpha} - e^{\frac{4\pi\tau i}{3}} \frac{\vartheta'(2\pi\tau, 3\tau)}{\vartheta'(0, 3\tau)} - e^{\frac{\pi\tau i}{3}} \frac{\vartheta(\pi\tau, 3\tau)\vartheta'(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)}{\vartheta'(0, 3\tau)\vartheta(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)} \right).$$

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**Equivalently:**

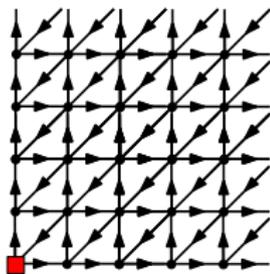
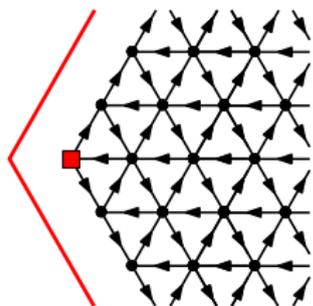
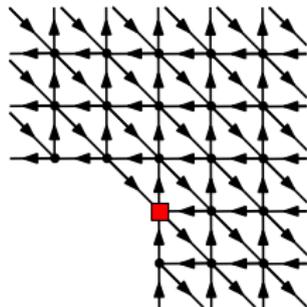
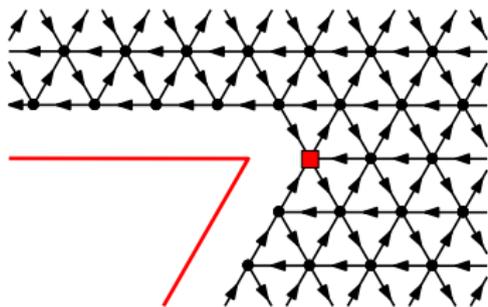
Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1 - s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3)T_1(sq^{-2/3}, q)}{T_1(1, q^3)T_0(sq^{-2/3}, q)} \right).$$

## Part 3: Walks in cones



# WALKS IN CONES WITH SMALL STEPS

- **Quarter plane walks:** Completely classified into rational, algebraic, D-finite, D-algebraic cases.

[Mishna, Rechnitzer 09], [Bousquet-Mélou, Mishna 10], [Bostan, Kauers 10], [Fayolle, Raschel 10], [Kurkova, Raschel 12], [Melczer, Mishna 13], [Bostan, Raschel, Salvy 14], [Bernardi, Bousquet-Mélou, Raschel 17], [Dreyfus, Hardouin, Roques, Singer 18]

- **Walks avoiding a quadrant:**

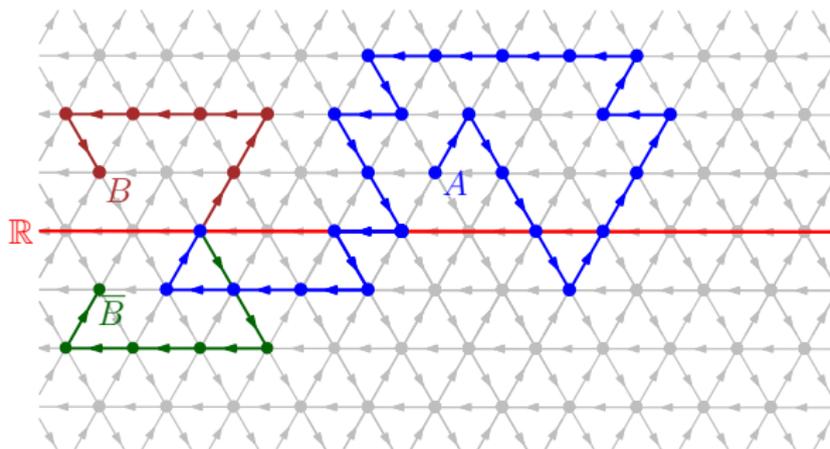
(Previously) solved in 5-10 of the 74 non-trivial cases

[Bousquet-Mélou 16], [Raschel-Trotignon 19], [Budd 20], [Bousquet-Mélou, Wallner 20+]

- **Walks on the slit plane  $\mathbb{C} \setminus \mathbb{R}_{<0}$ :** solved exactly for simple walks [Bousquet-Mélou, Schaeffer, 02], but few other results.

**New in this work:** walks avoiding a quadrant with step set  and walks in the slit plane with step set ,  or .

# COUNTING KREWERAS WALKS IN A CONE

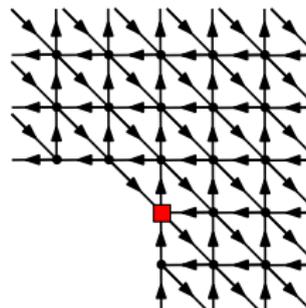
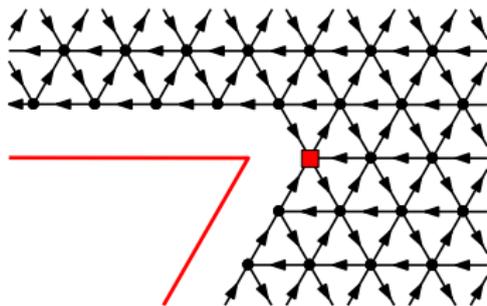


**In the upper half plane:** Use reflection principle

$$\begin{aligned} & \#(\text{Walks from } A \text{ to } B \text{ above } \mathbb{R}) \\ &= \#(\text{Walks from } A \text{ to } B) - \#(\text{Walks from } A \text{ to } B \text{ through } \mathbb{R}) \\ &= \#(\text{Walks from } A \text{ to } B) - \#(\text{Walks from } A \text{ to } \bar{B}) \end{aligned}$$

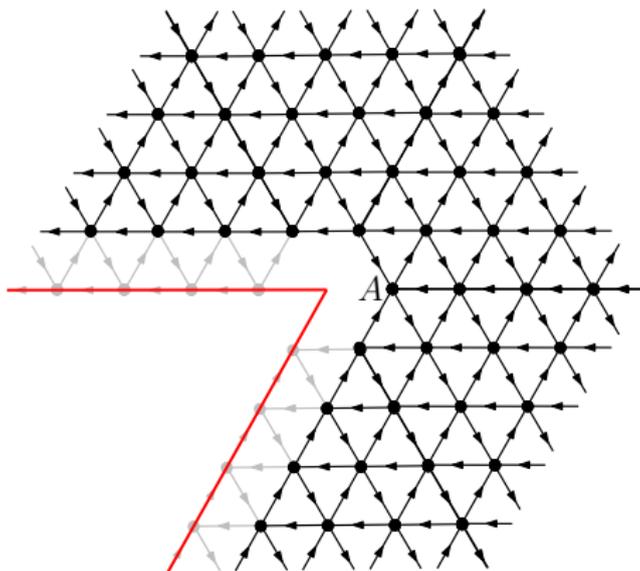
# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

**New model:** -excursions avoiding a quadrant.



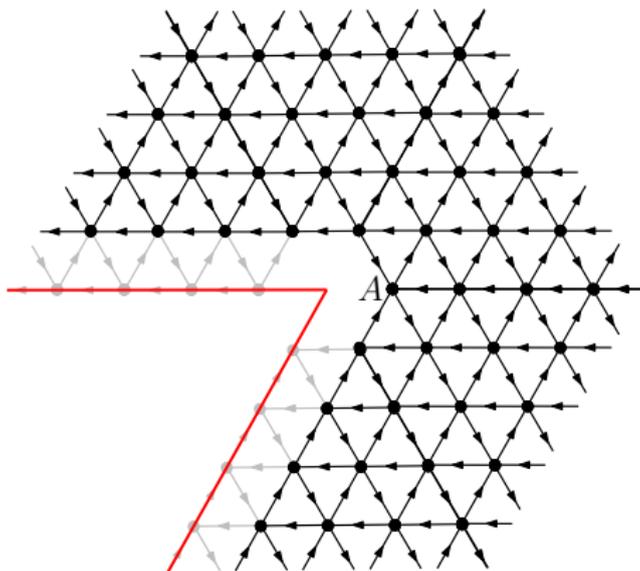
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**First step:** Transform to half plane



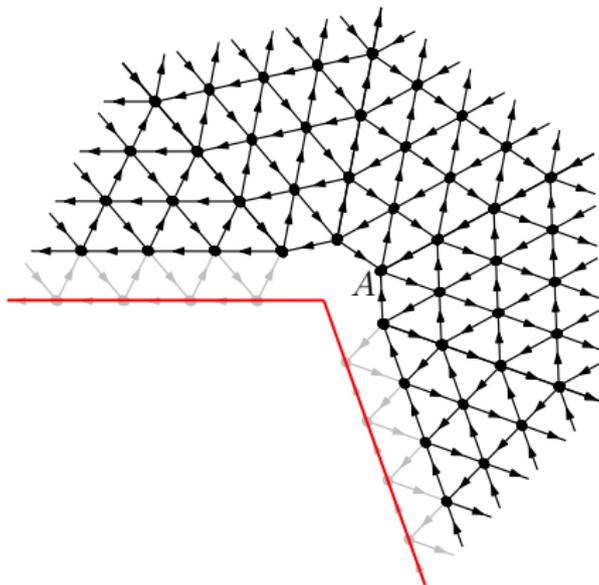
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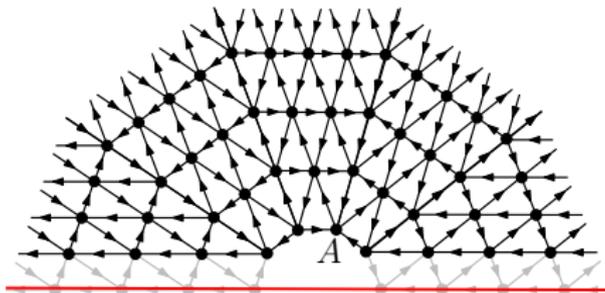
# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

**New model:** -excursions avoiding a quadrant.  
**First step:** Transform to half plane



# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

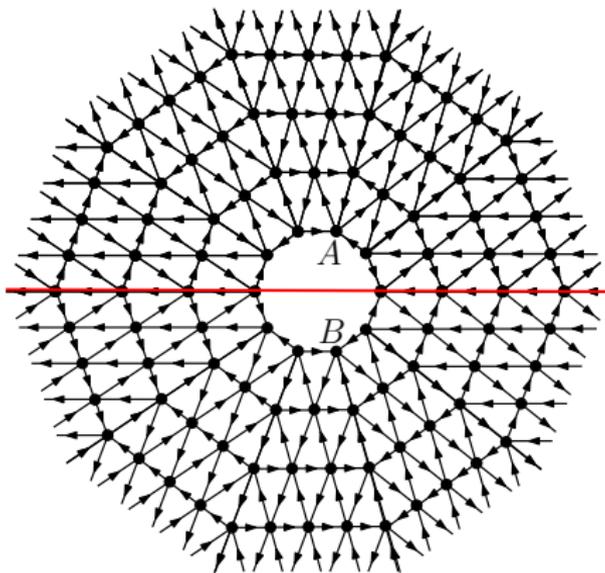
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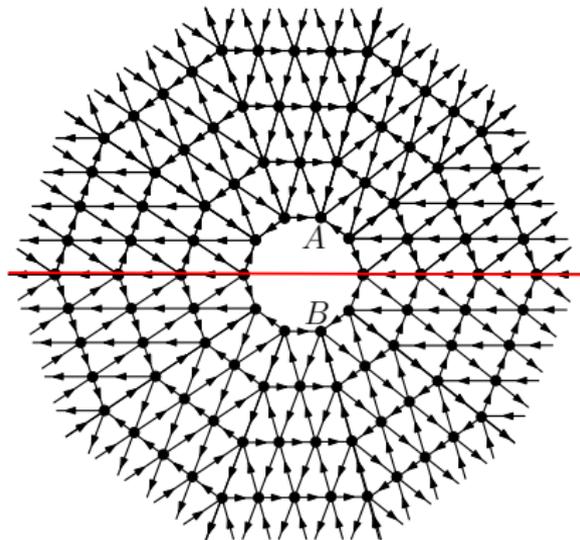
# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

**New model:** -excursions avoiding a quadrant.

**First step:** Transform to half plane  $\rightarrow$  whole (punctured) plane



# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

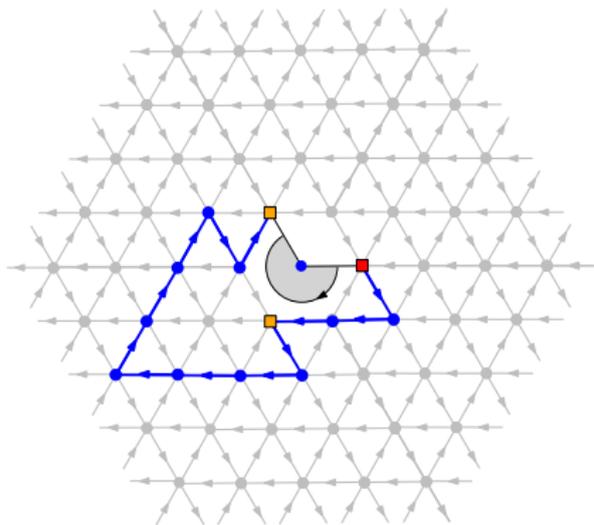
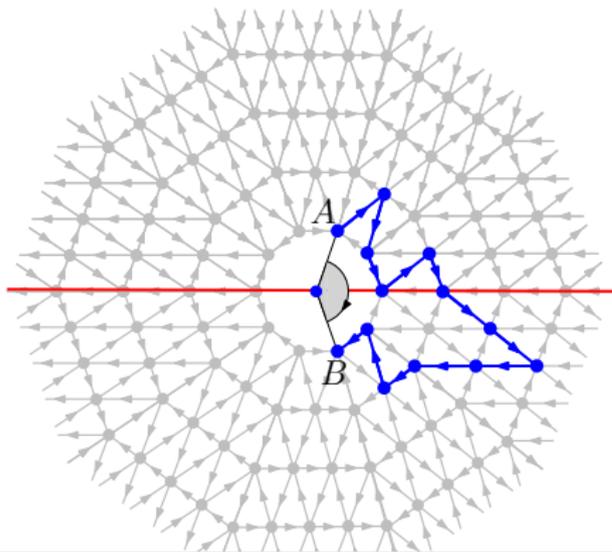


$$\begin{aligned} & \#(\text{Kreweras excursions in } 5/6\text{-plane}) \\ &= \#(\text{Walks } A \rightarrow A \text{ in upper half plane}) \\ &= \#(\text{Walks } A \rightarrow A) - \#(\text{Walks } A \rightarrow B) \end{aligned}$$

# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

Walks  $A \rightarrow B$  with winding angle  $\beta$

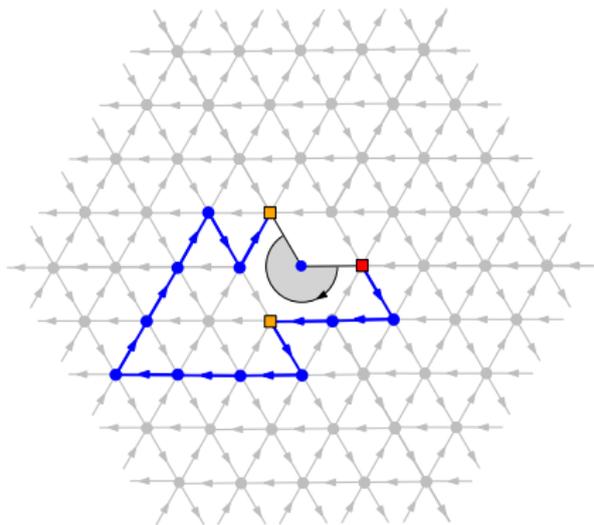
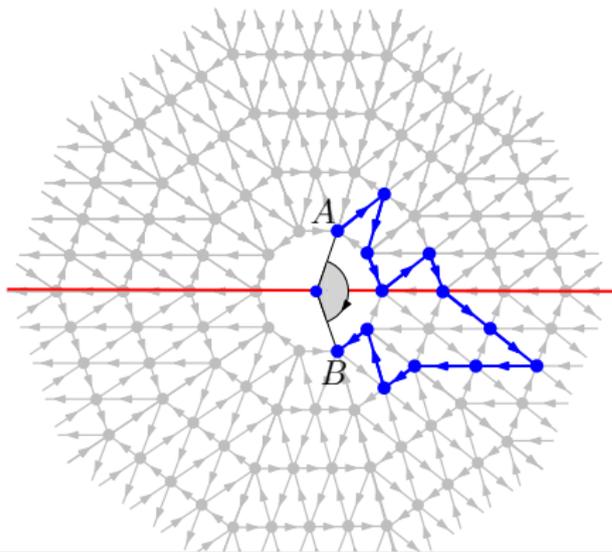
$\equiv$  Kreweras almost-excursions with winding angle  $\frac{5\beta}{3}$ .



# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

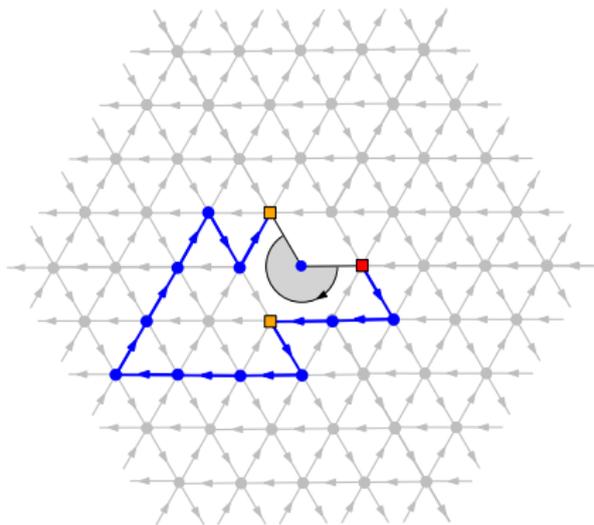
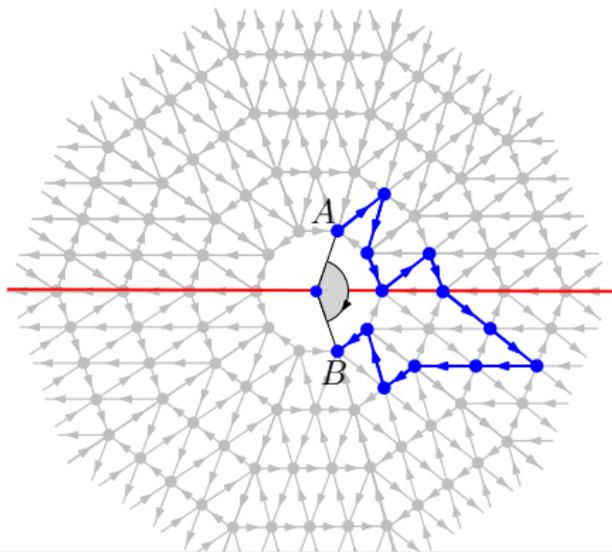
Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$

$\equiv$  Kreweras almost-excursions with winding angle  $\frac{5}{3} \left(2\pi k - \frac{4\pi}{5}\right)$ .



# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$   
 $\equiv$  Kreweras almost-excursions with winding angle  $\frac{10\pi k}{3} - \frac{4\pi}{3}$ .

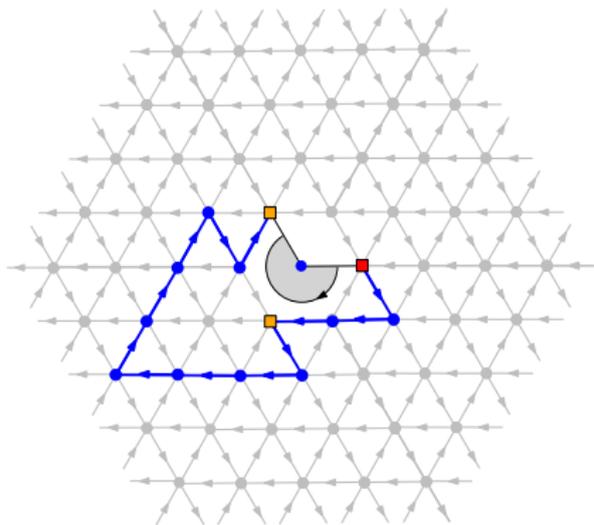
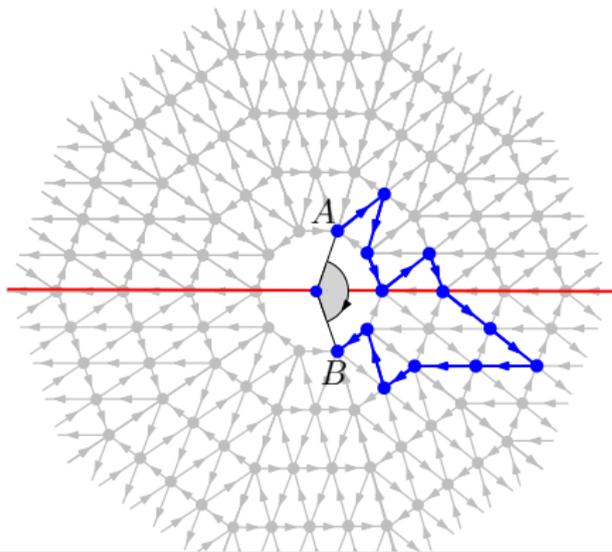


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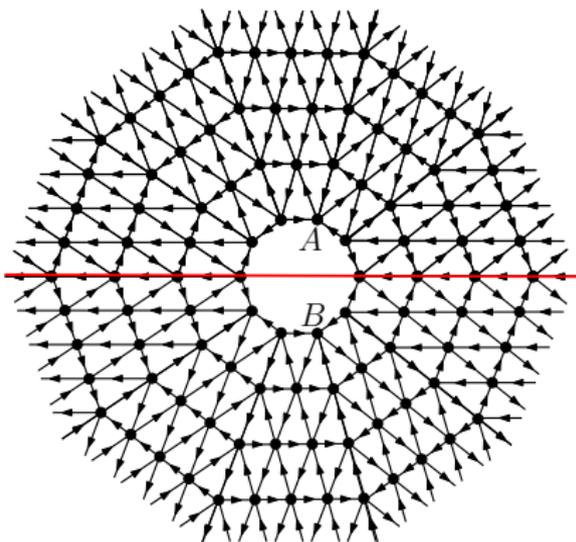
Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$

$\equiv$  Kreweras almost-excursions with winding angle  $\frac{10\pi k}{3} - \frac{4\pi}{3}$ .

**Counted by:**  $s^{5k-2}\tilde{E}(t,s)$

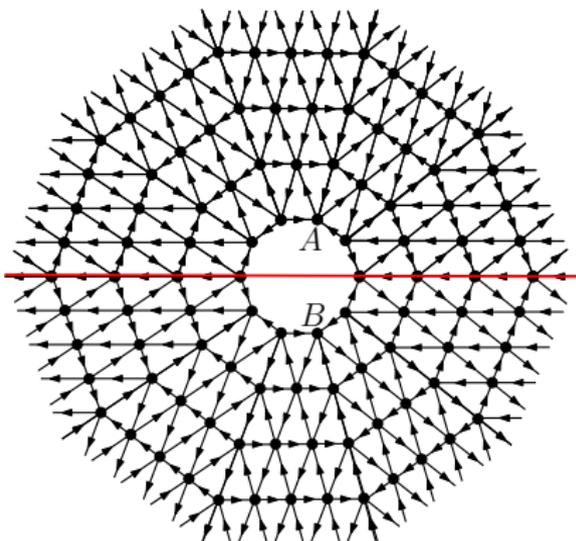


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 &= \left( \sum_{k \in \mathbb{Z}} [s^{5k}] \tilde{E}(t, s) \right) - \left( \sum_{k \in \mathbb{Z}} [s^{5k-3}] \tilde{E}(t, s) \right) \\
 &= \frac{1}{5} \sum_{j=1}^4 \left( 1 - e^{\frac{4\pi i j}{5}} \right) \tilde{E} \left( t, e^{\frac{2\pi i j}{5}} \right)
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**More generally:** The gf  $C_{k,r}(t)$  for excursions in the  $k/6$ -plane is

$$C_{k,r}(t) = \frac{1}{k} \sum_{j=1}^{k-1} \left( 1 - e^{\frac{2\pi i jr}{k}} \right) \tilde{E} \left( t, e^{\frac{2\pi i j}{k}} \right).$$

# Part 4: Analysis of solutions

## ANALYSIS OF SOLUTION: ALGEBRAICITY

**Recall:**  $\vartheta(z, \tau)$  is differentially algebraic  $\rightarrow$  so are  $\tilde{E}(t, s)$  and  $Q(t, \alpha, x, y)$ .

**For**  $\alpha \in \frac{\pi}{3} (\mathbb{Q} \setminus \mathbb{Z})$  **we get algebraicity** (Ideas from [Zagier, 08] and [E.P., Zinn-Justin, 20+]):

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Algebraic iff  $3 \nmid k$ . (always D-finite).

# ASYMPTOTICS OF $\tilde{E}(t, e^{i\alpha})$ AND $C_{k,r}(t)$

Fix  $\alpha \in (0, \pi) \setminus \{\frac{2\pi}{3}\}$ .

Writing  $\hat{\tau} = -\frac{1}{3\tau}$  and  $\hat{q} = e^{2\pi i\hat{\tau}}$ , the dominant singularity  $t = 1/3$  corresponds to  $\hat{q} = 0$ .

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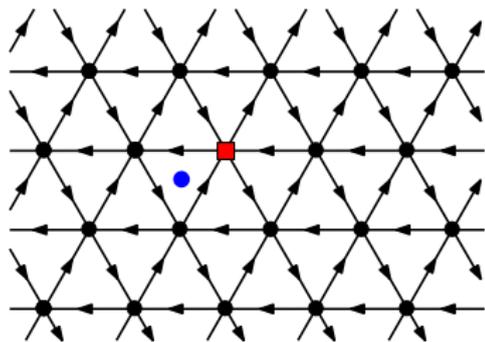
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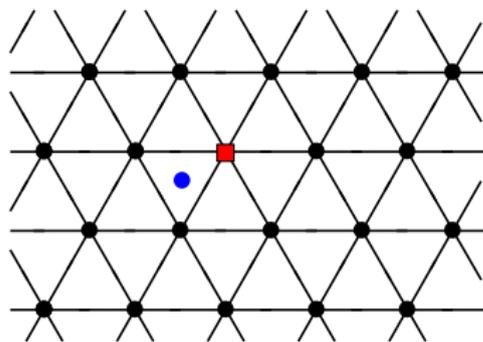
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**Alternatively:** Terms  $3^n$  and  $n^{-1-\frac{3}{k}}$  known [Denisov, Wachtel, 2015].

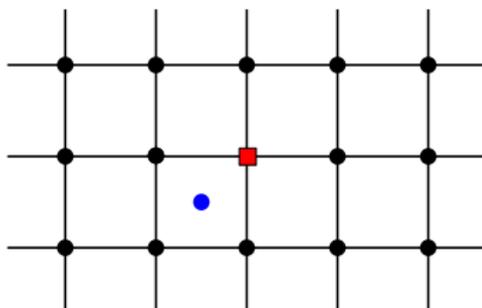
# Part 5: Other lattices



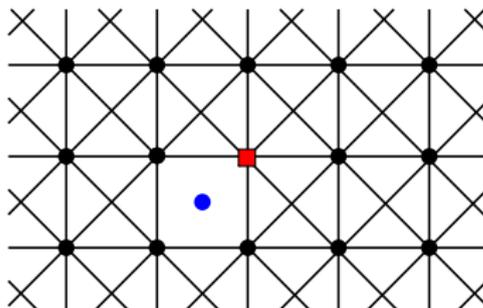
Kreweras lattice



Triangular Lattice



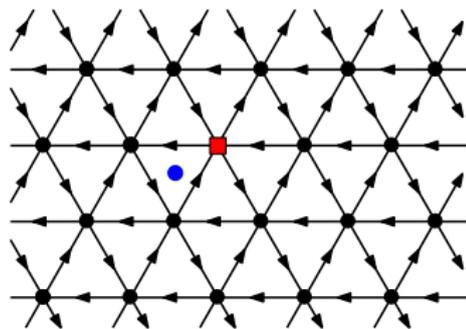
Square Lattice



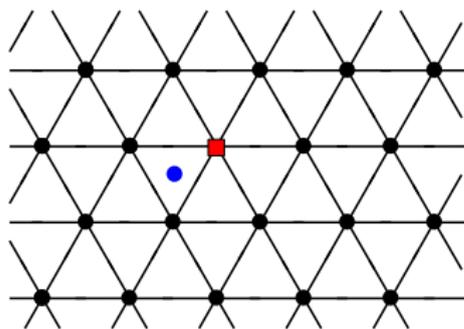
King Lattice

# CELL-CENTRED LATTICES

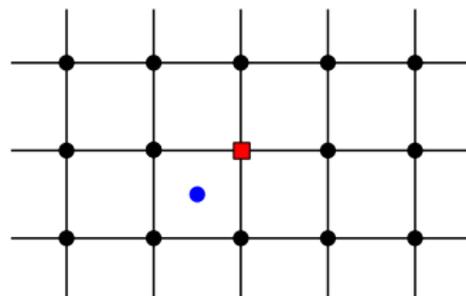
**Important property:** Decomposable into congruent sectors



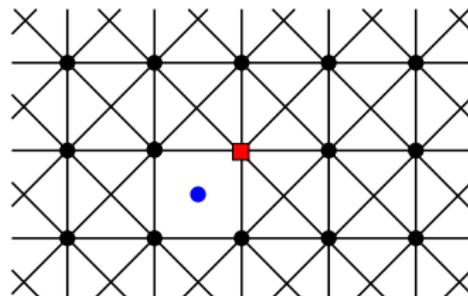
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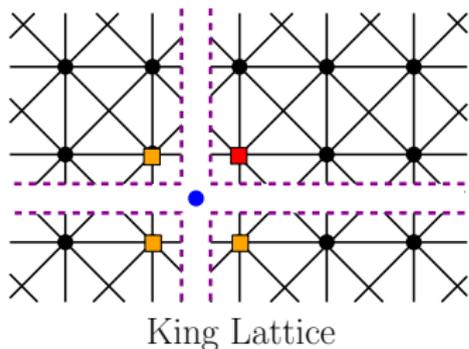
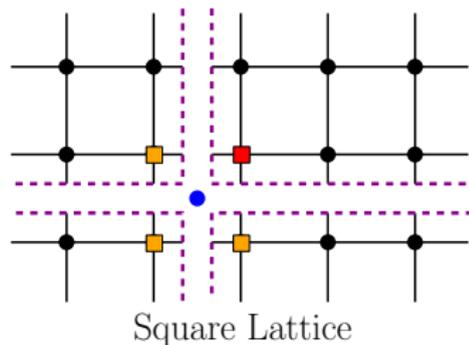
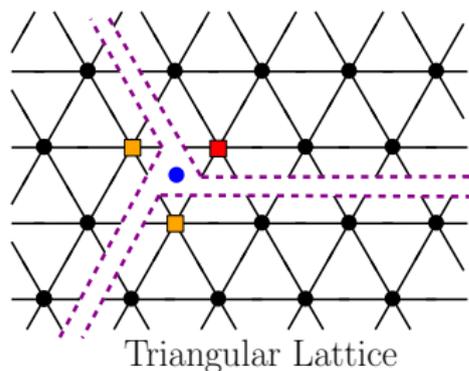
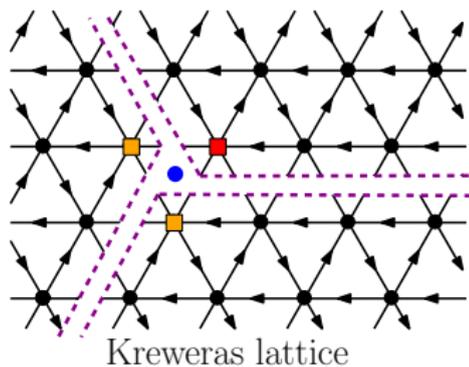
Square Lattice



King Lattice

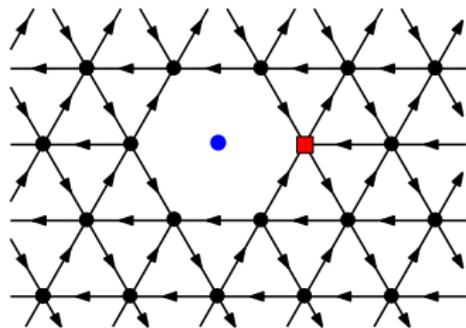
# CELL-CENTRED LATTICES

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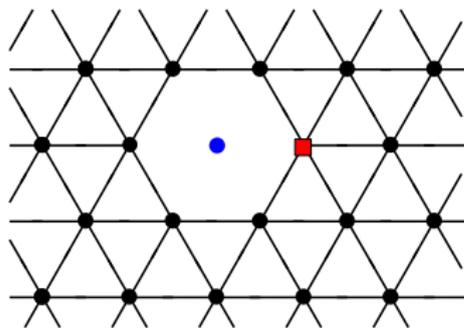


# VERTEX-CENTRED LATTICES

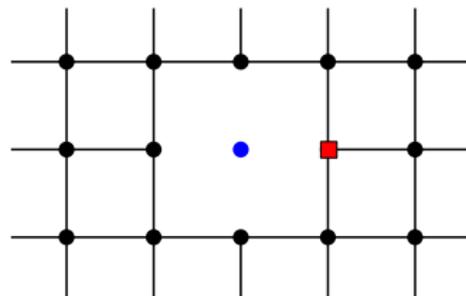
Decompose into rotationally congruent sectors



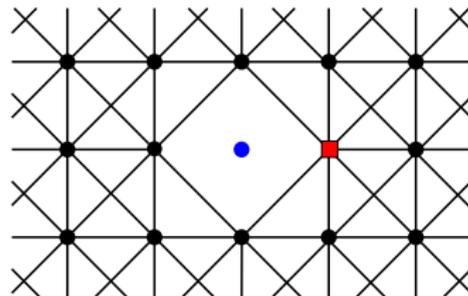
Kreweras lattice



Triangular Lattice



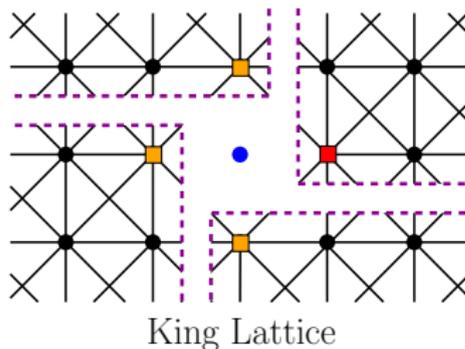
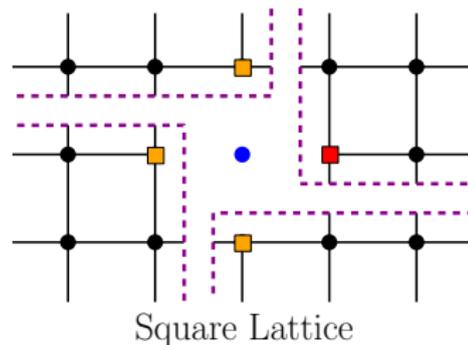
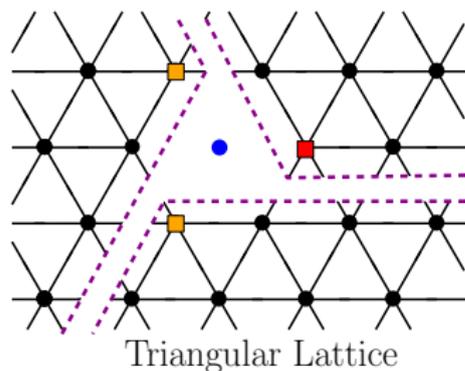
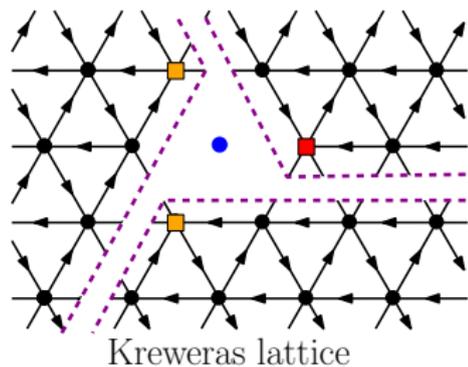
Square Lattice



King Lattice

# VERTEX-CENTRED LATTICES

Decompose into rotationally congruent sectors



# RECALL: KREWERAS ALMOST-EXCURSIONS

$$\begin{aligned}\text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q(u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).\end{aligned}$$

Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right).$$

The gf for **vertex-centred** Kreweras-lattice almost-excursions is:

$$\tilde{E}(t, s) = \frac{s(1-s)q^{-2/3}}{t(1-s^3)} \frac{T_0(q, q^3)^2}{T_1(1, q^3)^2} \left( \frac{T_1(q, q^3)^2}{T_0(q, q^3)^2} - \frac{T_2(q, q^3)}{T_0(q, q^3)} - \frac{T_2(s, q)}{2T_0(s, q)} + \frac{T_3(1, q)}{6T_1(1, q)} + \frac{T_3(1, q^3)}{3T_1(1, q^3)} \right).$$

# SQUARE LATTICE ALMOST-EXCURSIONS

$$\begin{aligned} \text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q(u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6). \end{aligned}$$

Let  $q(t) \equiv q = t + 4t^3 + 34t^5 + 360t^7 + \dots$  satisfy

$$t = \frac{qT_0(q^2, q^8)T_1(1, q^8)}{2T_0(q^4, q^8)(T_0(q^2, q^8) + 2T_1(q^2, q^8))}.$$

The gf for **cell-centred** Square-lattice almost-excursions is:

$$\frac{s^2}{(1-s^4)t} \left( s - s^{-1} + \frac{T_0(q^4, q^8)}{qT_1(1, q^8)} - \frac{T_0(q^4, q^8)T_1(s^{-1}q, q^2)}{qT_1(1, q^8)T_0(s^{-1}q, q^2)} \right).$$

The gf for **vertex-centred** Square-lattice almost-excursions is:

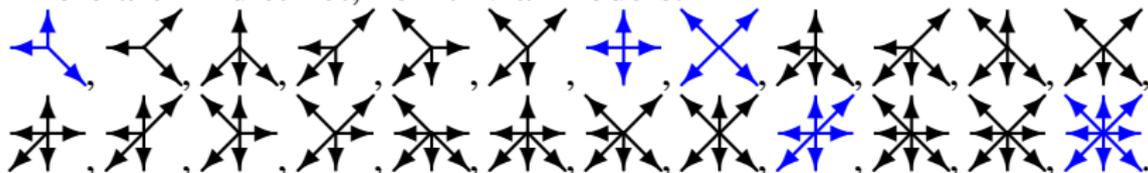
$$\frac{sT_0(q^4, q^8)}{qt(1+s^2)T_1(1, q^8)} \left( 1 + \frac{2T_1(q^2, q^8)}{T_0(q^2, q^8)} + \frac{(1-s)T_1(s^{-1}, q^2)}{(1+s)T_0(s^{-1}, q^2)} \right).$$

# Part 6: Final comments

## OPEN QUESTION(S)

Choose any small step model and count walks by winding angle!

There are 24 distinct, non-trivial models:



In each case: Remove  $(0, 0)$  and count excursions from some point  $A \rightarrow A$  by length  $(t)$  winding angle  $(s)$ .

- For which step sets (and which  $A$ ) is this D-algebraic?
- For which step sets, which  $A$  and which fixed  $s$  is this Algebraic? D-finite?

Blue models solved (for some  $A$ )

# FUNCTIONAL EQUATION THETA SOLUTION METHOD

**Project:** develop this method of solving functional equations.

**Problems solved so far:**

- Some walks by winding angle (this work)
- D-algebraic quadrant models [Bernardi, Bousquet-Mélou, Raschel 17]
- Six vertex model on planar maps [Kostov, 00], [E.P., Zinn-Justin, 20+], [Bousquet-Mélou, E.P., 20+].
- Properly  $q$ -coloured triangulations [E.P., 20+].

**To do:**

- Solve more problems.
- Streamline the method.
- Convert techniques to world of formal power series.
- find a good name for the method.

Thank you!

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

Write  $K(x, y) = A(x)y^2 + B(x)y + C(x)$ , then

$$Y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}$$

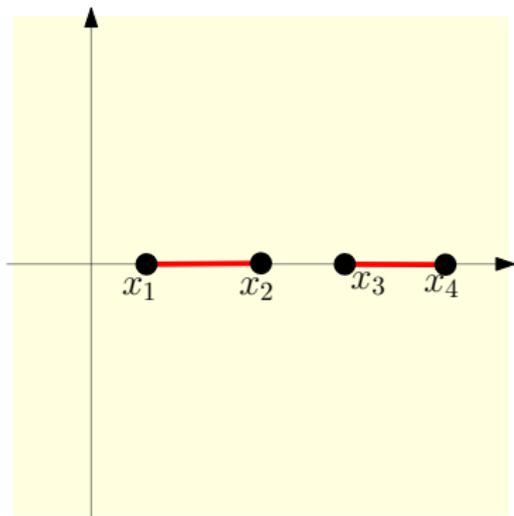
parameterizes  $K(x, Y(x)) = 0$ . Typically,  $Y_+(x)$  is meromorphic on:

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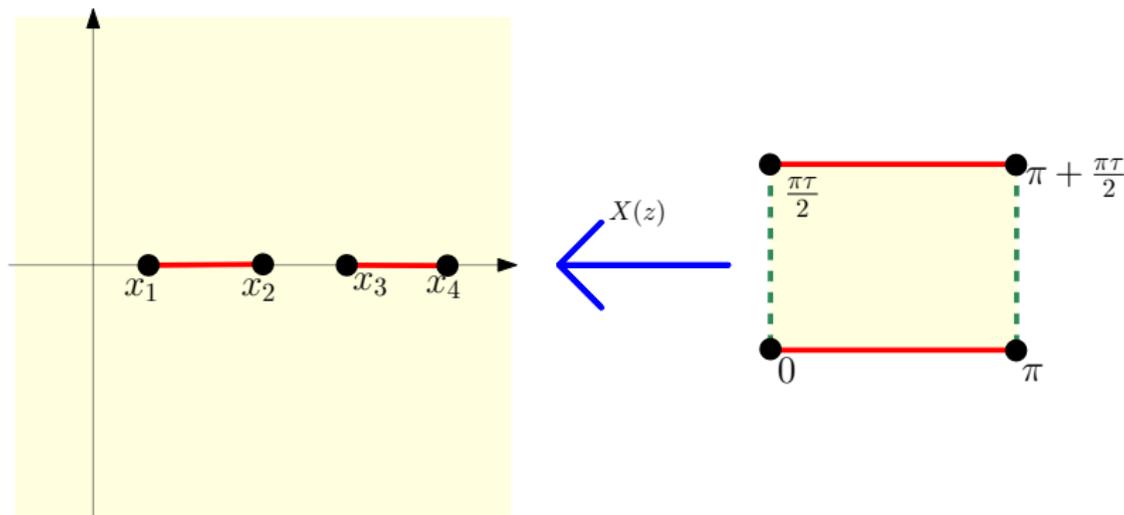


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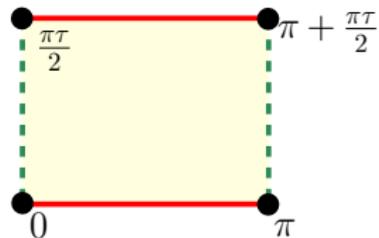
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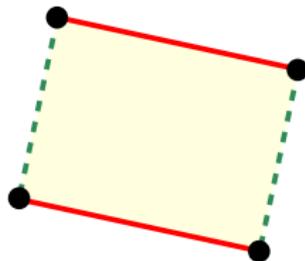
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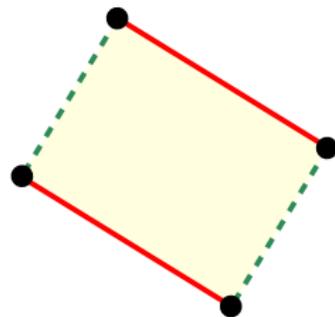
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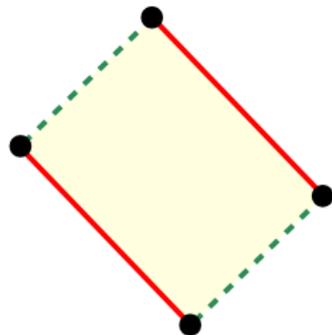
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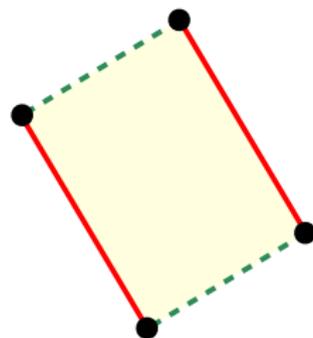
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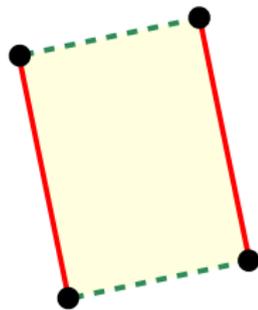
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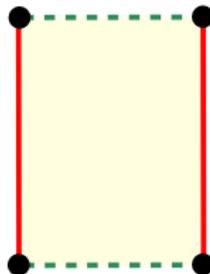
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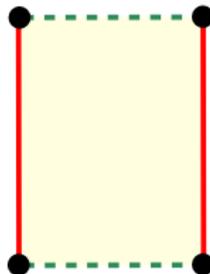
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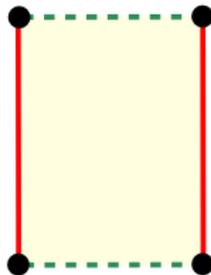
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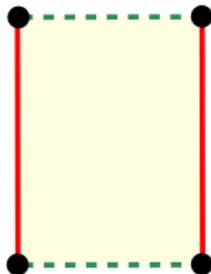
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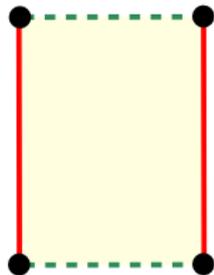
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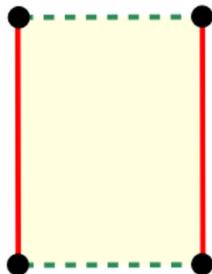
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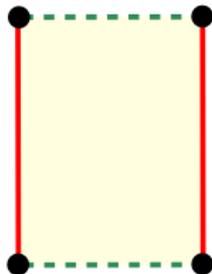
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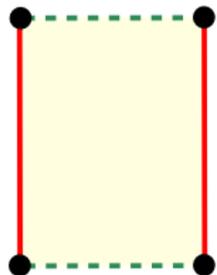
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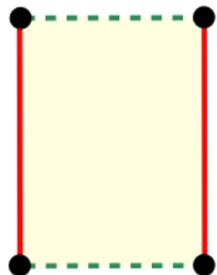
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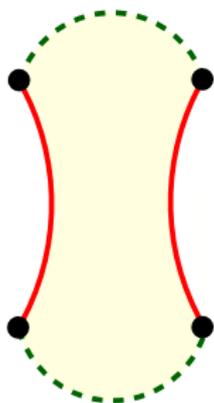
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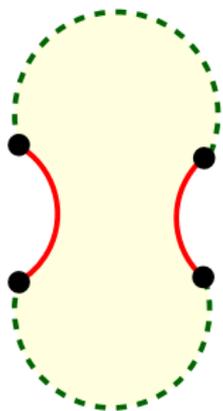
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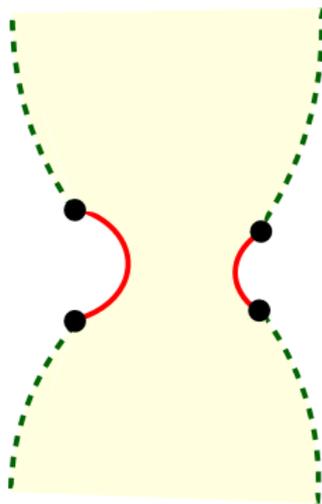
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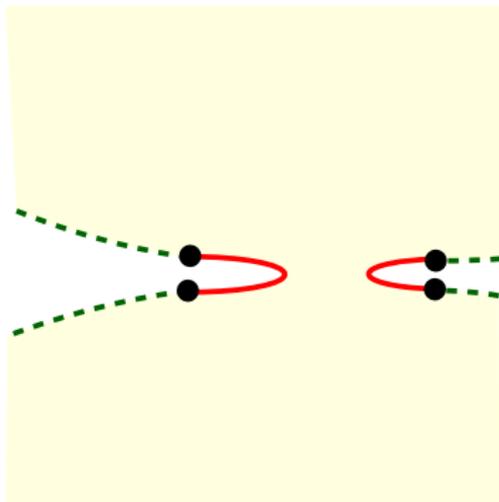
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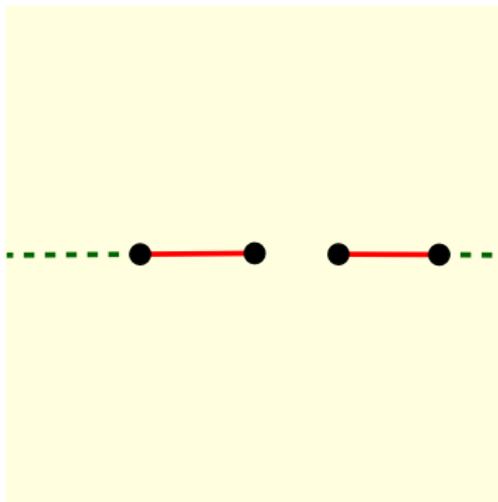
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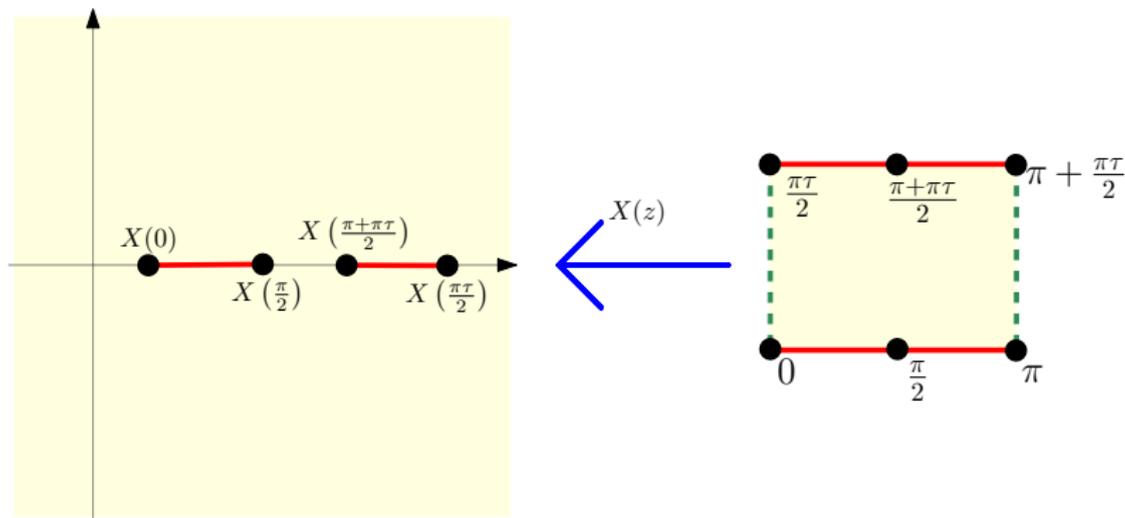
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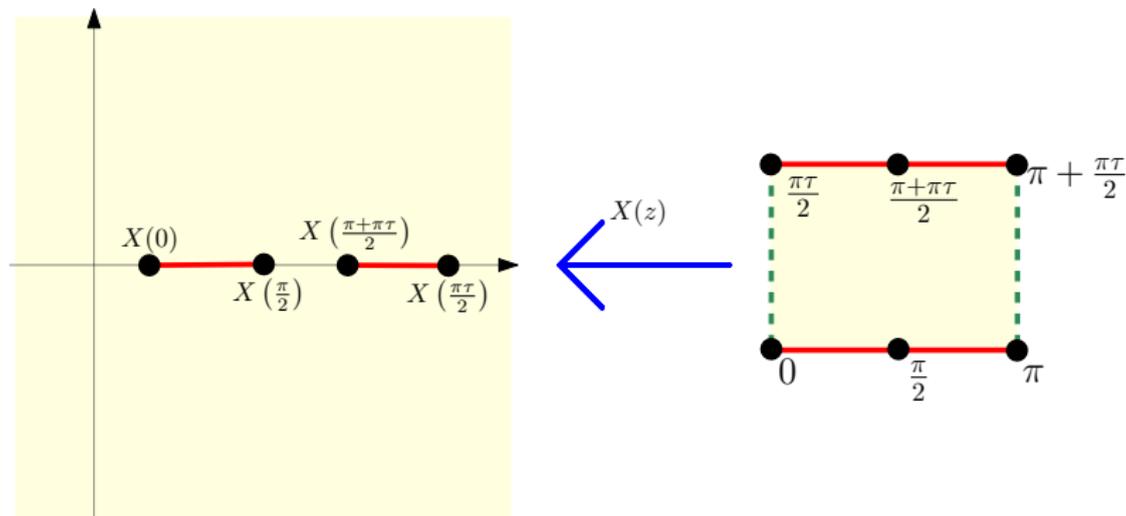
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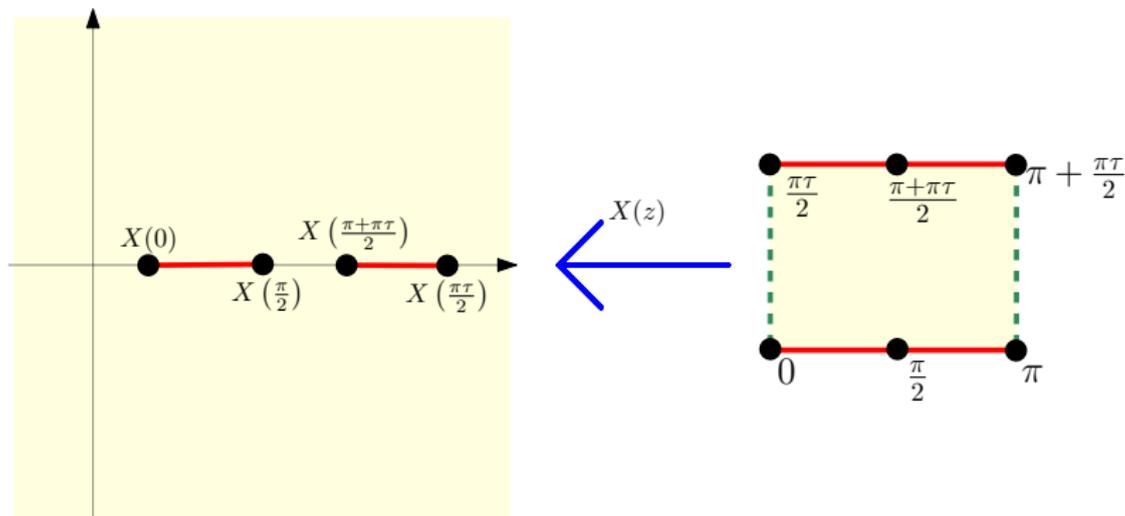
# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



By symmetry, for  $r \in \mathbb{R}$ :

- $X(r) = X(\pi - r) = X(-r)$
- $X(\frac{\pi\tau}{2} + r) = X(\frac{\pi\tau}{2} - r)$

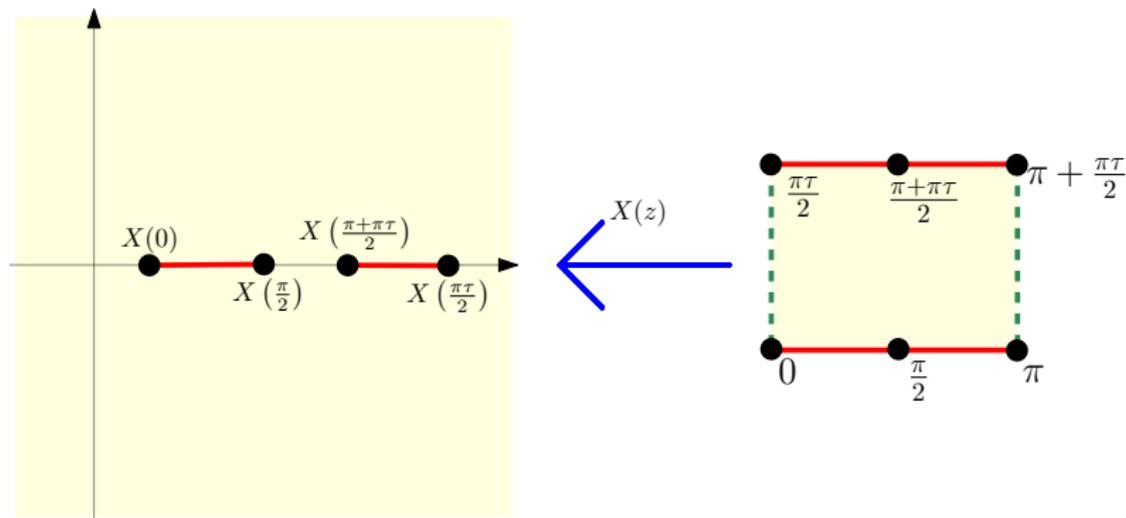
# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



For  $z \in \mathbb{C}$ :

- $X(z) = X(\pi - z) = X(-z)$
- $X(z) = X(\pi\tau - z)$

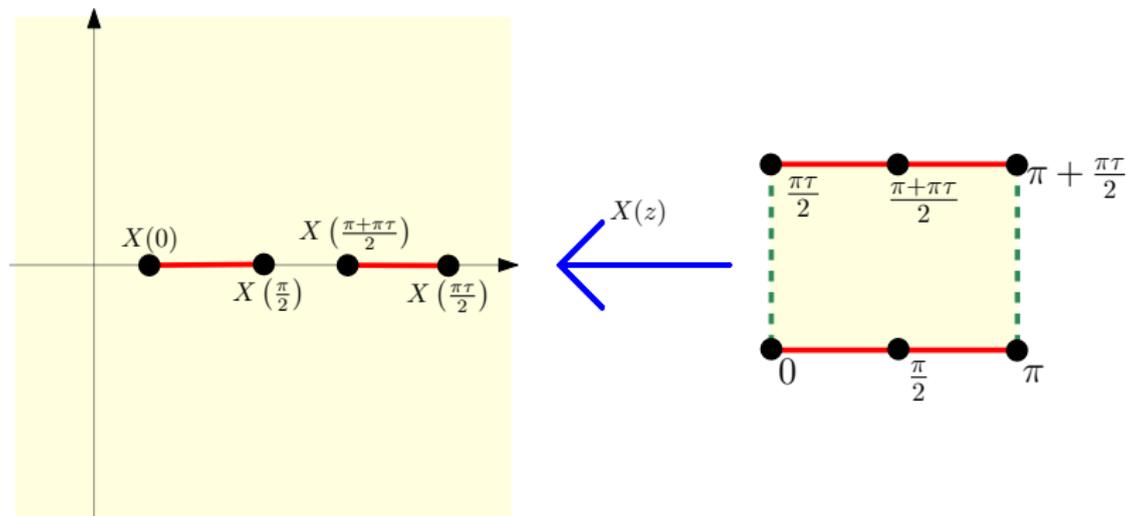
# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



For  $z \in \mathbb{C}$ :

- $X(z) = X(\pi - z) = X(-z) = X(\pi\tau + z)$
- $X(z) = X(\pi\tau - z)$

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

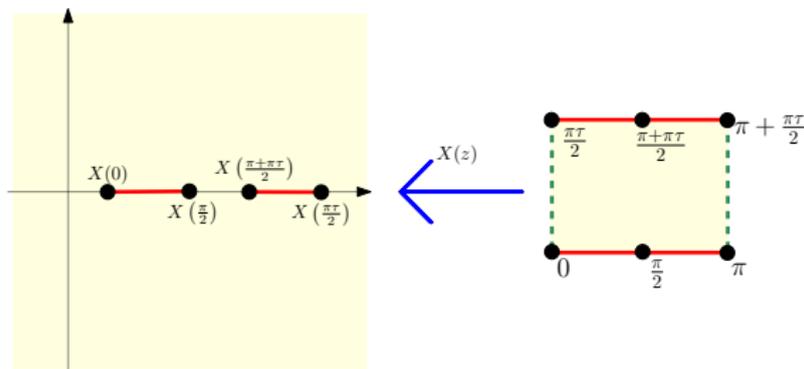


For  $z \in \mathbb{C}$ :

- $X(z) = X(\pi - z) = X(-z) = X(\pi\tau + z)$

$$X(z) = c \frac{\vartheta(z - \alpha)\vartheta(z + \alpha)}{\vartheta(z - \beta)\vartheta(z + \beta)}$$

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



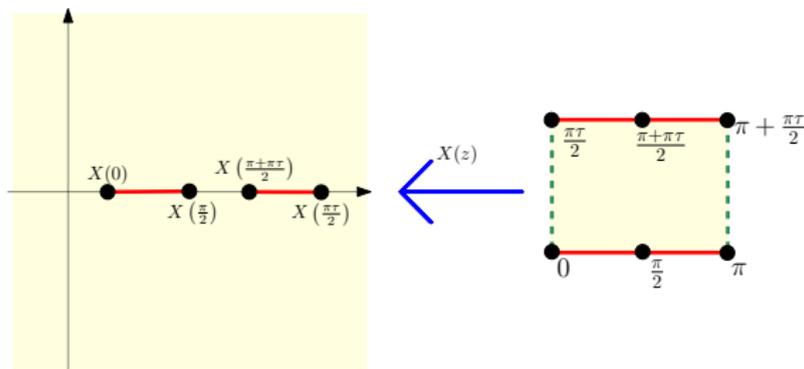
Recall:

$$y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}.$$

Consider  $Y(z) = y(X(z))$ . By symmetry, for  $r \in \mathbb{R}$ :

- $X(r) = X(-r)$ , so  $Y(r) + Y(-r) = -\frac{B(X(r))}{A(X(r))}$ .
- Similarly,  $Y\left(\frac{\pi\tau}{2} + r\right) + Y\left(\frac{\pi\tau}{2} - r\right) = -\frac{B\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}{A\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}$ .

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



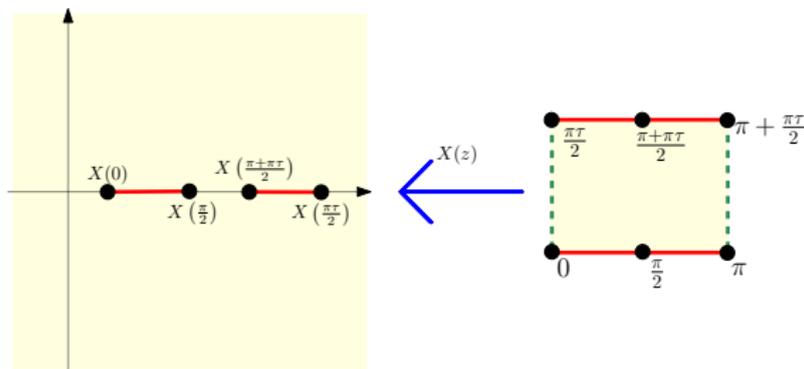
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$$y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}.$$

Consider  $Y(z) = y(X(z))$ . For  $z \in \mathbb{C}$ :

- $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}.$
- $Y(z) + Y(\pi\tau - z) = -\frac{B(X(z))}{A(X(z))}.$

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$



For  $z \in \mathbb{C}$ :

- $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}$ .
- $Y(z) + Y(\pi\tau - z) = -\frac{B(X(z))}{A(X(z))}$ .

So  $Y(z) = Y(z + \pi\tau) = Y(z + \pi)$

$$\Rightarrow Y(z) = c \frac{\vartheta(z - \gamma)\vartheta(z - \delta)}{\vartheta(z - \epsilon)\vartheta(z - \gamma - \delta + \epsilon)}$$

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

Equation characterising  $Q(x, y) \equiv Q(t, x, y)$  for quadrant walks:

$$K(x, y)Q(x, y) + R(x, y) = 0.$$

$K(x, y) = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

where the constants satisfy  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

So,  $R(X(z), Y(z)) = 0$ .

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

**In general:**  $K(x, y) = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

with  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

**For Kreweras paths:**

$$Q(x, y) = 1 + xytQ(x, y) + \frac{t}{x} (Q(x, y) - Q(0, y)) + \frac{t}{y} (Q(x, y) - Q(x, 0)).$$

Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

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- $K(0, 0) = 0$ , so WLOG  $\alpha_1 = \alpha_2 = 0$ .
- as  $x \rightarrow 0$ , we have  $y(x) \sim -x$  or  $y(x) \sim -\frac{1}{x^2}$ , so  $Y(z)$  has a double pole at  $z = \beta_1$ .

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Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z)\vartheta(z - \beta_2)}{\vartheta(z - \beta_1)^2},$$

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Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z)\vartheta(z - 2\beta_1)}{\vartheta(z - \beta_1)^2},$$

with  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

- $K(0, 0) = 0$ , so WLOG  $\alpha_1 = \alpha_2 = 0$ .
- as  $x \rightarrow 0$ , we have  $y(x) \sim -x$  or  $y(x) \sim -\frac{1}{x^2}$ , so  $Y(z)$  has a double pole at  $z = \beta_1$ .
- Similarly:  $X(z)$  has a double pole at  $z = \beta_2 = 2\beta_1$ .

# BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

**For Kreweras paths:**

$$Q(x, y) = 1 + xy + Q(x, y) + \frac{t}{x} (Q(x, y) - Q(0, y)) + \frac{t}{y} (Q(x, y) - Q(x, 0)).$$

Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z)\vartheta(z - \beta_1)}{\vartheta(z + \beta_1)\vartheta(z - 2\beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z)\vartheta(z - 2\beta_1)}{\vartheta(z - \beta_1)^2},$$

with  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

- $K(0, 0) = 0$ , so WLOG  $\alpha_1 = \alpha_2 = 0$ .
- as  $x \rightarrow 0$ , we have  $y(x) \sim -x$  or  $y(x) \sim -\frac{1}{x^2}$ , so  $Y(z)$  has a double pole at  $z = \beta_1$ .
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$$X(z) = \frac{e^{-\frac{4\pi\tau i}{9}} \vartheta(z) \vartheta(z - \frac{\pi\tau}{3})}{\vartheta(z + \frac{\pi\tau}{3}) \vartheta(z - \frac{2\pi\tau}{3})} \quad \text{and} \quad Y(z) = \frac{e^{-\frac{4\pi\tau i}{9}} \vartheta(z) \vartheta(z + \frac{\pi\tau}{3})}{\vartheta(z - \frac{\pi\tau}{3}) \vartheta(z + \frac{2\pi\tau}{3})},$$

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Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)} \quad \text{and} \quad Y(z) = X(z + \pi\tau),$$

where

$$t = \frac{1}{X(z)Y(z) + X(z)^{-1} + Y(z)^{-1}}.$$

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$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0, 3\tau)}{4i\vartheta(\pi\tau, 3\tau) + 6\vartheta'(\pi\tau, 3\tau)}.$$