

# Critical properties of Anderson localization in high-dimension

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# Outline of the talk

- **Introduction**

- Anderson model
- Localization transition
- Known results & open problems

- **Numerics in  $d = 3, \dots, 6$**

- Exact diagonalization & transfer matrix
- Finite size corrections

- **Strong Disorder RG approach**

- **Summary of the results**

- Critical exponent
- Critical values
- Multifractality

- **Conclusions & perspectives**

# Anderson model

Non-interacting (spinless) electrons in a disordered potential

$$\mathcal{H} = - \sum_i \epsilon_i c_i^\dagger c_i - t \sum_{\langle i, j \rangle} \left( c_i^\dagger c_j + c_j^\dagger c_i \right) \quad \text{Anderson '58}$$

$\langle i, j \rangle$  nearest neighbors on a  $d$ -dimensional hypercube

$\epsilon_i$  iid uniformly distributed in  $[-W/2, W/2]$

Random Matrix model:  $\mathcal{H}_{ij} = -\epsilon_i \delta_{ij} - t C_{ij}^{(d)}$

Diagonal disorder + Connectivity matrix of the  $d$ -dimensional hypercube

Localization transition for strong enough disorder

Recent experiments in  $1d$  (atomic gases) and  $3d$  (sound waves)

Aspect & Inguscio '09; Greiner & al '02; Hu & al '08

# Localization transition in low dimensions

## Good understanding of AL in low dimensional systems

- All states are localized in  $d = 1$  and  $d = 2$
- Scaling theory of localization [Abrahams & al '79](#)
- $d = 2$  is the lower critical dimension (“weak localization”)
- Field theory description of the replicated  $NL\sigma M$  in  $2 + \epsilon$  (transition at weak disorder) [Wegner '79](#); [Brézin & al '80](#); [Hikami '92](#)
- Multifractal spectra at AL using Functional RG  
[Foster & al '09](#);  
[Carpentier & Le Doussal '00](#)

## No analytical result in higher dimensions

Numerical methods are still at the core of the advances in this topic

# Localization transition in $d = 3$

**Metallic phase**

$$W_c \simeq 16.35$$

**Insulating phase**

- Extended wave-functions

$$|\psi_i^{(\alpha)}|^2 \sim 1/L^d \text{ on all sites}$$

$$\Upsilon_\alpha = \sum_i |\psi_i^{(\alpha)}|^4 \sim \text{cst}/L^d$$

- Energy transport ( $\sigma > 0$ )

- Level repulsion  
GOE statistics

- Localized wave-functions

$$|\psi_i^{(\alpha)}|^2 \sim O(1) \text{ on } O(1) \text{ sites}$$

$$\Upsilon_\alpha = \sum_i |\psi_i^{(\alpha)}|^4 \sim O(1)$$

- No energy transport ( $\sigma = 0$ )

- No level repulsion  
Poisson statistics

$$\xi \sim |W - W_c|^{-\nu} \quad \nu \simeq 1.57$$

Slevin & al '09

**Non-universal level  
statistics and multifractality  
at the critical point**

# Open problems & motivations

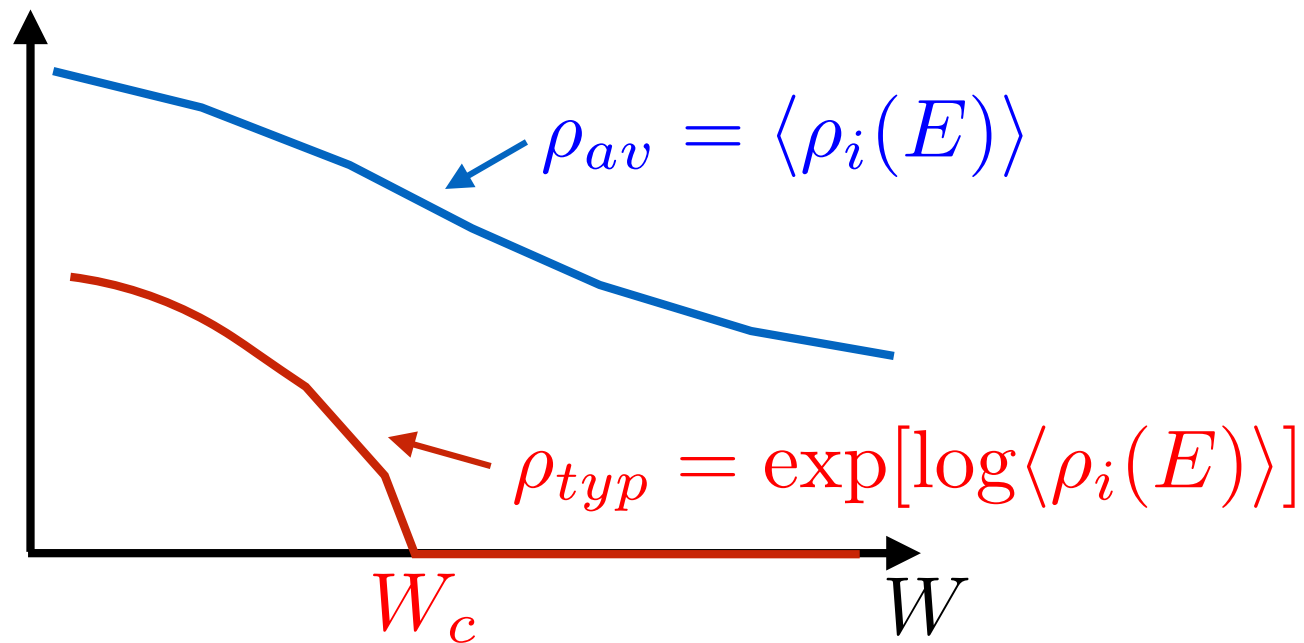
Much less is known in higher dimensions

- **Upper critical dimension?**
- **Understanding the  $d = \infty$  limit!**
  - Anderson model on the Bethe lattice (and Lévy matrices) show **huge finite size effects** and the presence of a **critical crossover region**
  - **Intermediate non-ergodic delocalized phase?**  
Biroli & al '12; De Luca & al '14; Tarquini & al '15;  
Tikhonov & al '16; Altshuler & al '16
- **Relation with MBL** (AL in the Fock space)  
Basko & al '06; Altshuler & al '97

# No (conventional) symmetry breaking

Order parameter??

Local DOS:  $\rho_i(E) = \frac{1}{\pi} \lim_{\eta \rightarrow 0} \text{Im} G_{ii} = \sum_{\alpha} \delta(E - E_{\alpha}) |\psi_i^{(\alpha)}|^2$



“Ortodox phase transition theory will be of little help to us for the time being, and thus the great body of literature in the field is simply irrelevant”

Anderson '79

# Numerics in $d = 3, \dots, 6$

- **Exact diagonalization**

Level statistics, IPR,  
statistics of wave-functions amplitudes, ...

Computation time  $\sim L^{3d}$

$L_{\max} = 30$  in  $d = 3$ ;  $L_{\max} = 13$  in  $d = 4$ ;

$L_{\max} = 8$  in  $d = 5$ ;  $L_{\max} = 5$  in  $d = 6$

- **Transfer matrix approach**

Lyapunov exponent, conductivity, ...

Computation time  $\sim L^{3d-2}$

$L_{\max} = 64$  in  $d = 3$ ;  $L_{\max} = 16$  in  $d = 4$ ;

$L_{\max} = 9$  in  $d = 5$ ;  $L_{\max} = 6$  in  $d = 6$



# Level statistics

- Distribution of the ratio of adjacent gaps

Oganesyan & Huse '07

$$s_\alpha = E_{\alpha+1} - E_\alpha > 0 \quad 0 \leq r_\alpha = \frac{\min\{s_\alpha, s_{\alpha-1}\}}{\max\{s_\alpha, s_{\alpha-1}\}} \leq 1$$

Universal distributions  
for GOE and Poisson  
statistics

$$\Pi_{GOE}(r) \longrightarrow \langle r \rangle_{GOE} = 0.53$$

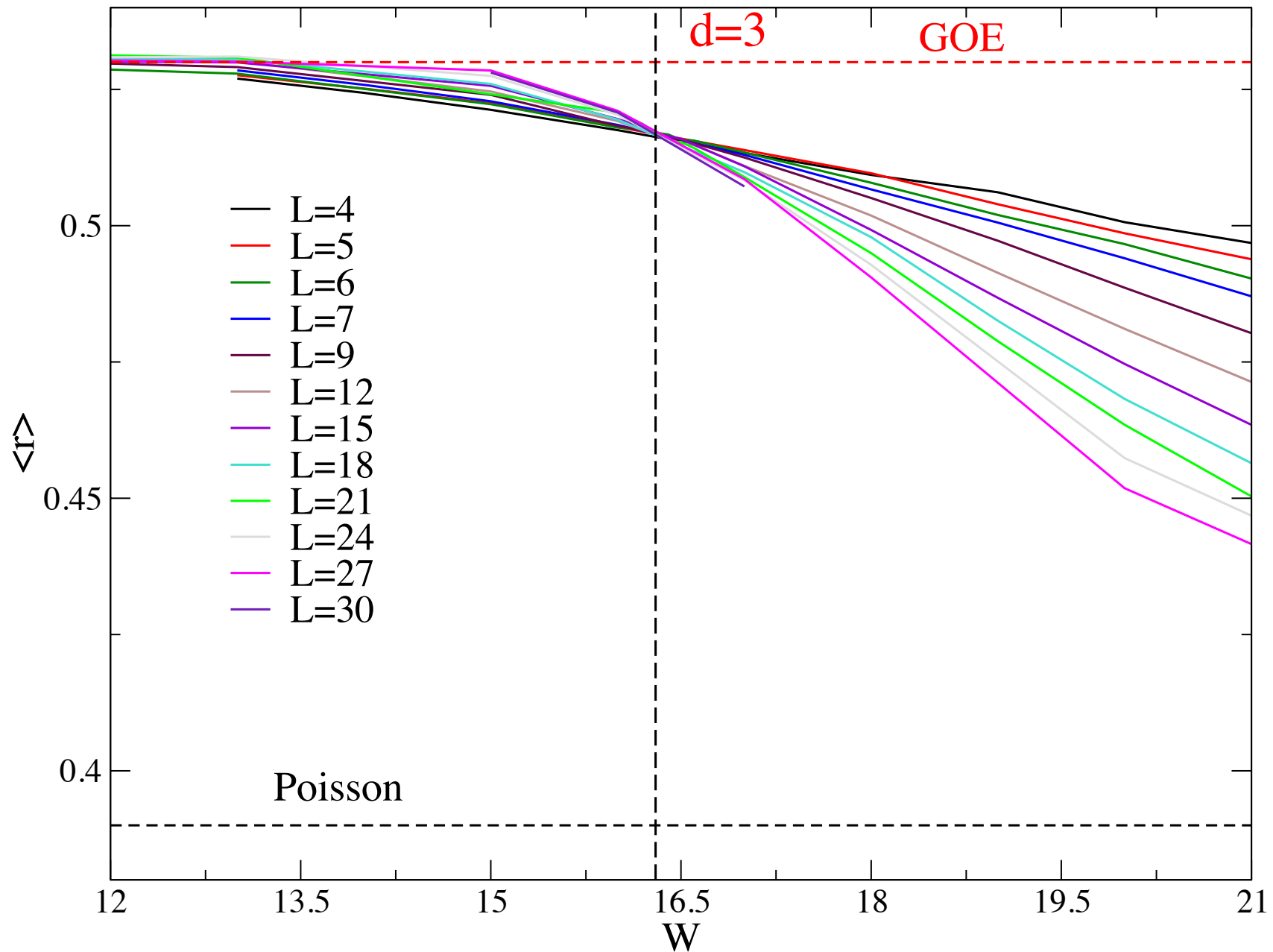
$$\Pi_P(r) = \frac{2}{(1+r)^2} \longrightarrow \langle r \rangle_P = 0.39$$

- Overlap between subsequent wave-functions

$$q_\alpha = \sum_i |\psi_i^{(\alpha)}| |\psi_i^{(\alpha+1)}| \quad \langle q \rangle_{GOE} = 2/\pi$$

$$\langle q \rangle_P \rightarrow 0$$

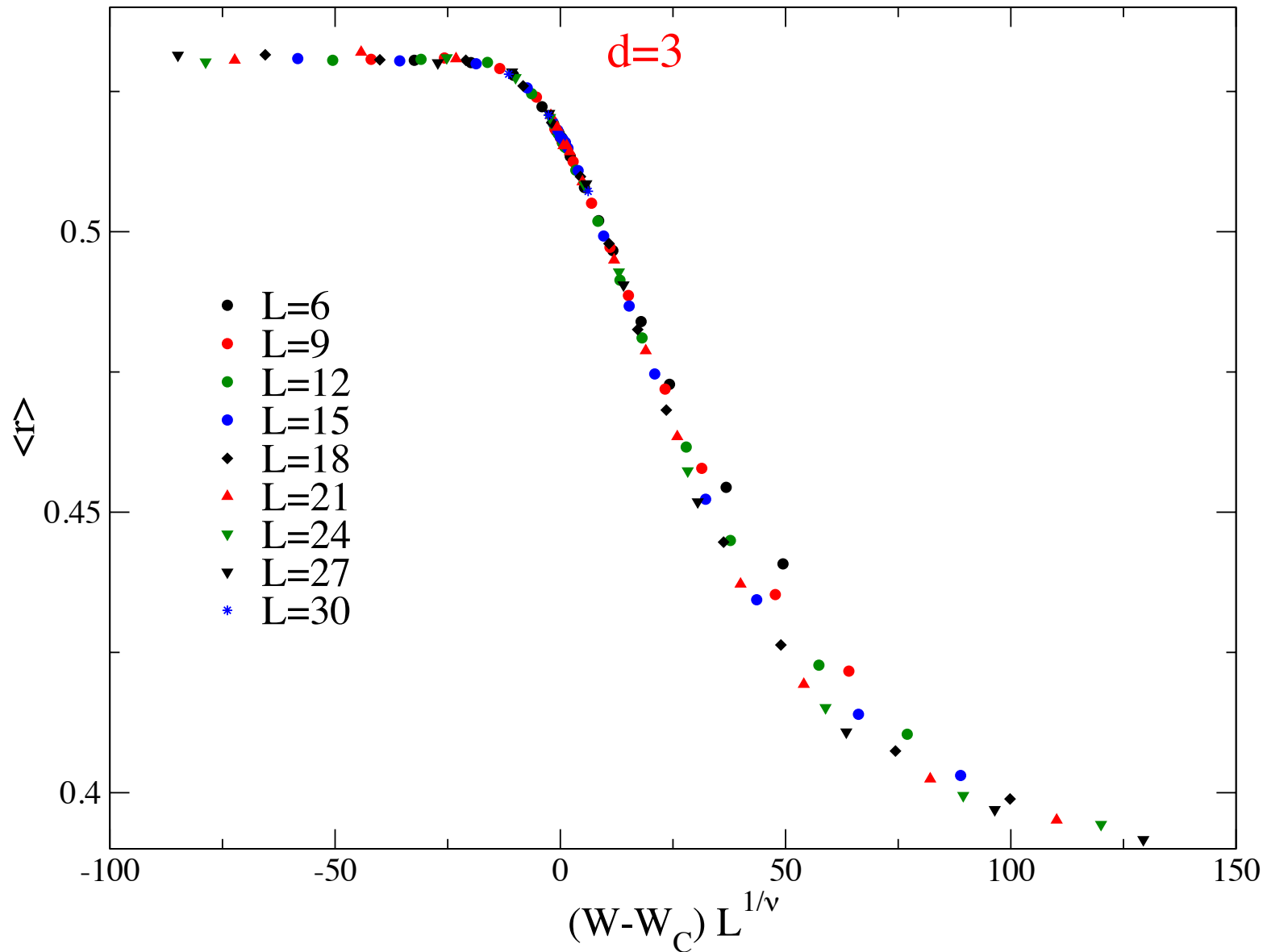
# Level statistics in $d = 3$



# Finite Size Scaling

$$\langle r \rangle = f(|W - W_c| L^{1/\nu})$$

MacKinnon & Kramer '80



$$\nu \simeq 1.57$$

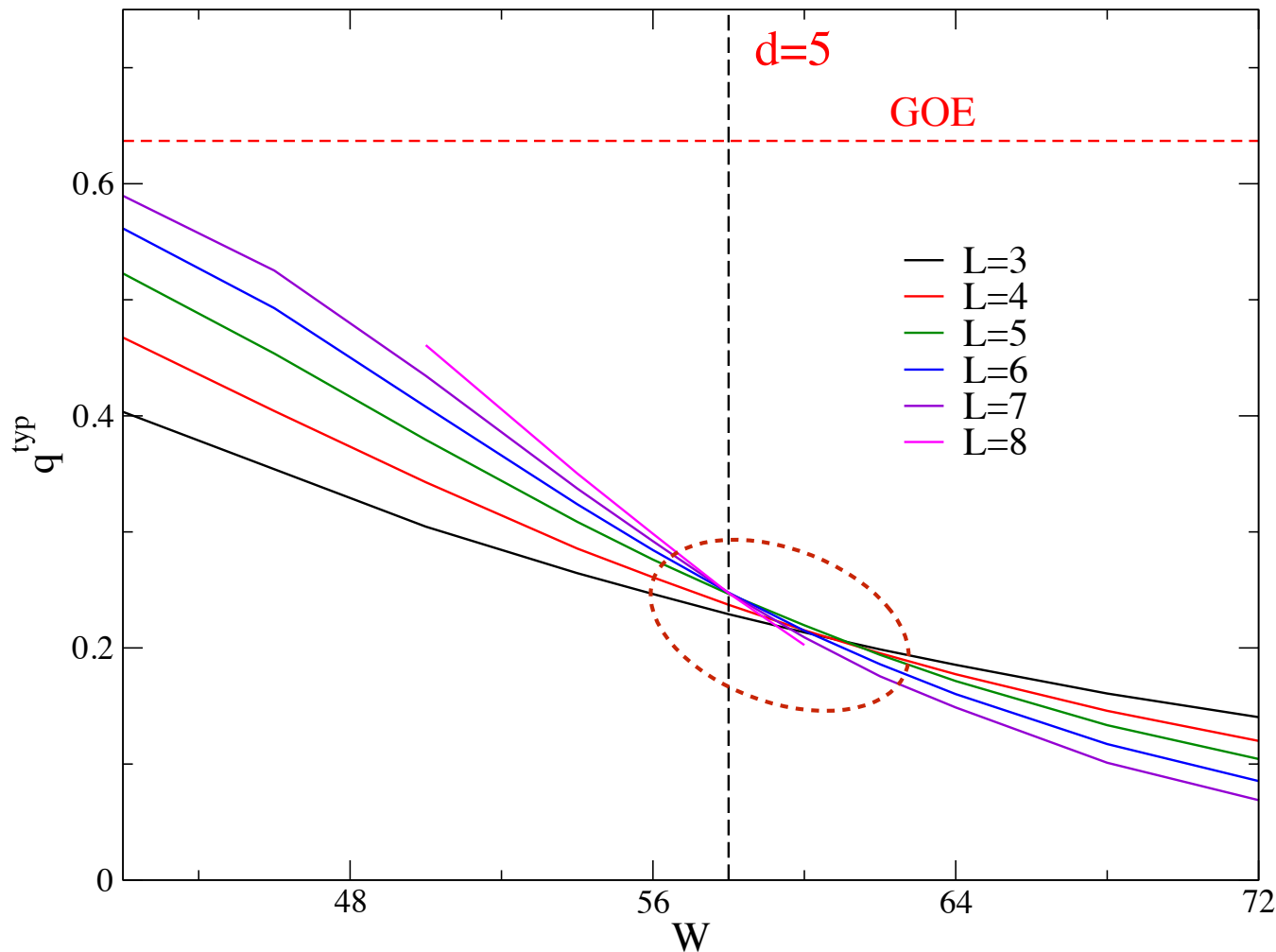
$$W_c \simeq 16.35$$

Slevin & al '09

# Finite Size Corrections ( $d = 5$ )

Finite size effects becomes important in higher dimension

$$q^{typ} = F(|W - W_c|L^{\frac{1}{\nu}}, \phi L^a) \quad (a < 0)$$
$$\simeq f_0(|W - W_c|L^{\frac{1}{\nu}}) + \phi L^a f_1(|W - W_c|L^{\frac{1}{\nu}})$$



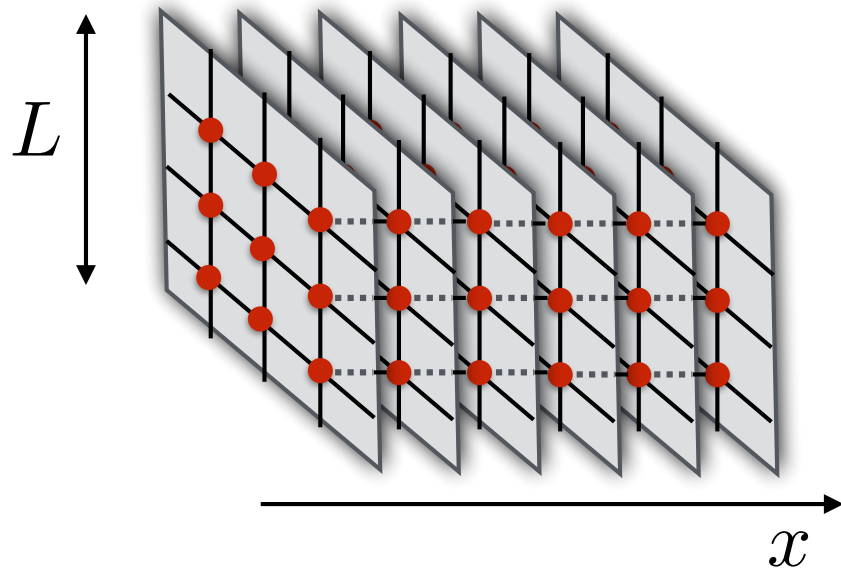
$$\nu \simeq 0.95$$

$$W_c \simeq 57.5$$

$$a \simeq -1$$

Ueoka & Slevin '14

# Transfer Matrix



Quasi-1d dimensional bar  
of cross-section  $L^{d-1}$

$$[G^{(x+1)}]_{ij}^{-1} = \epsilon_{x,i} \delta_{ij} + t \mathcal{C}_{ij}^{(d-1)} - t^2 G_{ij}^{(x)}$$

Initial conditions:  $[G^{(x=0)}]_{ij}^{-1} = (\epsilon_{0,i} + i\eta) \delta_{ij} + t \mathcal{C}_{ij}^{(d-1)}$

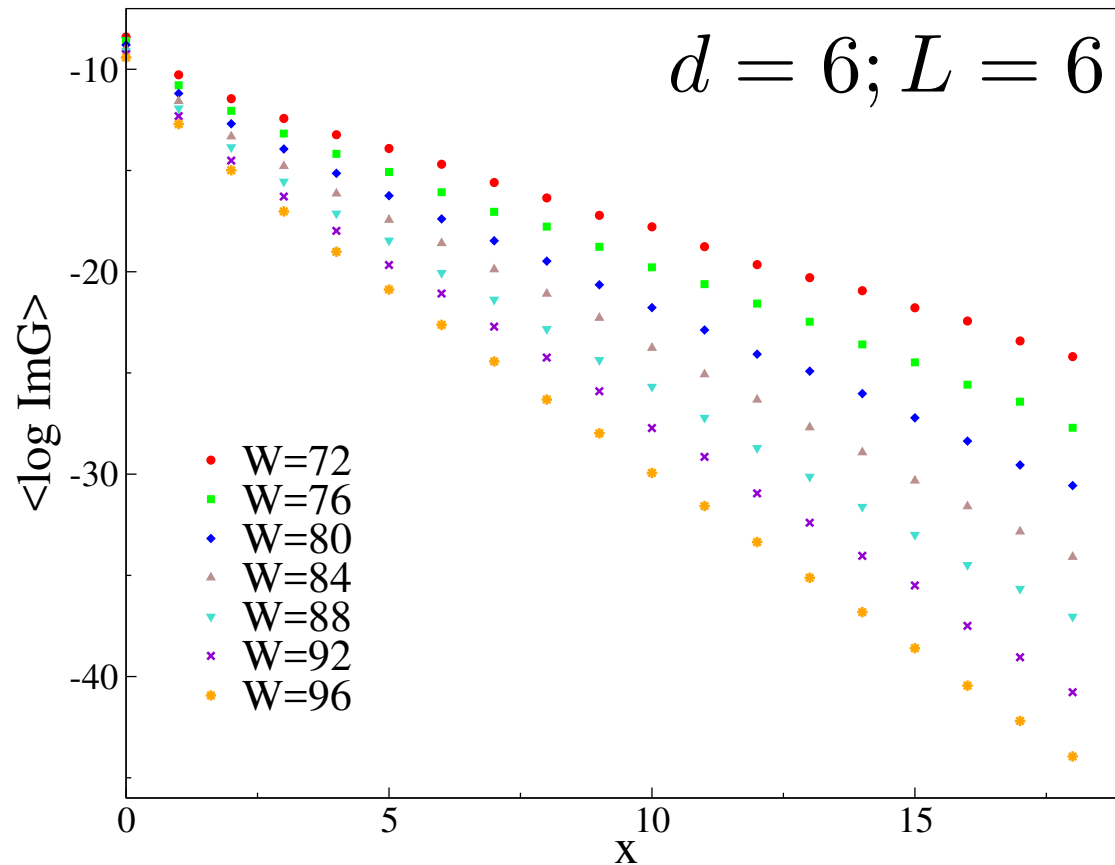
Inversion of  $G^{(x)}$  by LU decomposition  $[\sim L^{3(d-1)}]$

Propagation of  $\text{Im}G$  yields the quasi-1d localization length  $\xi_{1d}$   
(Lyapunov exponent)

# Quasi-1d localization length

$\xi_{1d}$  saturates to  $\xi$  in the localized regime

$\xi_{1d}$  diverges as  $\sigma L^{d-1}$  in the metallic phase

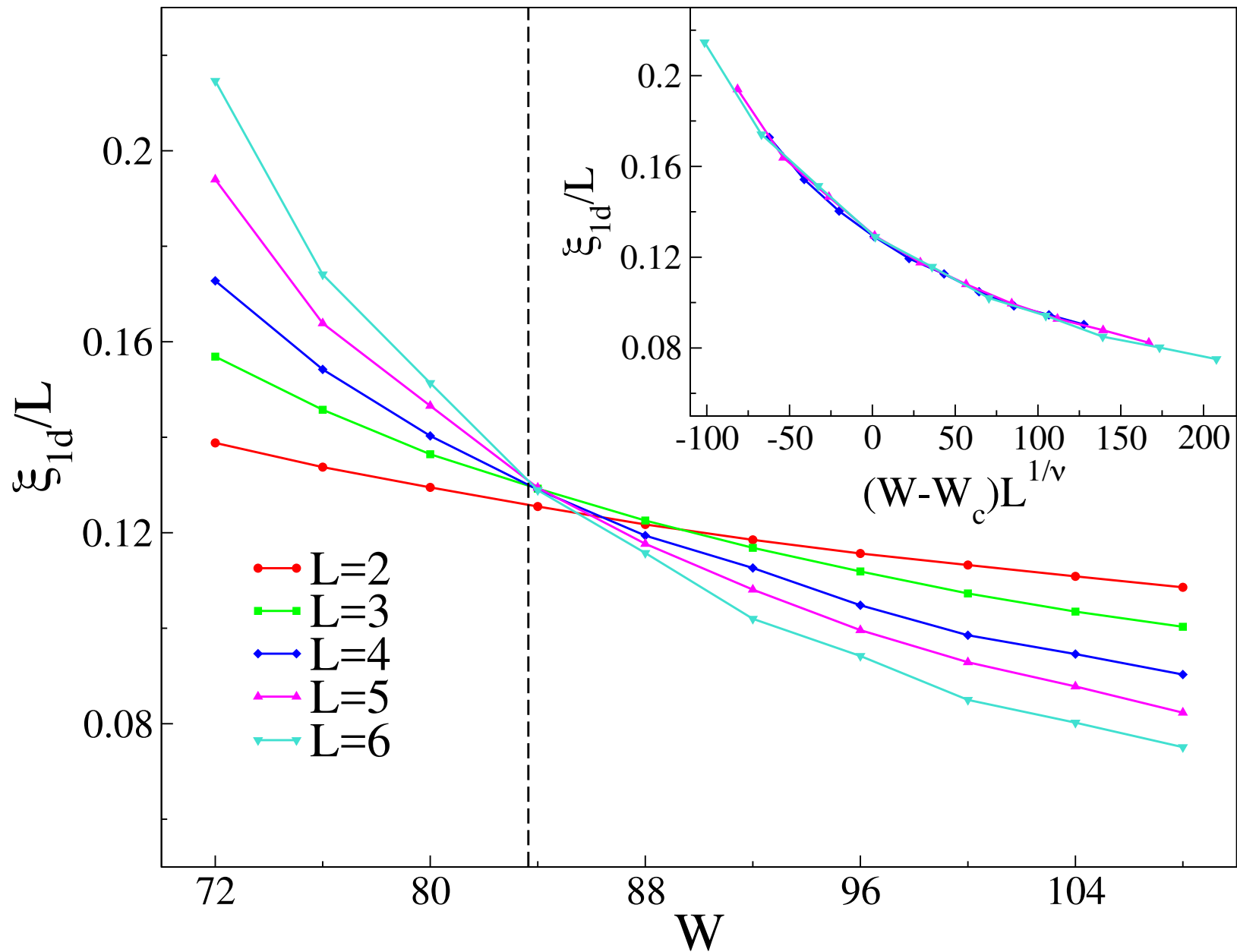


$$\langle \text{Im}G^{(x)} \rangle \sim \exp[-x/\xi_{1d}]$$

Dimensionless quasi-1d  
localization length

$$\frac{\xi_{1d}}{L} \sim \begin{cases} (L/\xi)^{d-2} & \text{for } W < W_c \\ \text{cst} & \text{for } W = W_c \\ \xi/L & \text{for } W > W_c \end{cases}$$

# Finite size scaling in $d = 6$



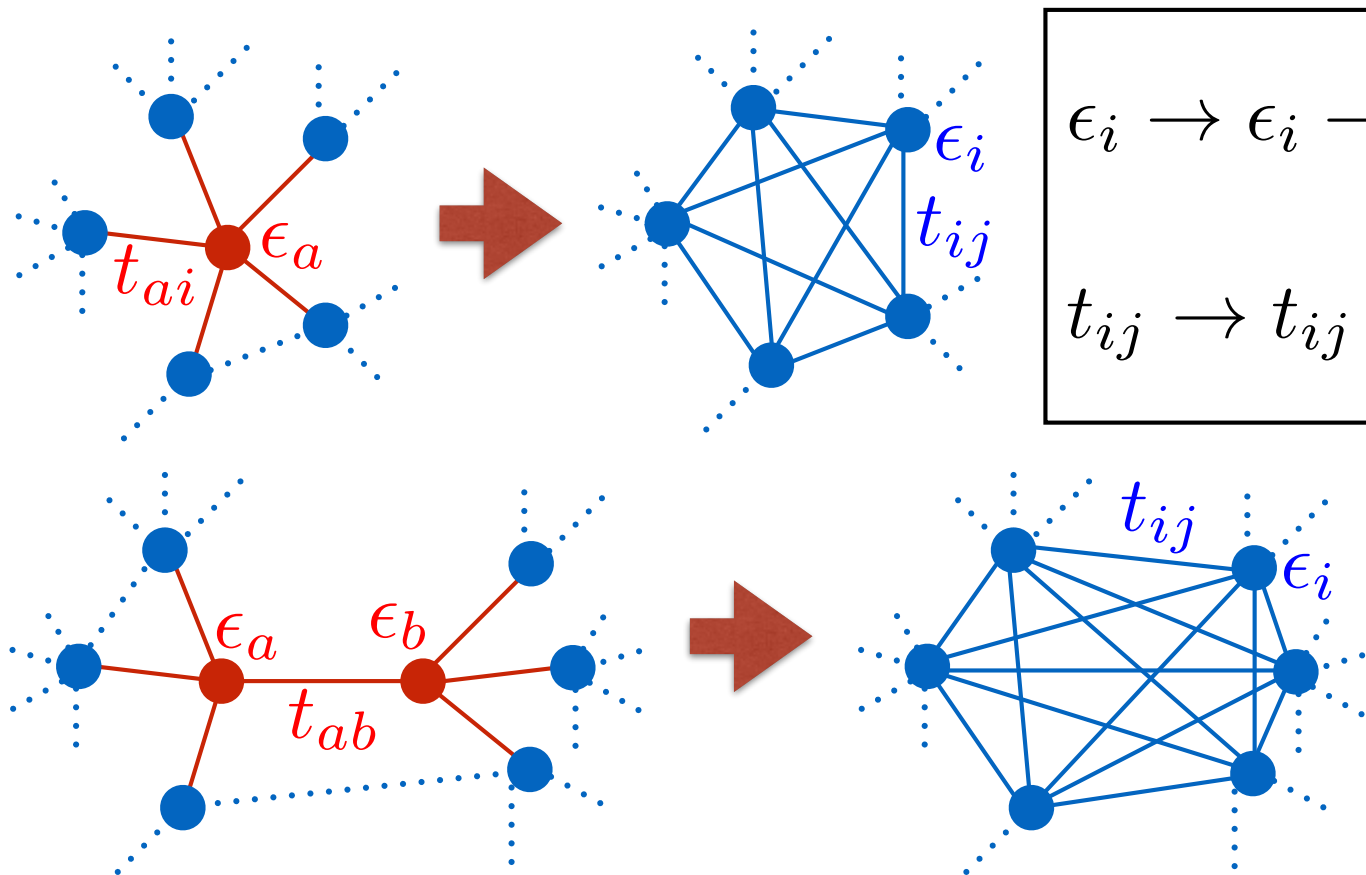
$$\nu \simeq 0.84$$

$$W_c \simeq 83.8$$

$$a \simeq -1$$

# Strong Disorder RG

Integrate out iteratively the strongest energy scale



$$\begin{aligned} \epsilon_i &\rightarrow \epsilon_i - \frac{t_{ai}^2}{\epsilon_a} \\ t_{ij} &\rightarrow t_{ij} - \frac{t_{ai}t_{aj}}{\epsilon_a} \end{aligned}$$

**Exact RG**  
transformations  
Aoki '80

$$\begin{aligned} \epsilon_i &\rightarrow \epsilon_i - \frac{\epsilon_b t_{ai}^2 - 2t_{ab}t_{ait_{bi}} + \epsilon_a t_{bi}^2}{\epsilon_a \epsilon_b - t_{ab}^2} \\ t_{ij} &\rightarrow t_{ij} - \frac{\epsilon_b t_{ait_{aj}} - t_{ab}(t_{ait_{bj}} + t_{bit_{aj}}) + \epsilon_a t_{bit_{bj}}}{\epsilon_a \epsilon_b - t_{ab}^2} \end{aligned}$$

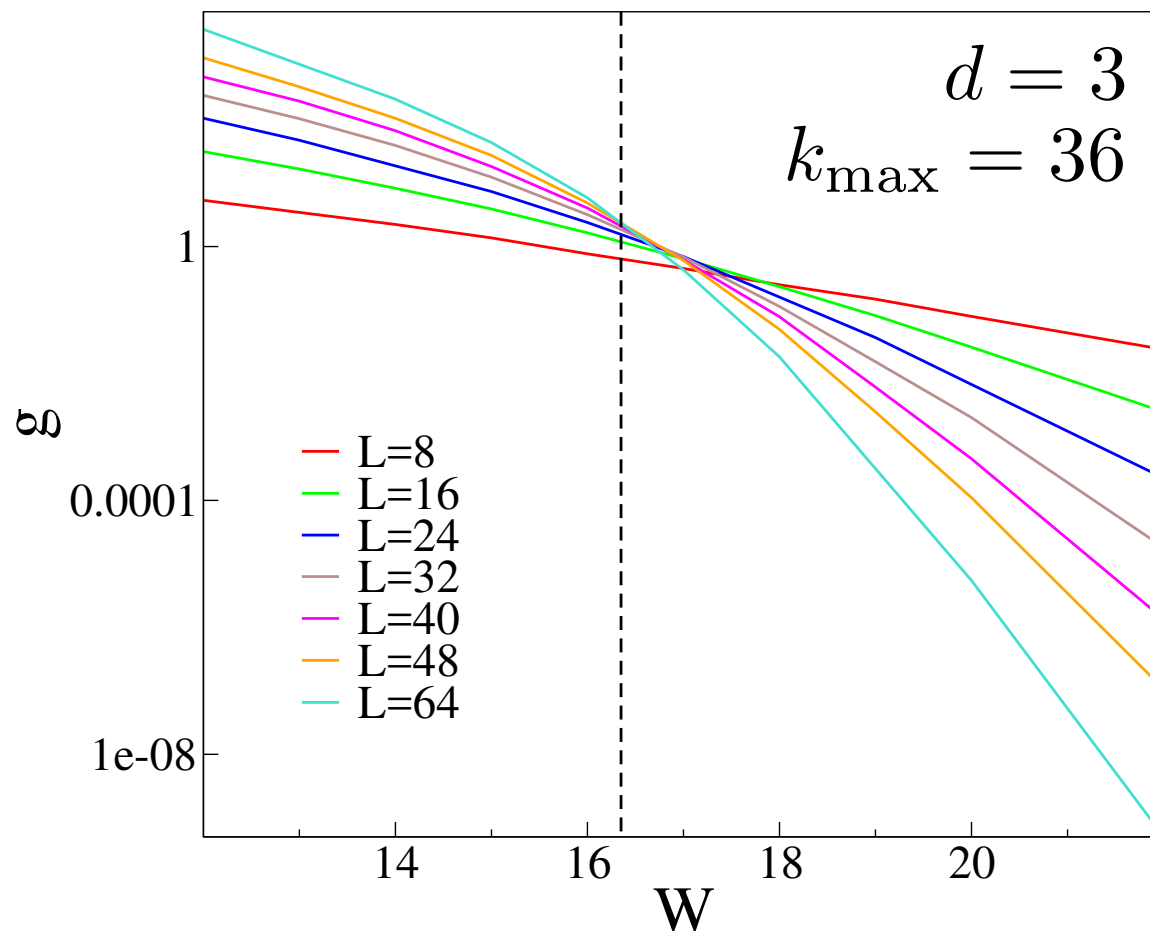


# Eliminating weak (irrelevant?) terms

Removing weak couplings  $\rightarrow$  Maximum coordination number  $k_{\max}$

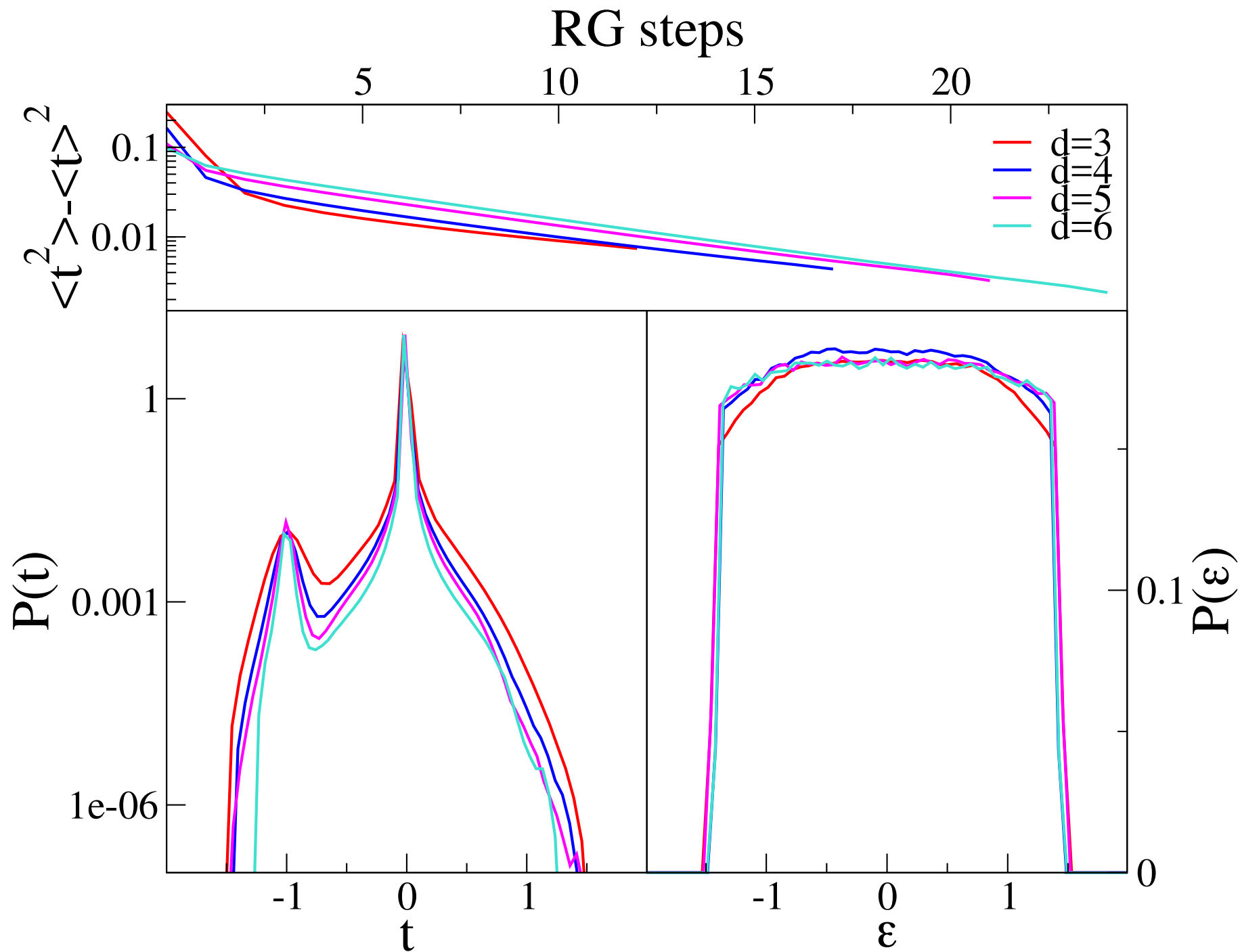
Fisher '92; Iglói & Monthus '05; Kovács & Iglói '11;  
Monthus & Garel '09; Mard & al '14; Mard & al '15

Computation time  $\sim L^{2d} k_{\max}^3 \rightarrow$  Access much bigger sizes

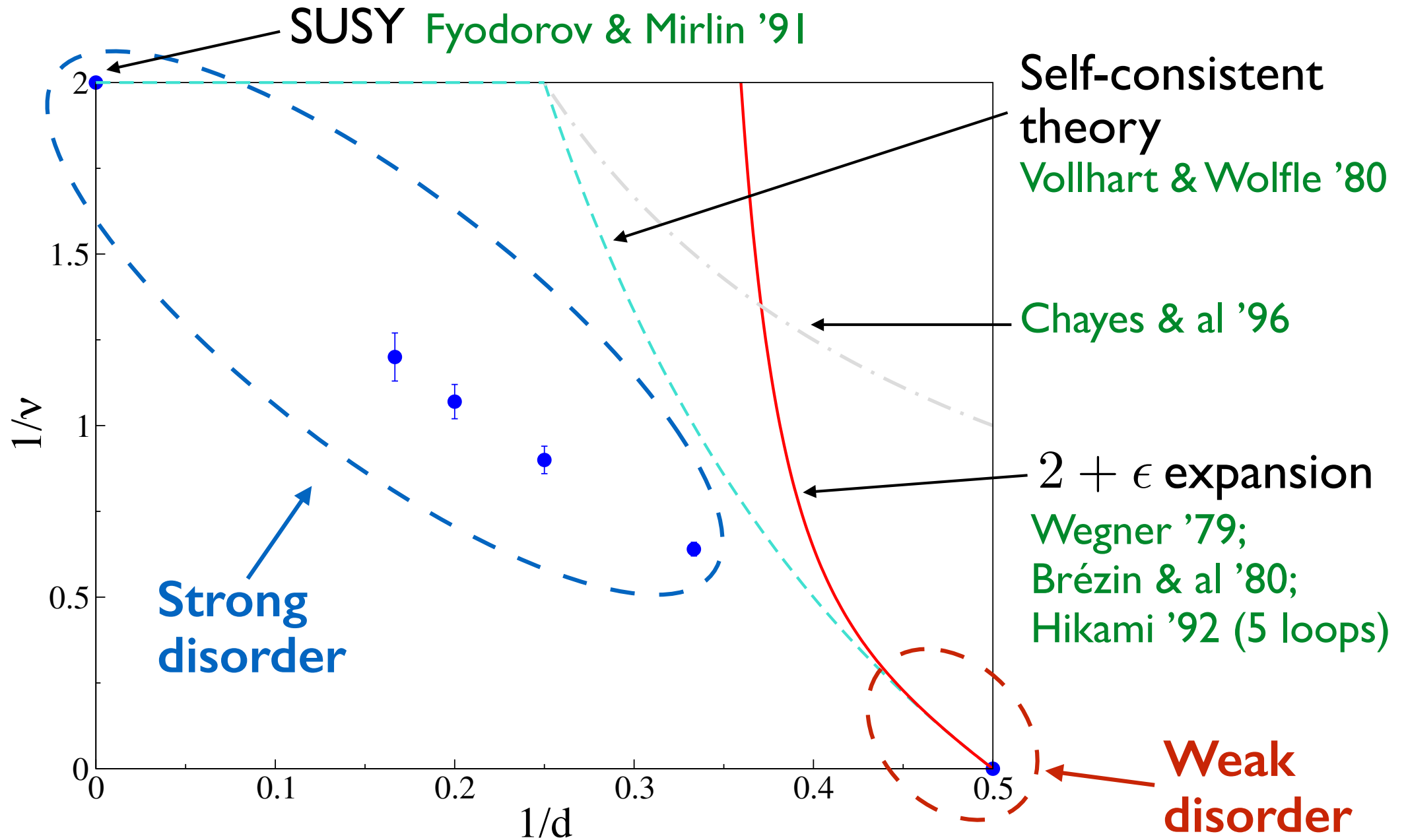


**Excellent agreement with numerical results in all dimensions!**

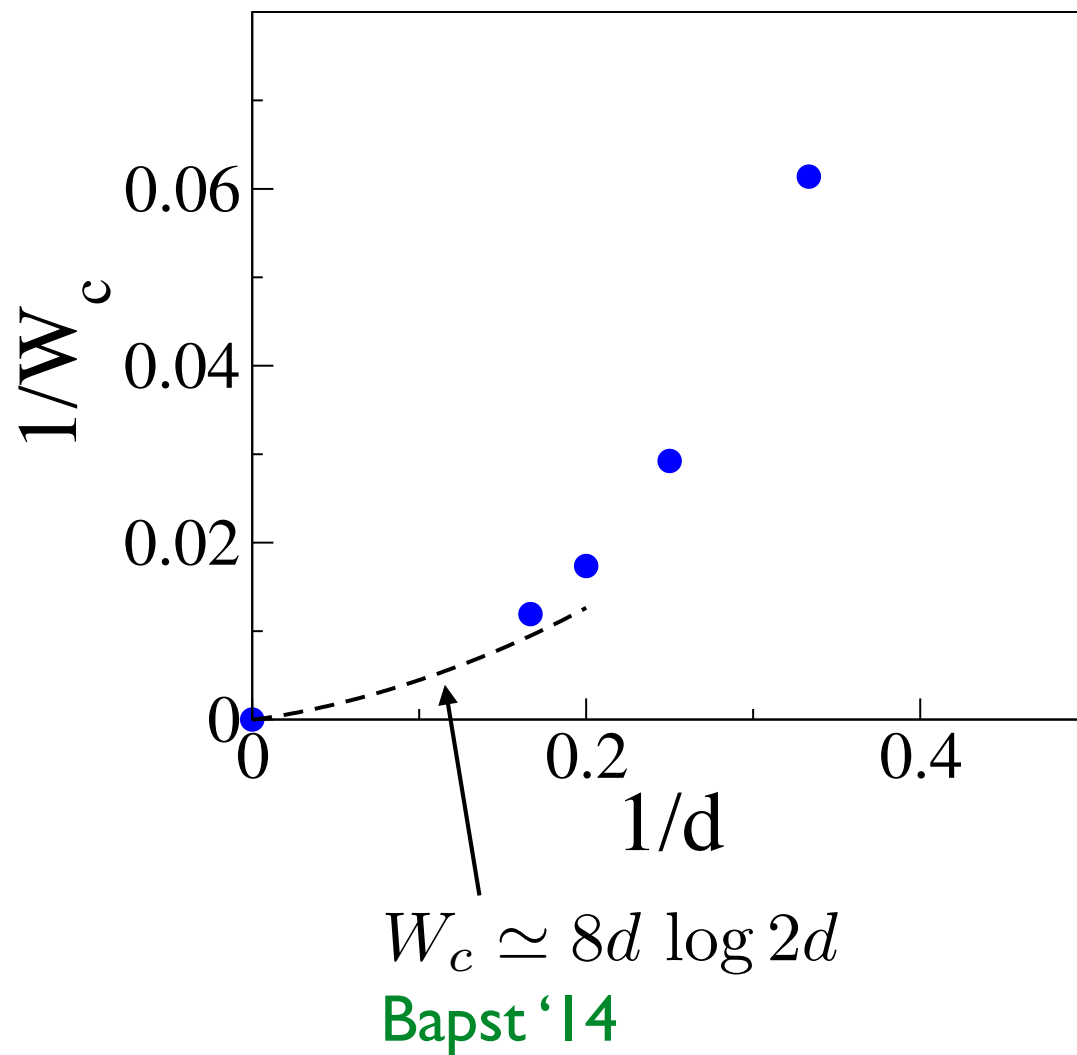
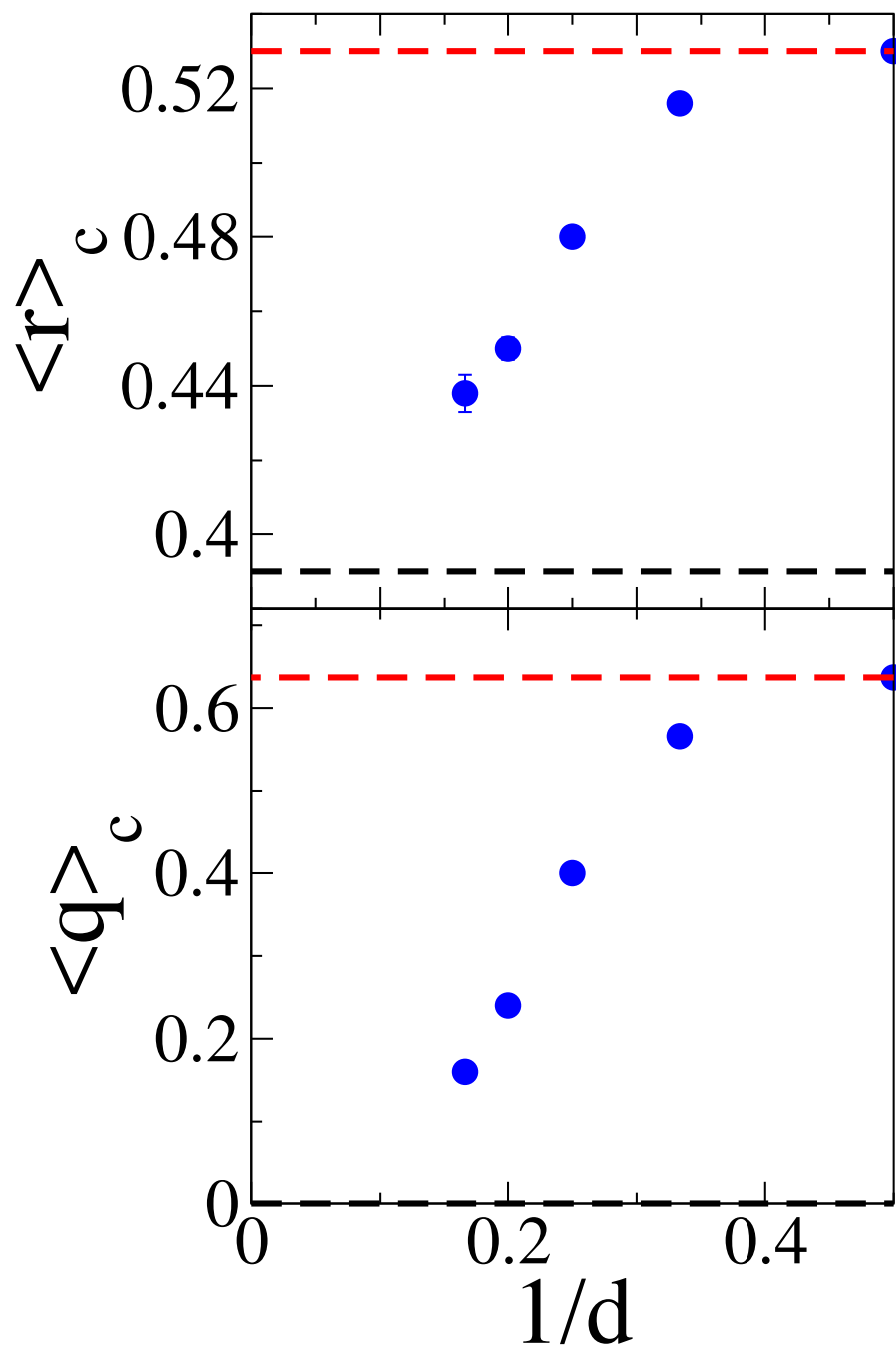
# Flow of the SDRG at $W_c$



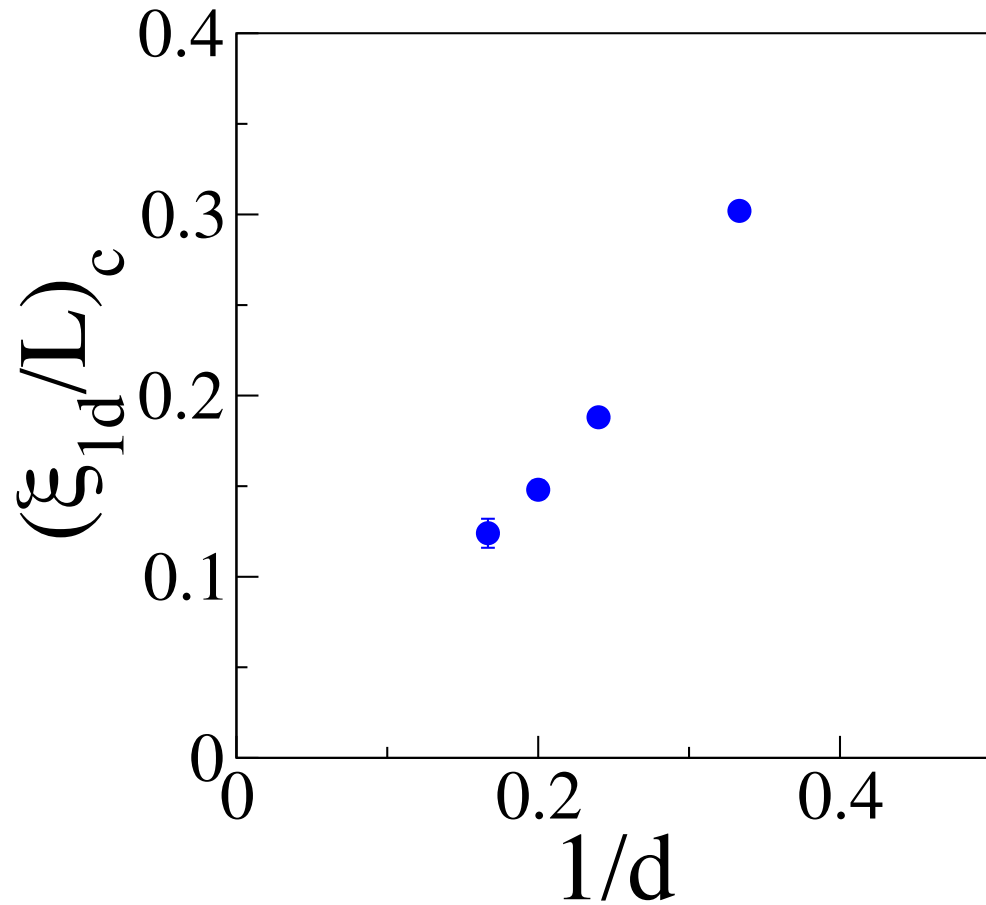
# Critical exponent $\nu$



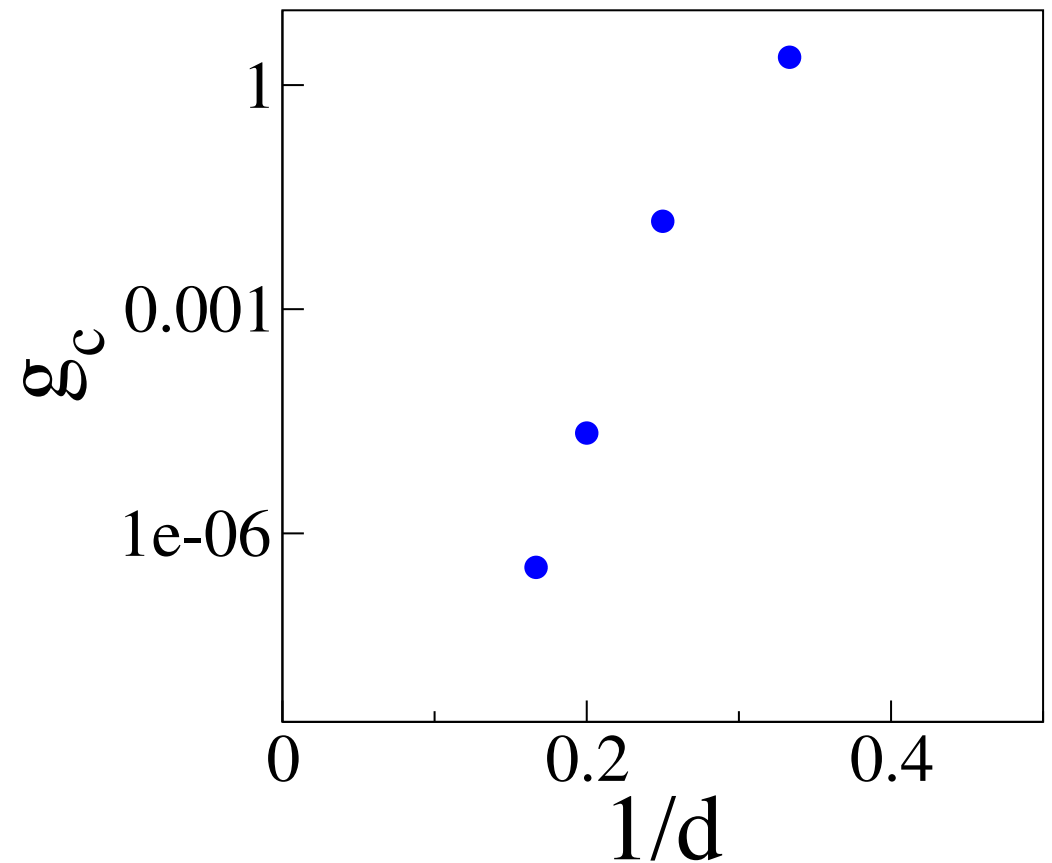
# Critical values (I)



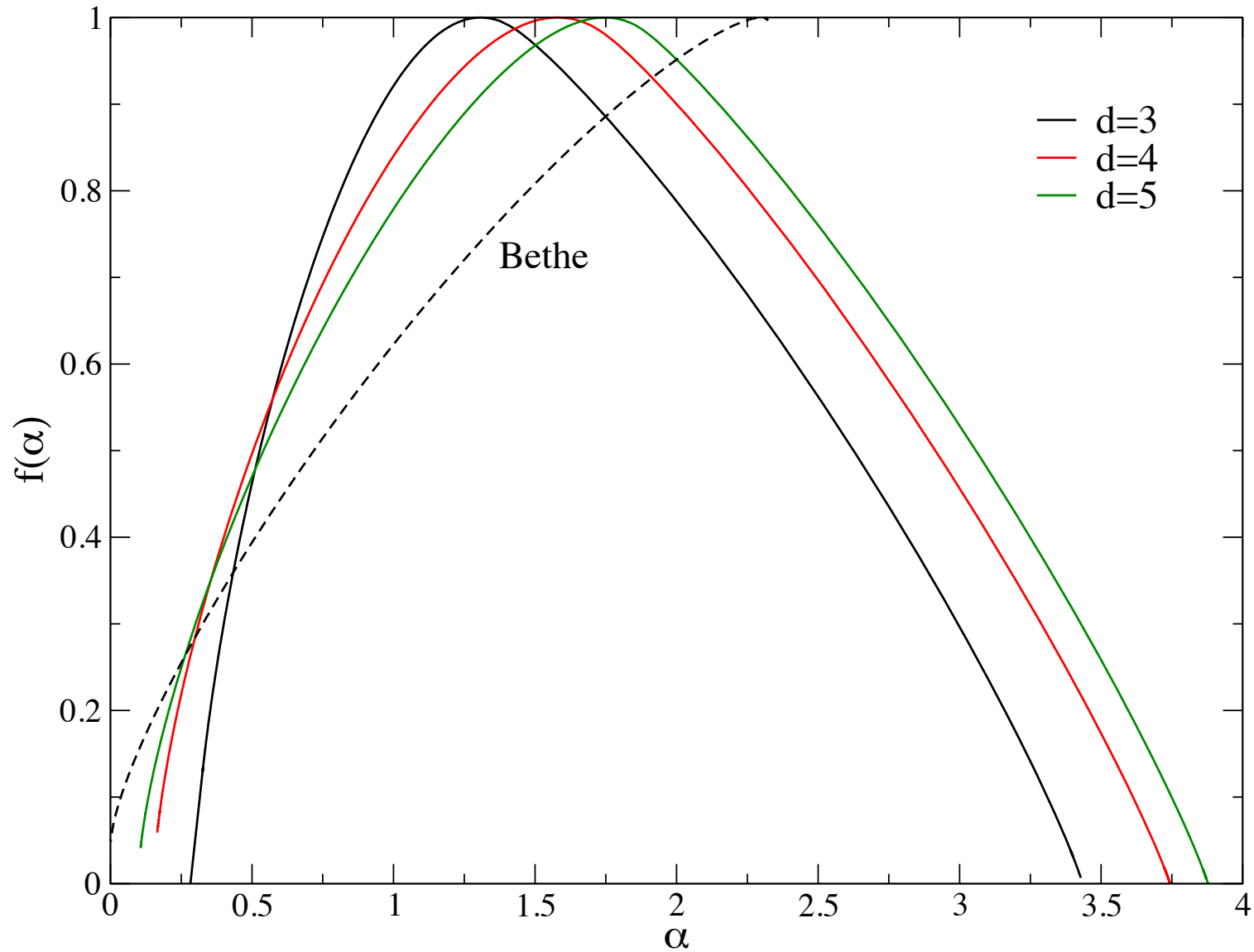
# Critical values (2)



The critical values of all observables gradually approach the ones of the localized phase



# Multifractality



The multifractal spectra at the AL broadens as  $d$  is increased and seems to approach smoothly the one of the Bethe lattice

# Summary of the results

- **No finite upper critical dimension**
- **AL in high  $d$  is controlled by Strong Disorder**  
Infinite Randomness fixed point in  $d \rightarrow \infty$ ? **Fisher '92**
- **No evidence of the intermediate phase in large dimensions** (transitions of level statistics, IPR, conductivity, ..., all occur at the same point up to  $d = 6$ )
- **Dramatic finite size effects found on the Bethe lattice are explained by:**
  - “Quasi localized” character of the AT fixed point in  $d \rightarrow \infty$  (the critical values are the same as in the localized phase)
  - Exponent  $a$  describing finite size corrections is approximately constant ( $a \simeq -1$  in all dimensions up to 6)  
**Logarithmic corrections**  $\propto 1/\log N$  for  $d \rightarrow \infty$

# Perspectives

- **Study higher dimensions via SDRG** (in progress)  
Preliminary results up to  $d = 10$  Mard & al '15
- **Implementation of a real space RG introduced for the Anderson models with long range hopping**  
(in progress) Levitov '90; Mirlin & Evers '00
- **$1/d$  expansion of the replicated fully-connected NL $\sigma$ M**  
Numerical data suggest that convergence in  $1/d$  is smooth
- **Non-perturbative (functional?) Renormalization Group approach for the Anderson Model** (in progress)  
From weak disorder to strong disorder